

Synchrotron Radiation-Based X-ray Photoelectron Spectroscopy

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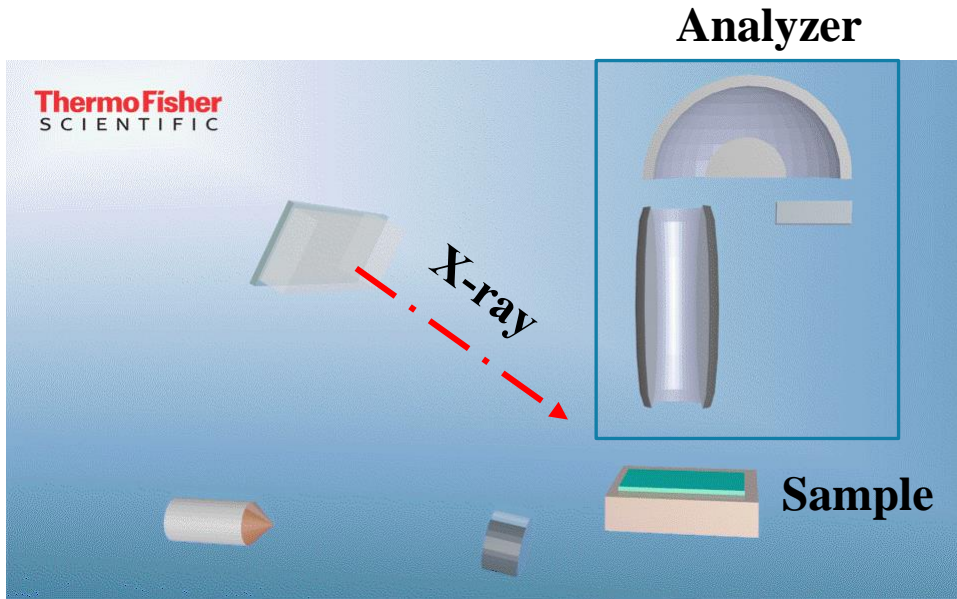
*National Synchrotron
Radiation Research Center*



Outline

- **The Basic Principle of X-ray Photoelectron Spectroscopy**
- **The Applications of Synchrotron-Based Photoelectron Spectroscopy**
- **Introduction of Ambient Pressure X-ray Photoelectron Spectroscopy (APXPS)**
- **APXPS End Station and Its Applications at NSRRC**
- **XPS peak fitting**

X-ray Photoelectron Spectroscopy



<https://xpssimplified.com/whatisxps.php>

Photoelectric effect

Einstein, Nobel Prize 1921

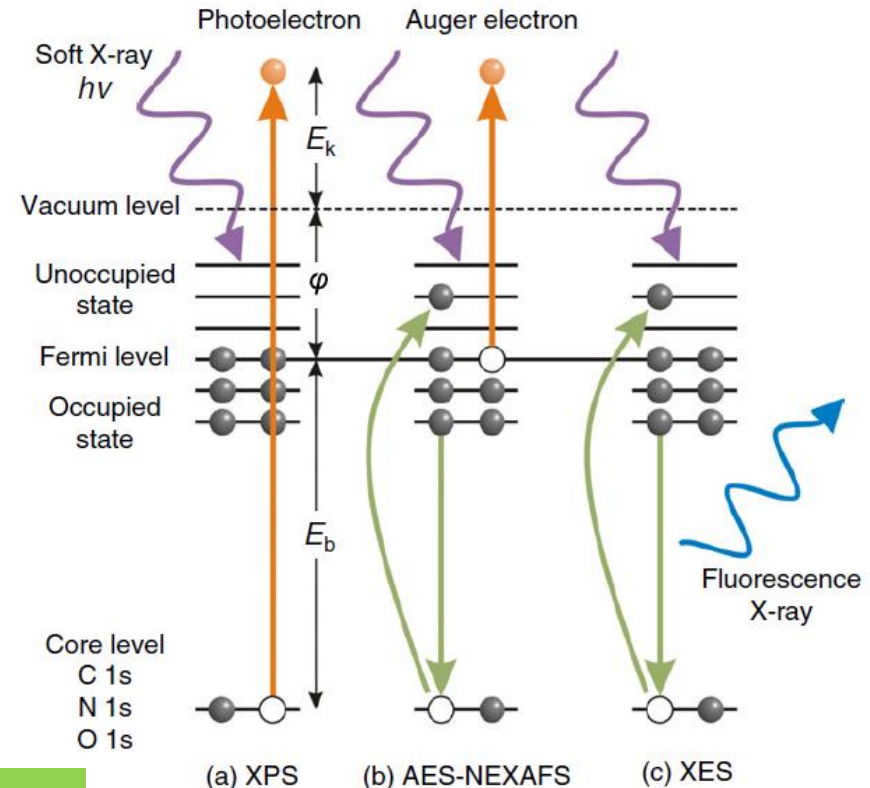
Photoemission as an analytical tool

Kai Siegbahn, Nobel Prize 1981

Energy

conservation law:

$$E'_{kin} = h\nu - E_b - \phi_s$$



J. Phys.: Condens. Matter **2015**, 27, 083003

Conventional XPS needs to be performed in an environment better than high-vacuum to eliminate the **electron-gaseous molecule scattering problem** and be used to study the **chemical state** and **elemental composition** of surface layers in solid samples.

Keys to the elemental analyses: electronic binding energies of the elements

Table 1. Line Positions^{a)} from Mg X-rays, by Element

Element	Atomic No.	Range (eV)	Photoelectron Lines ^{b)}												Range (eV)	Auger Lines		
			1s	2s	2p ₁	2p _{3/2}	3s	3p ₁	3p _{3/2}	3d _{3/2}	3d _{5/2}	4s	4p ₁	4p _{3/2}		KL ₁ L ₁	KL ₁ L ₂₃	KL ₂₃ L ₂₃ ^{c)}
Li	3		56															
Be	4		113															
B	5		191															
C	6	12	287															1082
N	7	9	402												12			993
O	8	4	531	23											10			875
F	9	6	686	30											8	779	764	743
Ne	10	0	863	41											0	645	626	599
Na	11	2	1072	64		14									4	491	468	435
Mg	12	2		90	51											332	303	264
Al	13	4			74													
Si	14	6		153	103	102												
P	15	8		191	134	133	14											
S	16	8			229	166	165	17							6			
Cl	17	11			270	201	199	17							4			
Ar	18	0			319	243	241	22							0			
K	19	1			378	296	293	33	17							1037	1035	
Ca	20	2			439	350	347	44	25							1005	1003	
Sc	21	1.6			501	407	402	53	31							964	961	
Ti	22	6			565	464	458	62	37						18	920	892	
V	23	8			630	523	515	69	40						10	873	839	
Cr	24	6			698	586	577	77	46	45					6	822	784	
Mn	25	4			770	652	641	83	49	48						767	729	
Fe	26	8			847	723	710	93	56	55						715	670	
Co	27	6			927	796	781	103	63	61					6	659	608	
Ni	28	6			1009	873	855	112	69	67					7	604	597	546
Cu	29	4			1094	954	934	124	79	77						548	542	482
Zn	30	2			1196	1045	1022	140	92	89	10				5	486	479	416
Ga	31	2				1144	1117	160	108	105	20				7	429	422	352
Ge	32	4					184	128	124	32	31					368	361	284
As	33	7					207	148	143	45	44				10	305	297	215
Se	34	8					232	169	163	58	57							205
Br	35	7					256	189	182	70	69							184
Kr	36	0					287	216	208	89	88	22						
Rb	37	1					322	247	238	111	110	29						
Sr	38	1					358	280	269	135	133	37						
Y	39	2					395	313	301	160	158	45						
Zr	40	6					431	345	331	183	181	51						

Handbook of X-Ray Photoelectron Spectroscopy, J.F. Moulder et al., Physical Electronics, Inc., Eden Prairie, MN, USA (1992)

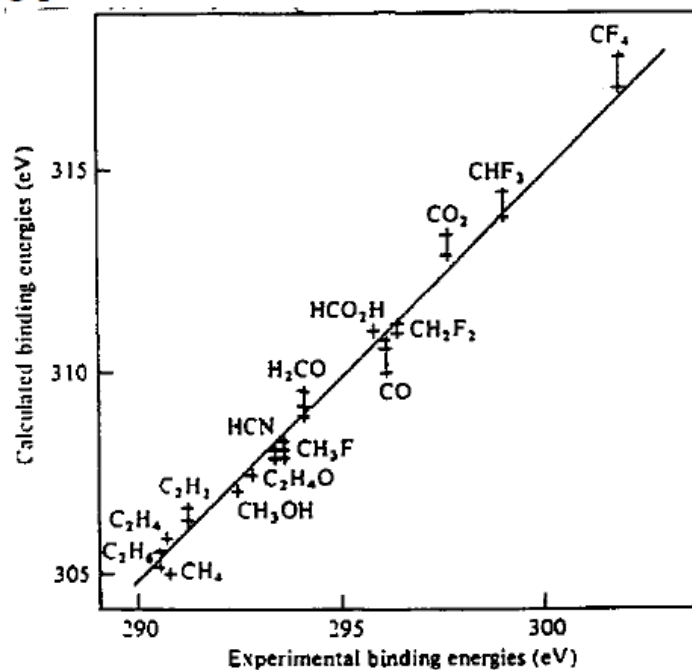
Binding Energy

Koopman's Theorem

The BE of an electron is simply the difference between the initial state (atom with n electrons) and final state (atom with $n-1$ electrons (ion) and free photoelectron)

$$BE = E_{\text{final}}(n-1) - E_{\text{initial}}(n)$$

If no relaxation* followed photoemission, $BE = -$ orbital energy, which can be calculated from Hartree Fock. *this “relaxation” refers to electronic rearrangement following photoemission – not to be confused with relaxation of surface atoms.

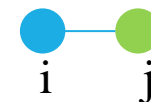


Binding energy shift vs. the localized charge on a core level

- Neglect electronic relaxation in the core-ionized final state.
- In the “**charge potential model**,” the observed binding energy E_B is related to a reference energy E_B^0 (neutral atom), the charge q_i on atom i , and the charge q_j of the surrounding atoms j at distance r_{ij} , as follows:

$$E_B^i = E_B^{i,0} + Kq_i + \sum_{j \neq i} (q_j / r_{ij})$$

where k is a constant.



$$\Delta E_B = \Delta \underline{\epsilon}_k = \Delta q + \Delta V$$

Δq : change of charge (**oxidation state**)

ΔV : surrounding potential change

Binding Energy Shifts (Chemical shifts)

Chemical Shifts- Electronegativity Effects

<i>Functional Group</i>		<i>Binding Energy (eV)</i>
<i>hydrocarbon</i>	<u>C</u> -H, <u>C</u> -C	285.0
<i>amine</i>	<u>C</u> -N	286.0
<i>alcohol, ether</i>	<u>C</u> -O-H, <u>C</u> -O-C	286.5
<i>Cl bound to C</i>	<u>C</u> -Cl	286.5
<i>F bound to C</i>	<u>C</u> -F	287.8
<i>carbonyl</i>	<u>C</u> =O	288.0

Initial State Effect

Features observed in XPS spectra

1. Photoemission peaks

- Intense & Narrow
- Shifted by the chemical effect

2. X-ray satellite peaks

- Not observed with a monochromatized source
- Always the same energy shift from the photoemission peak

3. Photon-induced Auger lines

4. Inelastic scattering background

5. Valence band features

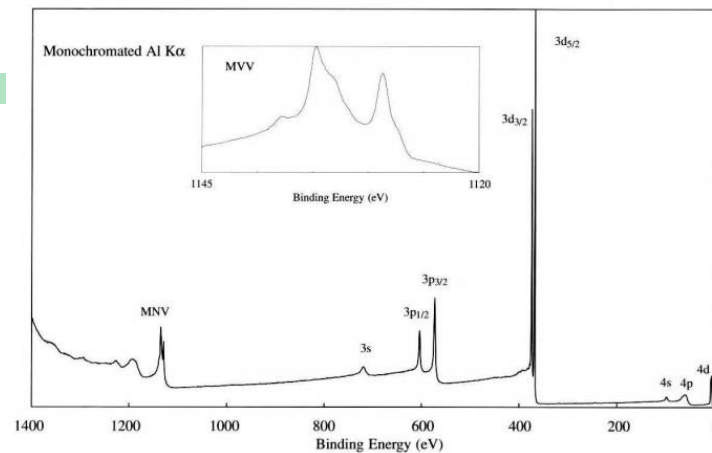
6. Spin-orbit coupling

7. Multiples splitting

8. *Shake-up satellites and shake-off satellites

9. *Plasmon loss peaks

(*Loss process)



Spin-orbit (j-j) coupling

All core levels except the s levels ($l = 0$) give rise to a doublet with the two possible states having different binding energies.

l : angular momentum number,
 s : spin momentum number

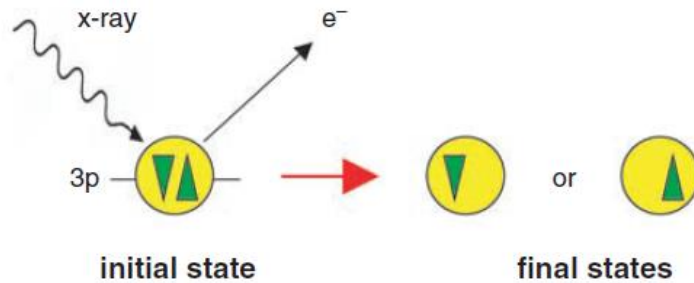
j - j coupling :

individual electron l - s coupling

d -shell electron (or hole) $l = 2$; $s = 1/2$

$j = l \pm s = 3/2$ and $5/2$

degeneracy of the final states; $g_j = 2j + 1$

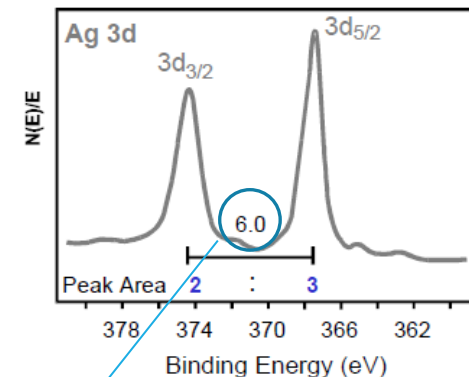


p	d	f
$p_{1/2}$ $s = -1/2$ area ratio 1 : 2	$d_{3/2}$ $s = -1/2$ area ratio (4 : 6) 2 : 3	$f_{5/2}$ $s = -1/2$ area ratio 3 : 4
$p_{3/2}$ $s = +1/2$ area ratio 1 : 2	$d_{5/2}$ $s = +1/2$ area ratio 2 : 3	$f_{7/2}$ $s = +1/2$ area ratio 3 : 4

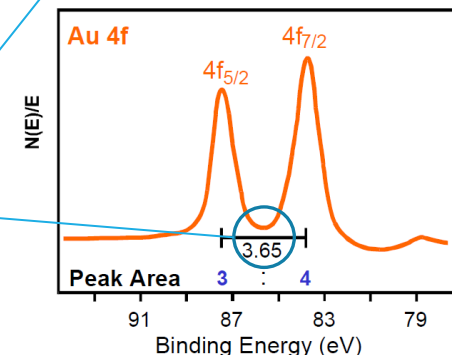
Figure 3.12 After electron emission from a 3p orbital subshell, the remaining electron can have a spin-up or spin-down state. Magnetic interaction between these electrons and the orbital angular momentum may lead to spin-orbit coupling

Spin-orbit splitting value

Spin orbital splitting and peak area ratios assist in element identifications



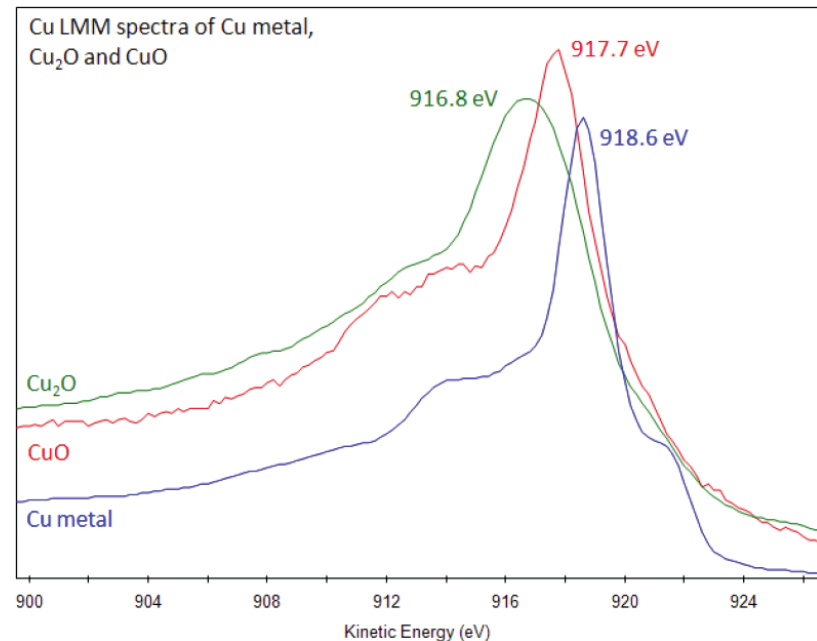
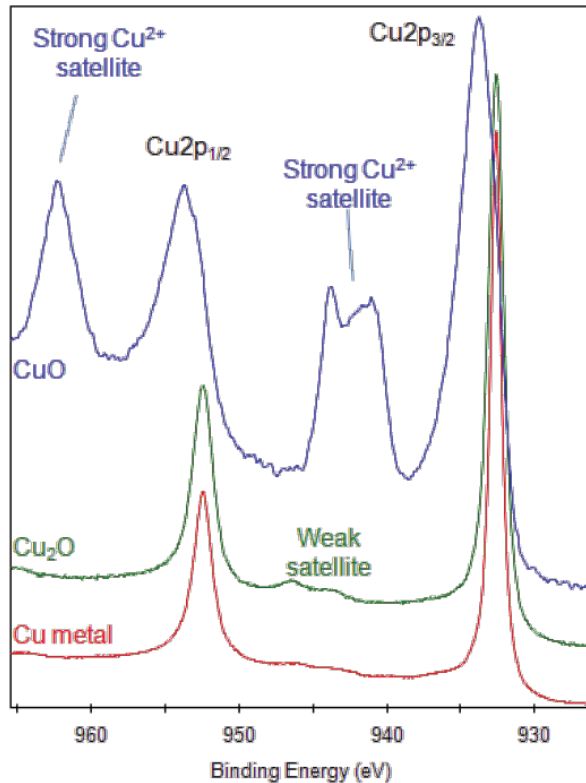
d orbital
 $l = 2$
 $s = \pm 1/2$
 $ls = 3/2, 5/2$



f orbital
 $l = 3$
 $s = \pm 1/2$
 $ls = 5/2, 7/2$

Final State Effect

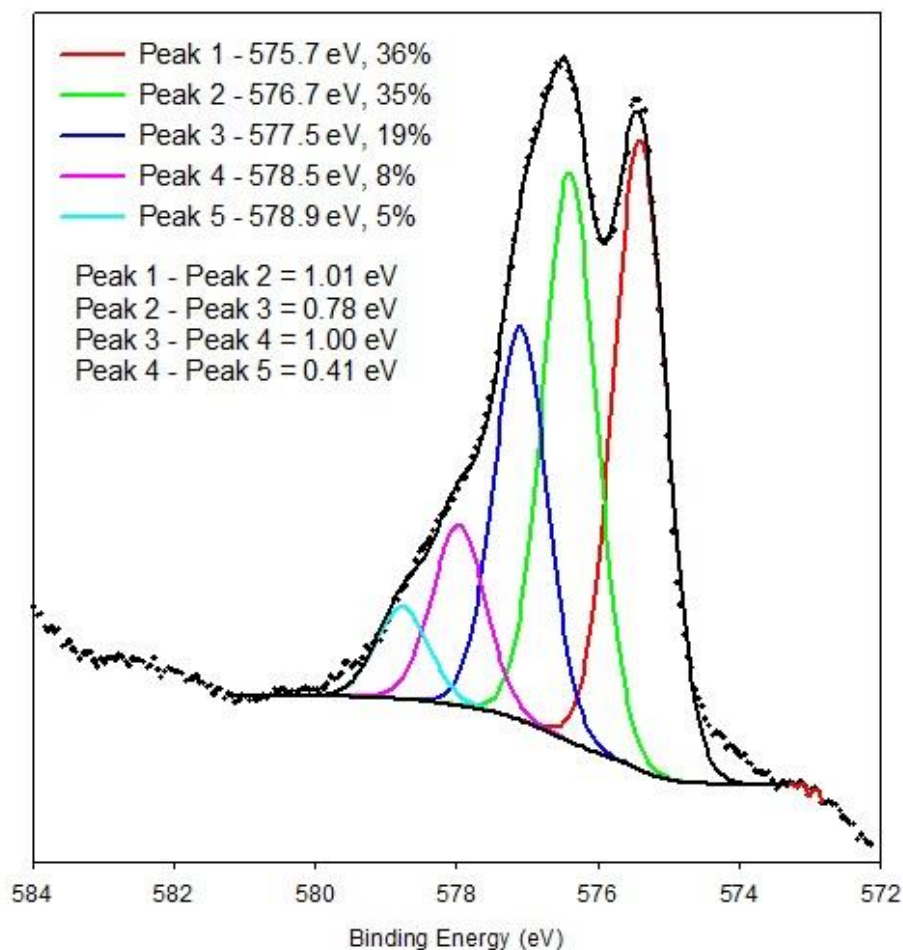
Relaxation effects can have a significant impact on the measured E_B . In all cases the **electron rearrangements** (core hole) that occur during photoemission **result in the lowering of E_B** . (Cu, Co, Ni....)



metallic Cu (Cu⁰) and Cu₂O (Cu⁺¹) have the similar E_b

Multiplet splitting

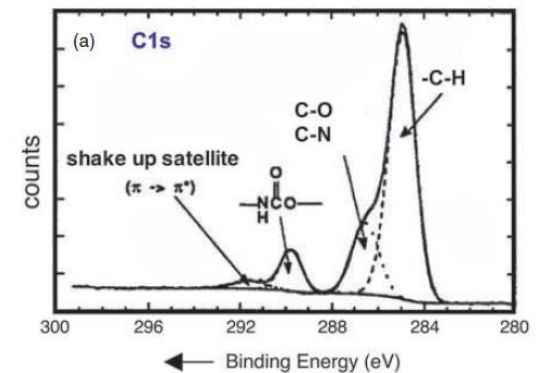
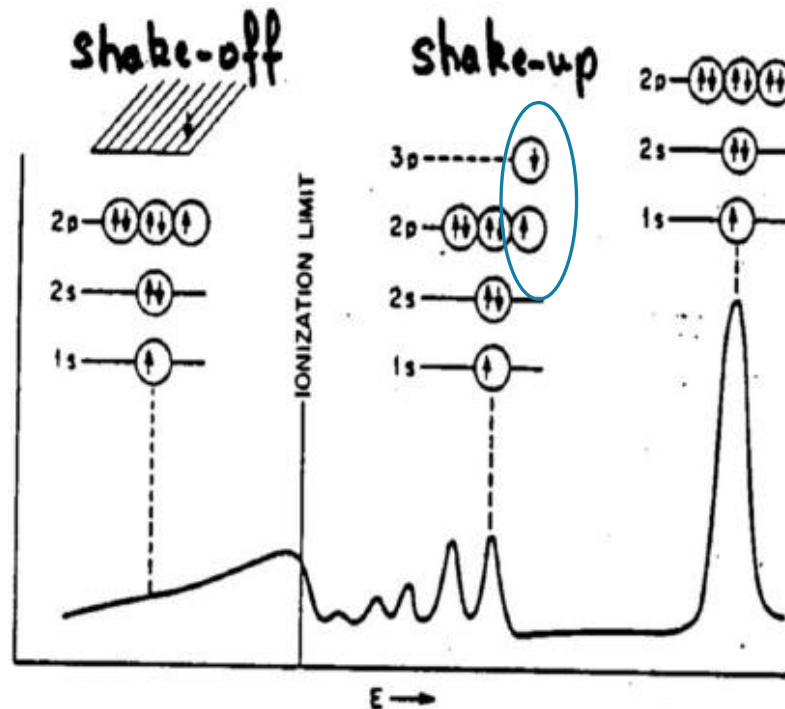
Multiplet splitting arises when an atom contains unpaired electrons (e.g. Cr(III), $3p^6 3d^3$). When a core electron vacancy is created by photoionization, there can be coupling between the unpaired electron in the core with the unpaired electrons in the outer shell. This can create a number of final states, which will be seen in the photoelectron spectrum as a multi-peak envelope.



Photoelectron spectrum with shake-up and shake-off satellites

Shake-up: Photoelectrons have lost energy through promotion of valence electrons from an occupied energy level to an unoccupied higher level

Shake-off: Departing photoelectron transfers sufficient energy into the valence electron to ionize it into continuum state



Peaks are most apparent for systems with aromatic structure, unsaturated bonds, or transition metal ions.

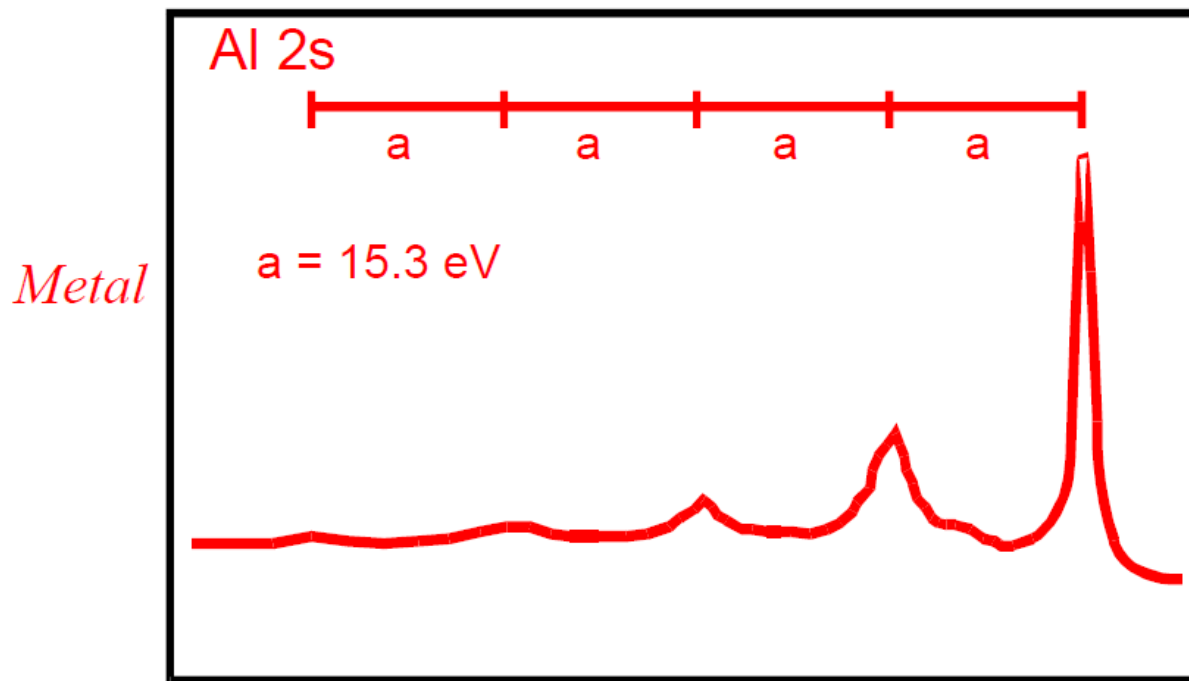
$$\Delta J = \Delta L = \Delta S = 0 ; \Delta f = \Delta s = 0$$

$$P_{n,l|j-n',l|} = \left| \int \psi_{n',l}^* \psi_{n,l} d\tau \right|^2$$

$$\Psi_{nlm}(r, \theta, \psi) = Y_{lm}(\theta, \psi) R_n(r)$$

Plasmon loss peaks

The conduction electrons in metals, in contrast to being localized on each atom, have been likened to a 'sea' or continuum. Characteristic collective vibrations have been noted for this continuum of electrons and are referred to as plasmon vibrations. In some cases, **the exiting photoelectron can couple with the plasmon vibrations leading to characteristic, periodic energy losses.**



Plasmon : collective electron wave oscillation)

XPS is a powerful surface analysis technique.

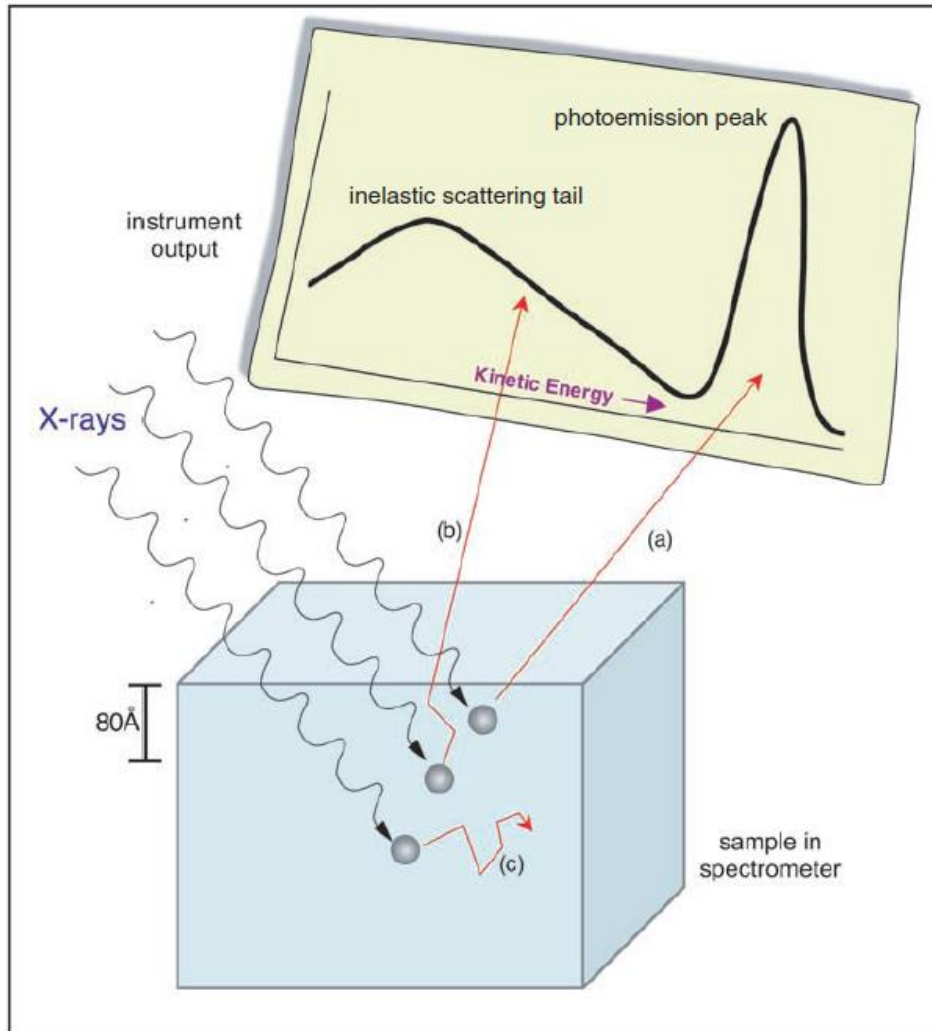


Table 1.2 Penetration depths of particles

Particle	Energy (eV)	Depths (Å)
Photon	1000	10 000
Electron	1000	20
Ions	1000	10

Figure 3.7 X-rays will penetrate deeply into a sample, and stimulate electron emission throughout the specimen. Only those electrons emitted from the surface zone that have suffered no energy loss will contribute to the photoemission peak (a). Electrons emitted from the surface zone that have lost some energy due to inelastic interactions will contribute to the scattering background (b). Electrons emitted deep within a sample will lose all their kinetic energy to inelastic collisions and will not be emitted (c)

Inelastic Mean Free Path and Sampling Depth

Beer-Lambert law (or Beer's law) : the relationship between absorbance and concentration of an absorbing species.

- Inelastic Mean Free Path (λ):
The average distance that an electron with a **given energy** travels through a solid before losing energy.
(depend on density, composition, and structure of the material being analyzed)
- Sampling Depth: ($\theta = 0^\circ$)
 $= 3\lambda$
(the depth from which 95% of the photoemission has taken place.)
- Most λ 's are in the range of 1 – 3.5 nm for Al K α radiation
- So the sampling depth (3λ) for XPS under these conditions is 3-10 nm

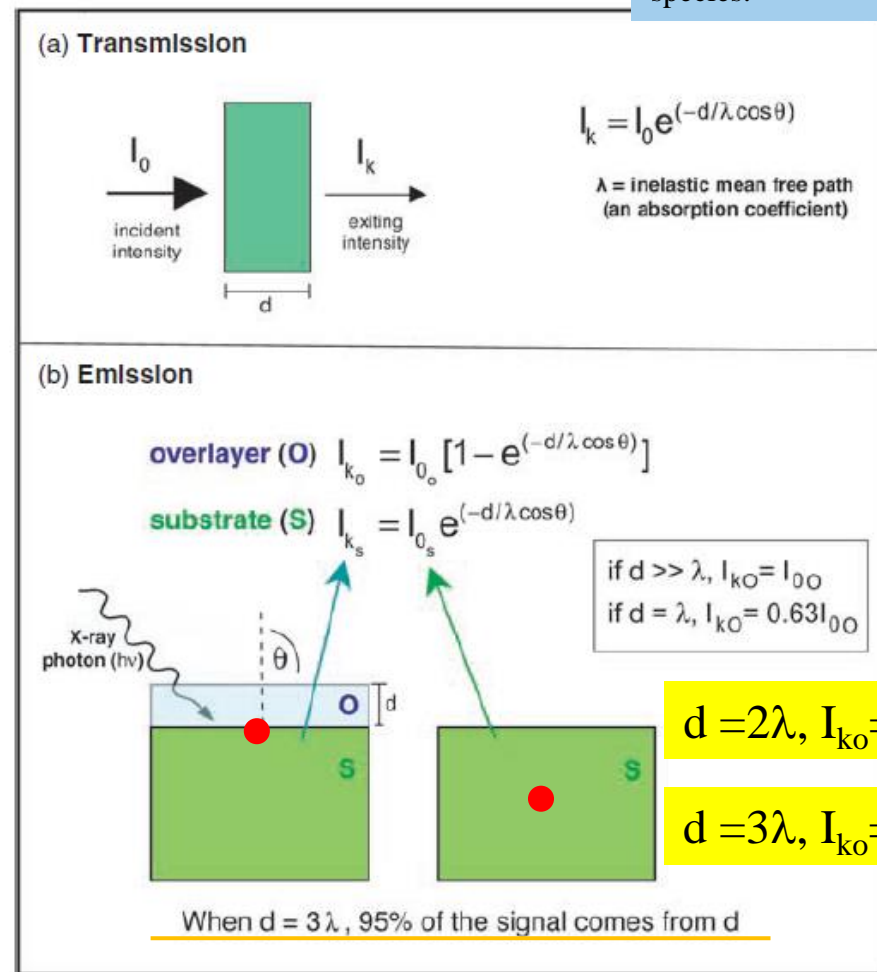


Figure 3.8 (a) For electrons transmitted through a sample, Beer's law of molecular absorption explains the total intensity loss for electrons that lose no energy in traversing the sample. (b) For electron emission from a thick sample, modifications of Beer's law can explain the photoemission intensity from an overlayer or from the substrate covered by an overlayer

Inelastic Mean free path of electrons (λ) in different solids as a function of kinetic energy of electrons (universal curve), a key to surface sensitivity

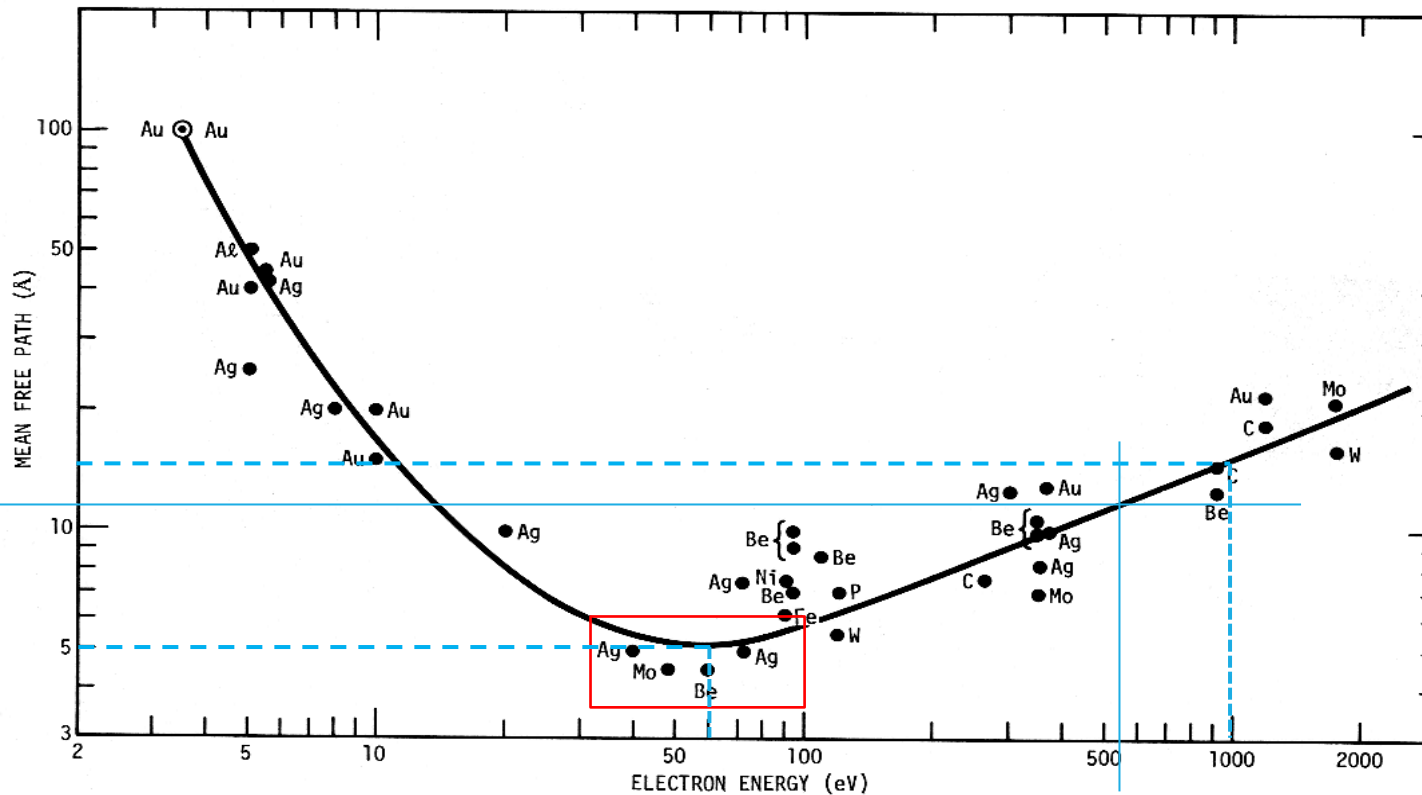


Figure 5.11. The mean free path of electrons in different solids as a function of the kinetic energy of the electrons [56].

Surface sensitive:
 $h\nu = E_b + 30\sim 100 \text{ eV}$

λ ; the average distance travelled by a given electron between two successive collisions with the other electrons of the solid atoms.

Peak widths and Intensities

Peak widths: The contributions that the intrinsic and instrumental effects make to the peak width are given, to a first approximation, by:

$$\text{FWHM}_{\text{tot}} = (\text{FWHM}_n^2 + \text{FWHM}_x^2 + \text{FWHM}_a^2 + \text{FWHM}_{\text{ch}}^2 + \dots)^{1/2} \quad (3.12)$$

where FWHM is the full-width at half-maximum of the observed peak (tot), core hole lifetime (*n*), X-ray source (*x*), analyzer (*a*), and charging contribution (*ch*).

Table 2.1 Energies and widths of some characteristic soft X-ray lines

Line	Energy, eV	Width, eV
Y <i>M</i> ζ	132.3	0.47
Zr <i>M</i> ζ	151.4	0.77
Nb <i>M</i> ζ	171.4	1.21
Mo <i>M</i> ζ	192.3	1.53
Ti <i>L</i> α	395.3	3.0
Cr <i>L</i> α	572.8	3.0
Ni <i>L</i> α	851.5	2.5
Cu <i>L</i> α	929.7	3.8
Mg <i>K</i> α	1253.6	0.7
Al <i>K</i> α	1486.6	0.85
Si <i>K</i> α	1739.5	1.0
Y <i>L</i> α	1922.6	1.5
Zr <i>L</i> α	2042.4	1.7
Ti <i>K</i> α	4510.0	2.0
Cr <i>K</i> α	5417.0	2.1
Cu <i>K</i> α	8048.0	2.6

Al *K*_{α1} with quartz monochromator: ~0.3 eV

Synchrotron X-ray

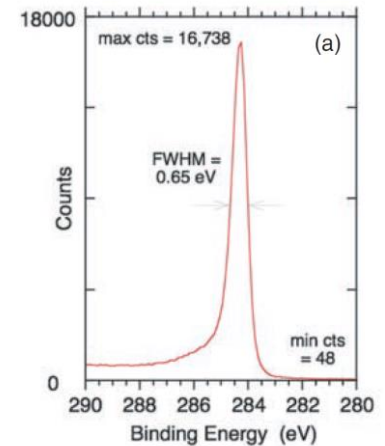
: Resolving Power

$E/dE = 8000 \sim 10000$

(BL24A @ NSRRC)

$E = 400 \text{ eV}$,

$dE = 400/8000 = 0.05 \text{ eV}$

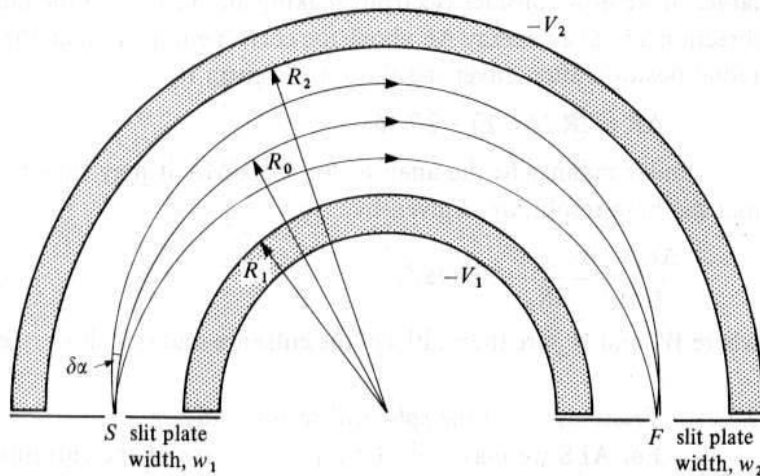


Thermal broadening:
0.1 eV at 300 K

Analyzer

HEMISPHERICAL ENERGY ANALYZER (HSA)

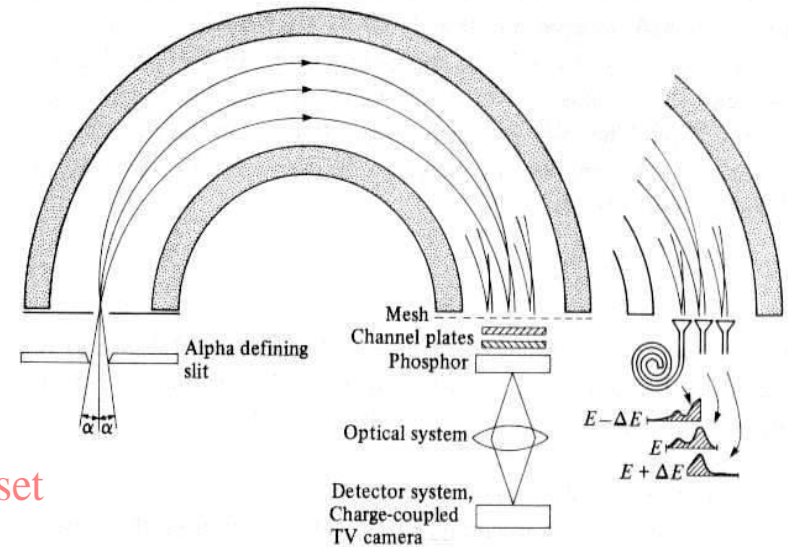
Fig. 3.7. Cross-sectional view of a hemispherical electron spectrometer.



To detect electrons of E_k energy at a pass energy of E_p , set

$$V_2 = -|E_k| + \frac{R_1}{R_2} E_p, \quad V_0 = -|E_k| + E_p, \quad V_1 = -|E_k| + \frac{R_2}{R_1} E_p$$

Fig. 3.9. Two possible multichannel output systems for spherical sector spectrometers.



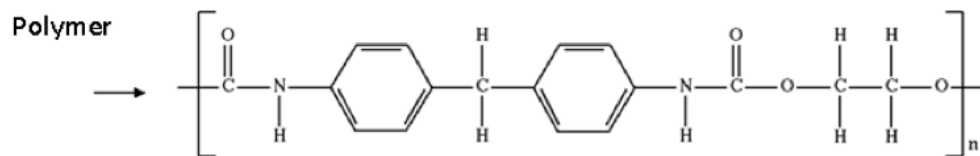
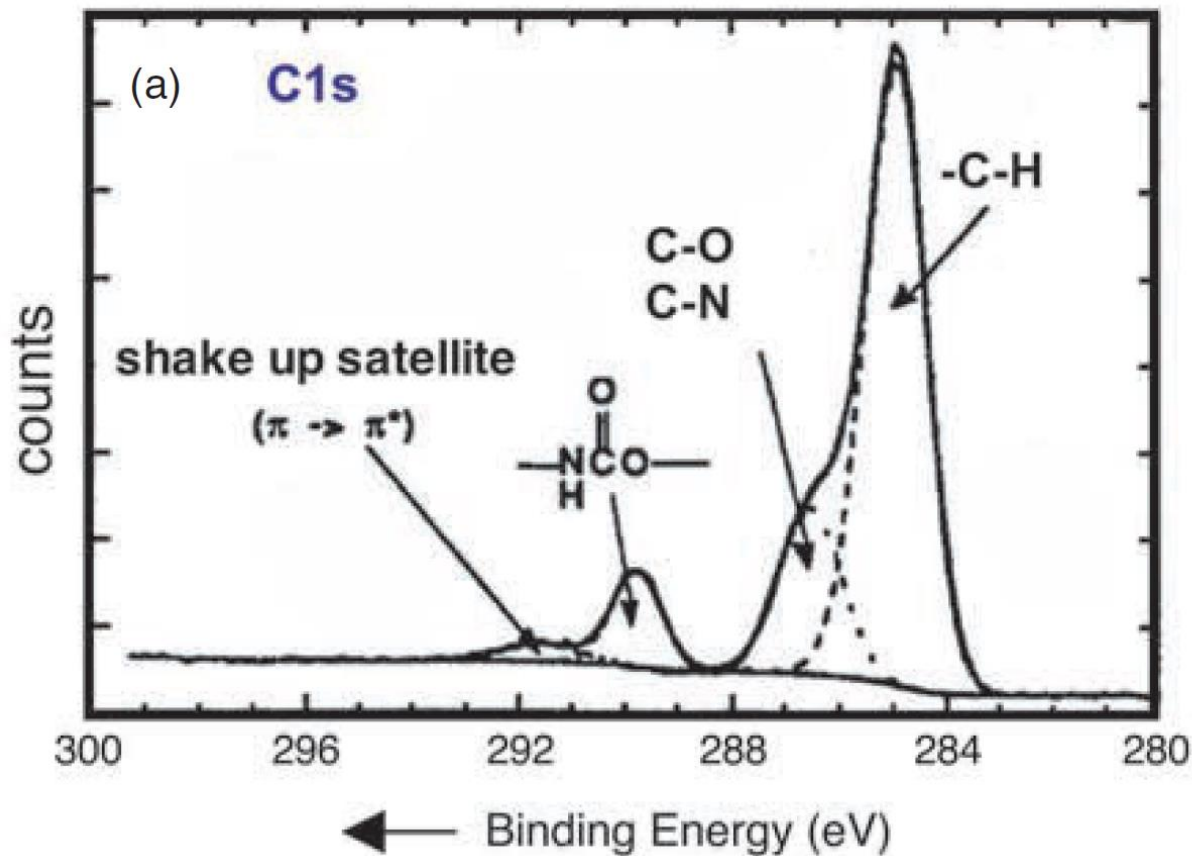
Note: V_2 , V_0 , and V_1 are neg., but E_k and E_p pos.

Energy resolution:

$$\frac{\Delta E}{E_{pass}} = \frac{(w_1 + w_2)/2}{2R_0} + \frac{\alpha^2}{4}$$

Where E_{pass} is the **pass energy** of the analyzer, R_0 is the **mean radius** ($= \frac{1}{2}(R_{in} + R_{out})$), w_1 and w_2 are the **widths of entrance and exit slits**, respectively, α is the **half acceptance angle** in dispersion direction.

The C1s spectrum (resolved into component peaks) for the hard-segment polyurethane



Polyurethane

Intensities

For a Homogeneous sample:

$$I = N \cdot \sigma \cdot D \cdot J \cdot \theta \cdot \lambda \cdot A \cdot T$$

where: N = atoms/cm³, the number of atoms of the element per cm³

σ = photoelectric cross-section for the atomic orbital of interest in cm²

D = detector efficiency

J = X ray flux, photon/cm sec X-cm²-sec

$\theta(L)$ = the emission angle of the electron with respect to the surface normal

λ = inelastic electron mean free path,

A = analysis area, cm²

T = analyzer transmission efficiency

Fixed Photon energy

$$N = I / \sigma \cdot D \cdot J \cdot \theta \cdot \lambda \cdot A \cdot T$$

Let, $S = \sigma \cdot D \cdot J \cdot \theta \cdot \lambda \cdot A \cdot T$ ----elemental sensitivity factor

$$N = I/S$$

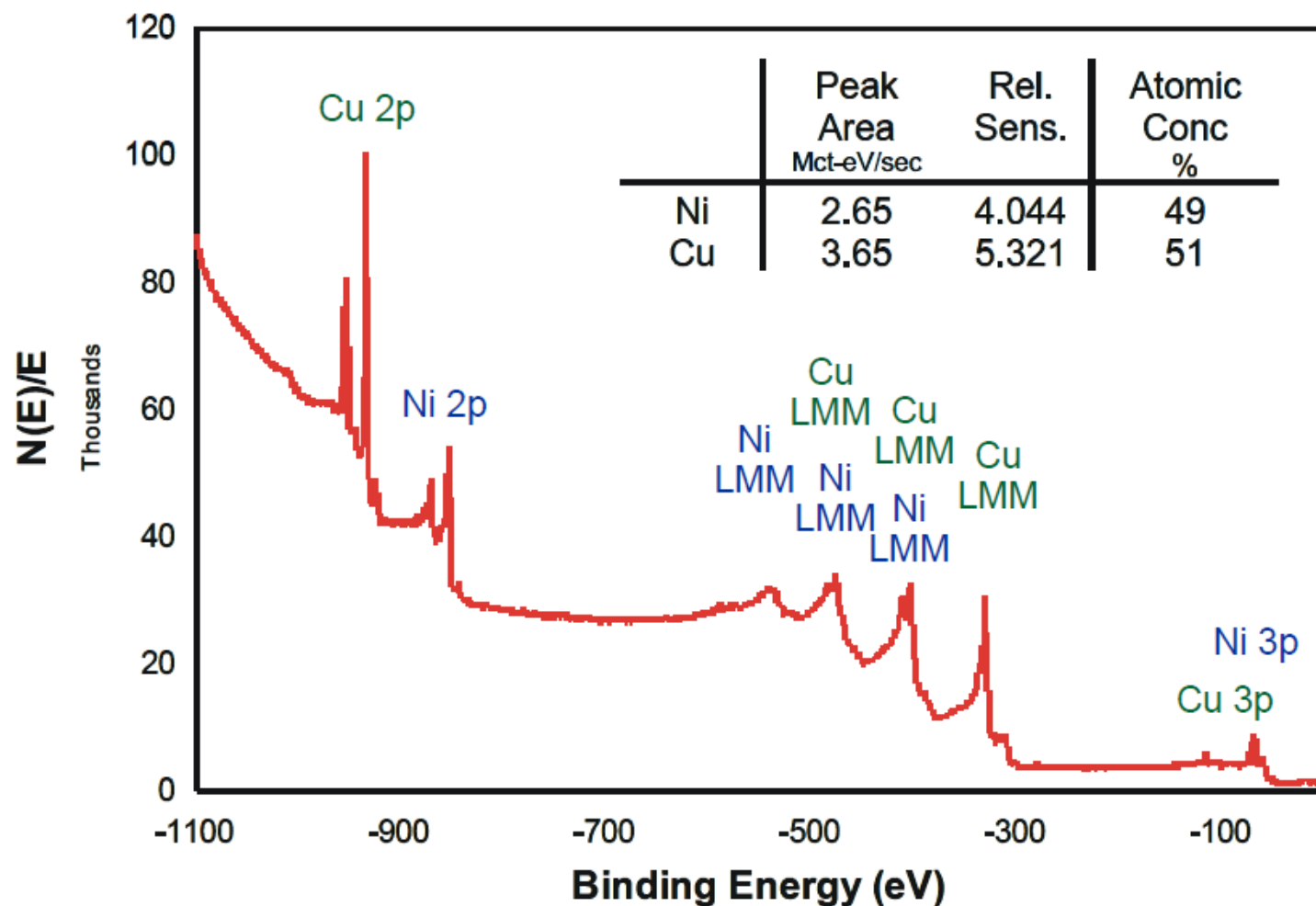
Relative Concentration (C_x) of observed elements as a number fraction by:

$$C_x = N_x / \sum N_x,$$

$$C_x = I_x / S_x / \sum I_x / S_x,$$

**The values of S are based on empirical data
(Spectrometer- dependent)**

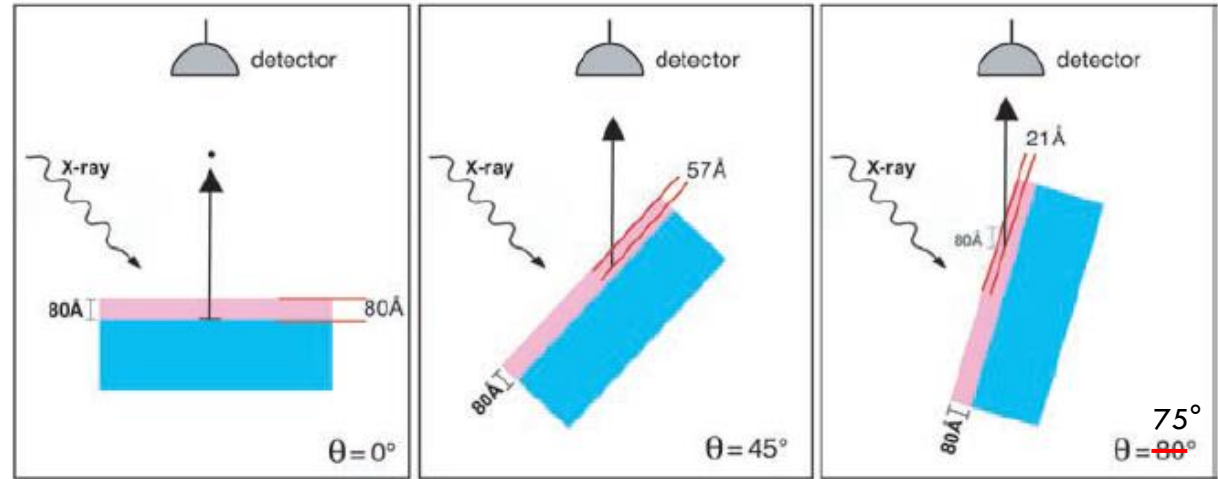
XPS of Copper-Nickel alloy



Depth Profiling

1. Using different X-ray energy
2. Rotating sample rod
3. Ion-sputter sample surface

(2)



The sample angle, θ , is defined relative to the normal to the surface

(1)

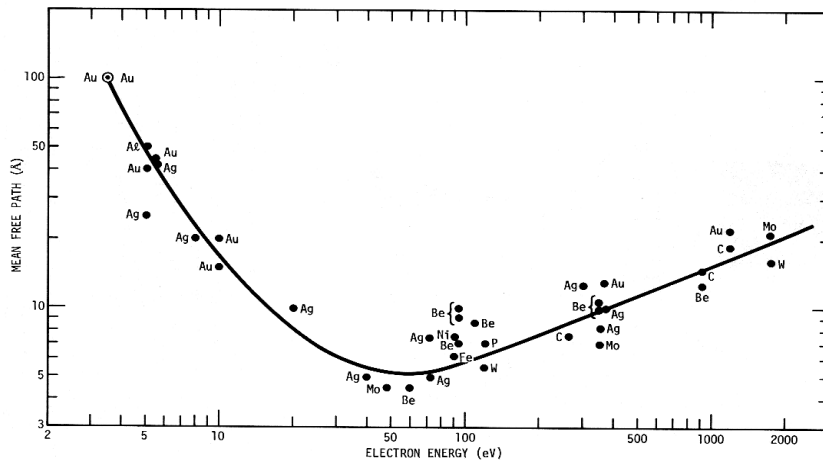
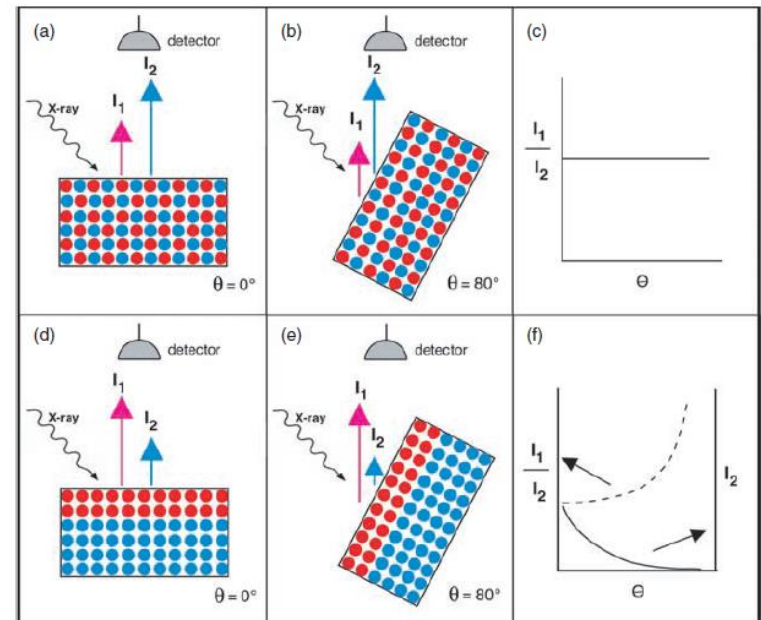


Figure 5.11. The mean free path of electrons in different solids as a function of the kinetic energy of the electrons [56].

(2)



Information Provided by XPS

Table 3.1 Information derived from an ESCA experiment

In the outermost 10 nm of a surface, ESCA can provide the following:

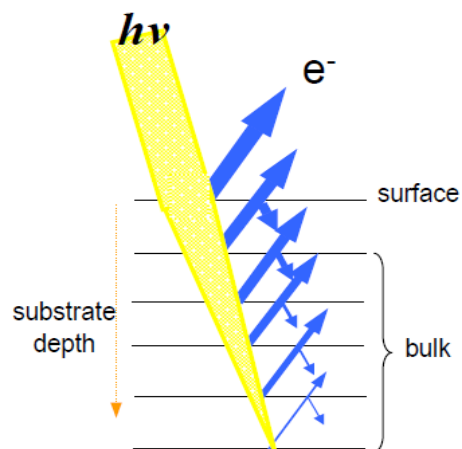
- Identification of all elements (except H and He) present at concentrations >0.1 atomic %.
 - Semiquantitative determination of the approximate elemental surface composition (error $< \pm 10\%$).
 - Information about the molecular environment (oxidation state, covalently bonded atoms, etc.).
 - Information about aromatic or unsaturated structures or paramagnetic species from shake-up ($\pi^* \rightarrow \pi$) transitions.
 - Identification of organic groups using derivatization reactions.
 - Non-destructive elemental depth profiles 10 nm into the sample and surface heterogeneity assessment using (1) angular-dependent ESCA studies and (2) photoelectrons with differing escape depths.
 - Destructive elemental depth profiles several hundred nanometers into the sample using ion etching.
 - Lateral variations in surface composition (spatial resolutions down to 5 μm for laboratory instruments and spatial resolutions down to 40 nm for synchrotron-based instruments).
 - 'Fingerprinting' of materials using valence band spectra and identification of bonding orbitals.
 - Studies on hydrated (frozen) surfaces.
-

Advantage of synchrotron radiation over lab-based x-ray sources in photoelectron spectroscopy

- High flux and brightness
- Continuous source energy
- ▲ Much higher photoionization cross section can be realized
- ▲ Surface sensitivity enhanced by selecting favorable exit kinetic energy of electrons
- High energy-resolution spectroscopy work routinely achieved
- Cleaner light, without x-ray satellites found in the lab source
- Variable polarization

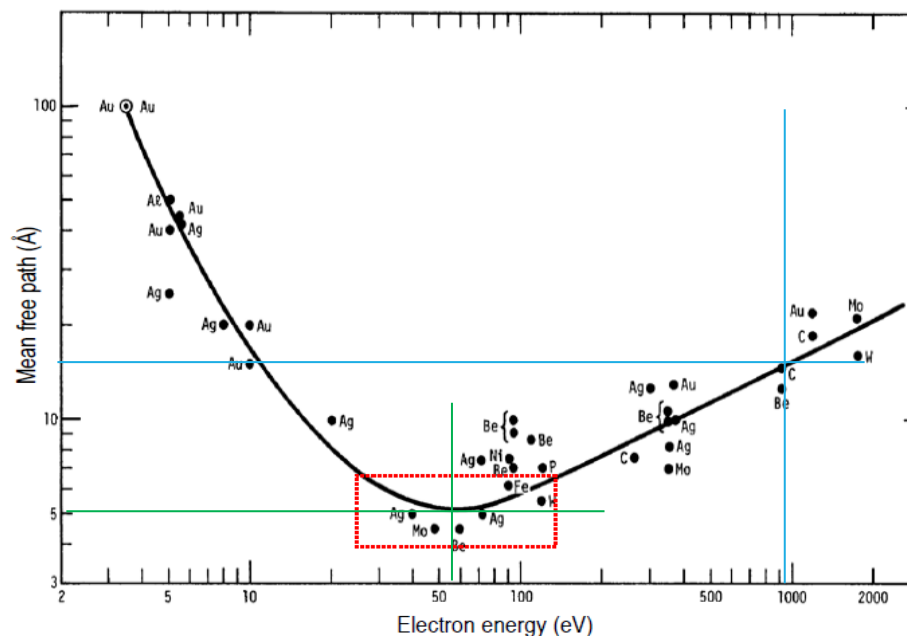
Benefits from Continuous source energy

1. More Surface Sensitive



Surface sensitive :

$$h\nu = E_b + 30\sim 150 \text{ eV}$$

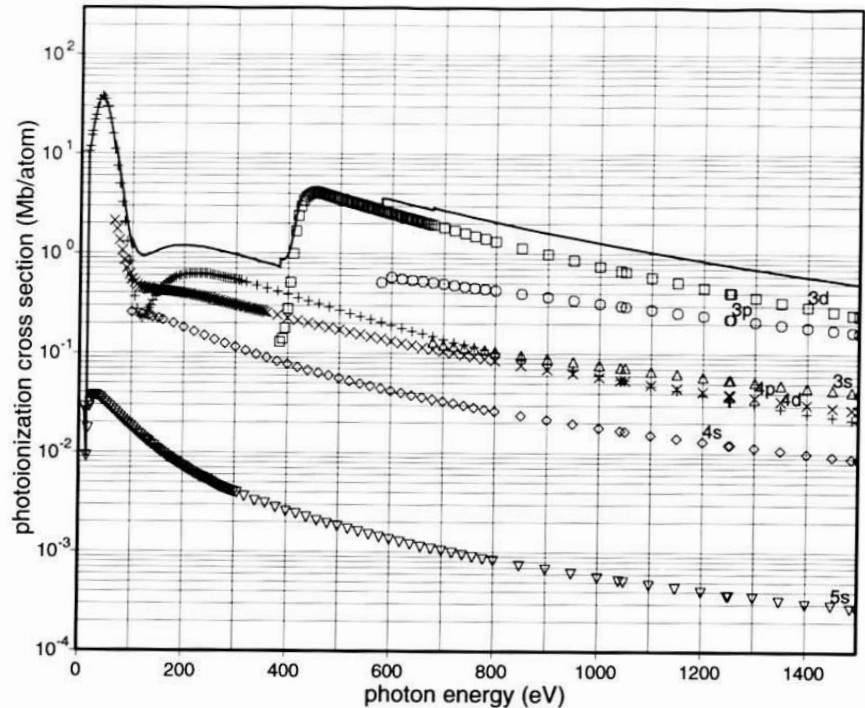
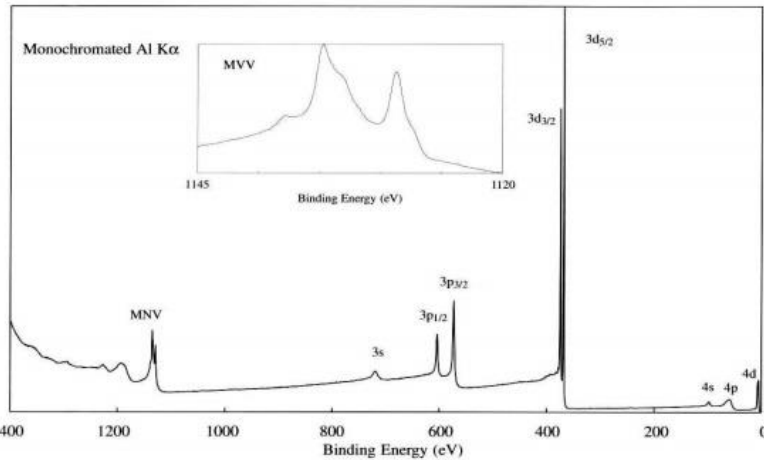


Mg K_{α} = 1253.6 eV, C1s binding energy = 285 eV,
 E_k for carbon, 968.6 eV, $\lambda = 1.6 \text{ nm}$, Sampling depth = 4.8 nm

$E_{SR} = 340 \text{ eV}$,
 E_k for carbon, 55 eV, $\lambda = 0.5 \text{ nm}$, Sampling depth = 1.5 nm

2. Non-destructive method for depth profiling

3. Strong photon-energy dependence of photoionization cross section



Ag⁴⁷

Silver

[Kr]4d¹⁰5s¹

107.868 amu

10.5 g/cm³

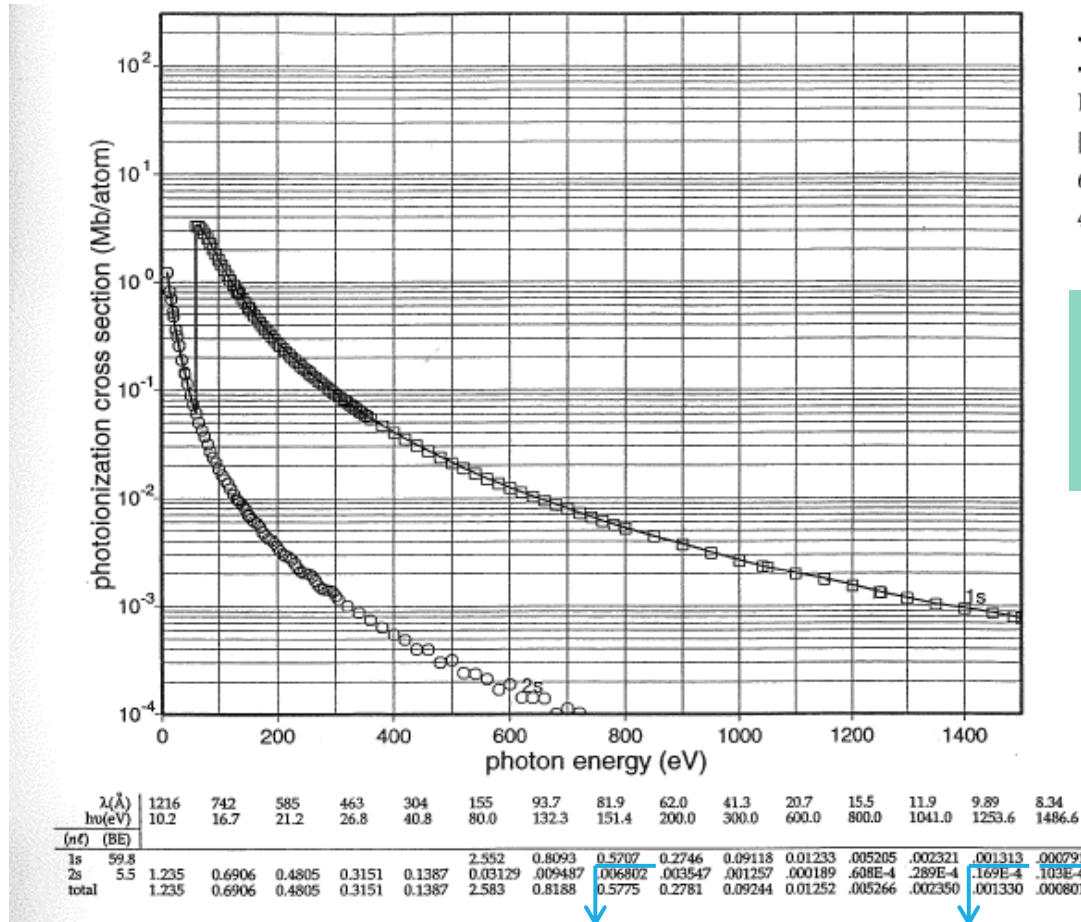
5.88 × 10²² /cm³

$\lambda(\text{\AA})$	1216	742	585	463	304	155	93.7	81.9	62.0	41.3	20.7	15.5	11.9	9.89	8.34
$h\nu(\text{eV})$	10.2	16.7	21.2	26.8	40.8	80.0	132.3	151.4	200.0	300.0	600.0	800.0	1041.0	1253.6	1486.6
(n ℓ) (BE)															
3s	665.1														
3p	567.2														
3d	384.4														
4s	94.2														
4p	62.9														
4d	12.6														
5s	6.4	0.02843	0.01723	0.03113	0.03686	0.03504	0.02251	0.01287	0.01074	0.007235	0.003991	0.001340	0.000822	0.000516	0.000274
total		0.02843	11.810	16.650	24.210	37.520	4.298	0.9633	1.071	1.190	0.9677	3.445	2.075	1.175	0.7673

Cross section defined as the transition probability per unit time for excitation of a single photoelectron from the core level of interest under an incident photon flux of #/cm²•s⁻¹

Cross-section unit (from nuclear physics):
barn = 10⁻²⁴ cm², Mb=10⁻¹⁸ cm².

Photoionization cross section of Li



Li³

Lithium

[He]2s¹

6.941 amu

$4.65 \times 10^{22} / \text{cm}^3$

The photoionization cross section will be enhanced dramatically by using synchrotron radiation.

0.5707

0.001313

0.000791

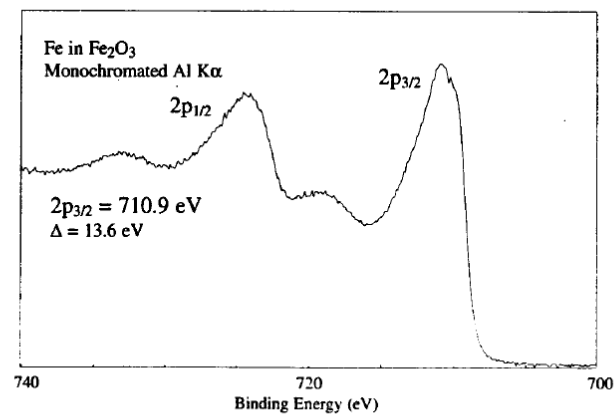
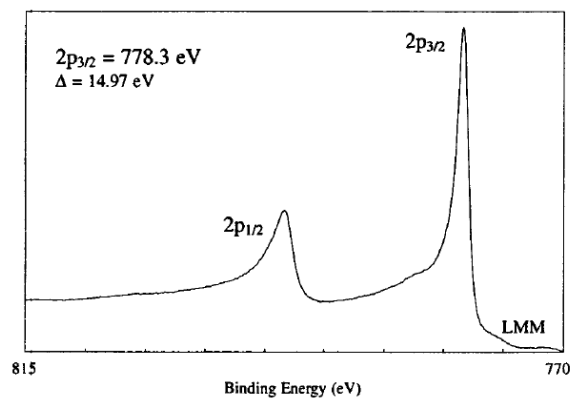
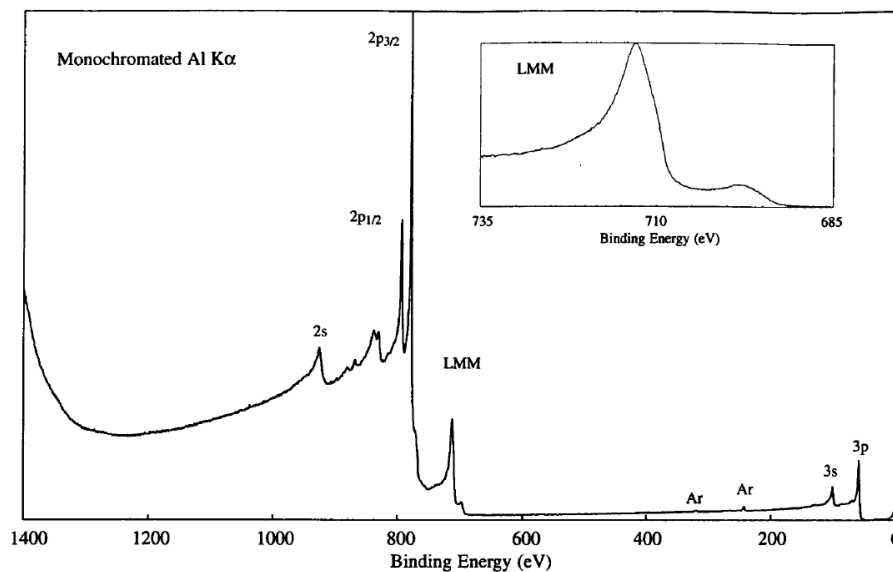
$\sim 8 \times 10^2$

4. To Avoid the Auger electrons of Co overlap with Fe 2p peak

Cobalt Co
Atomic Number 27

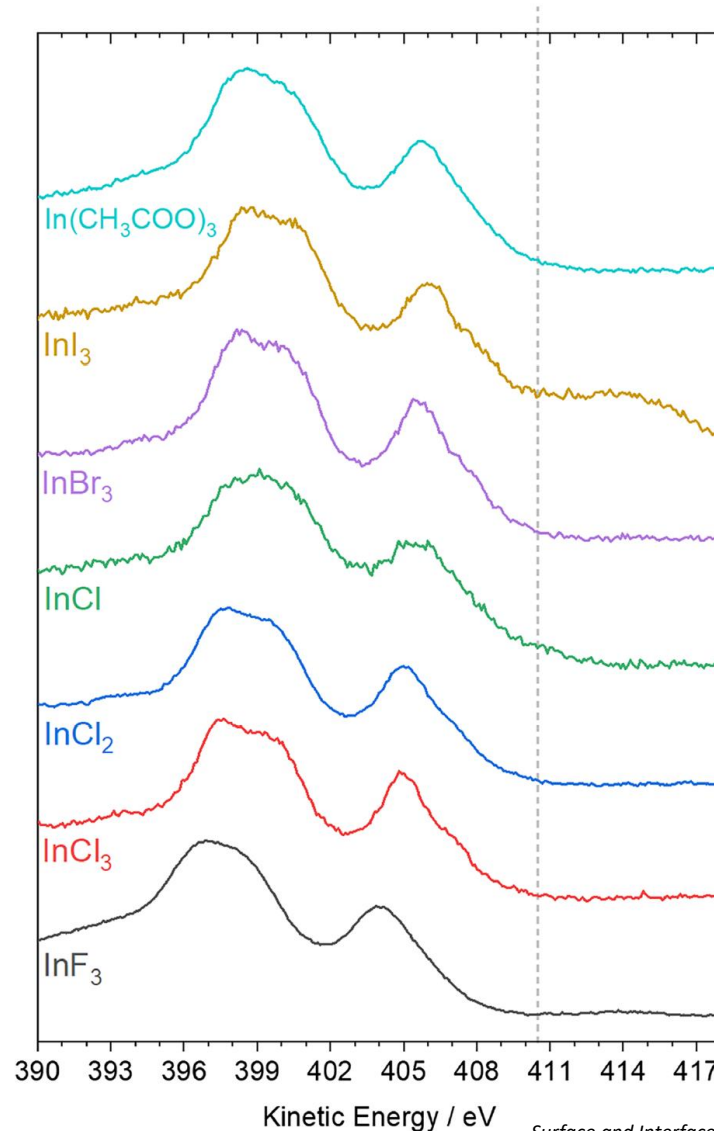
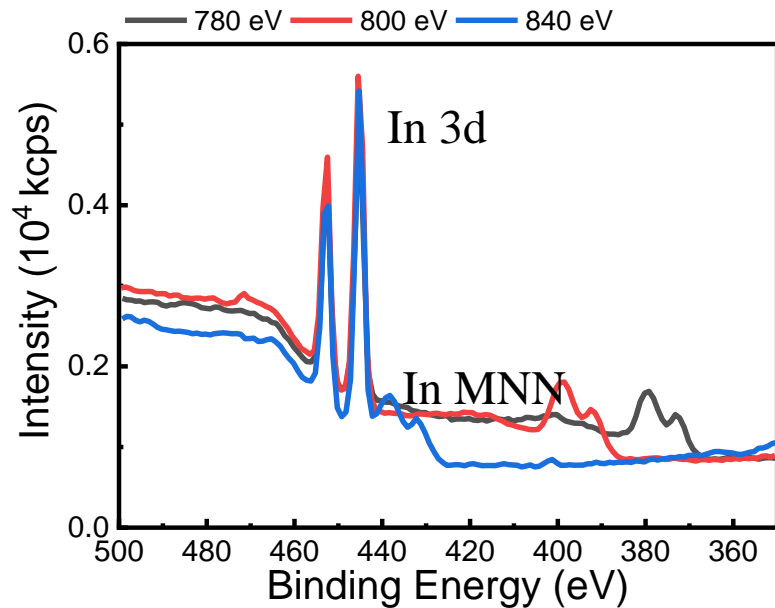
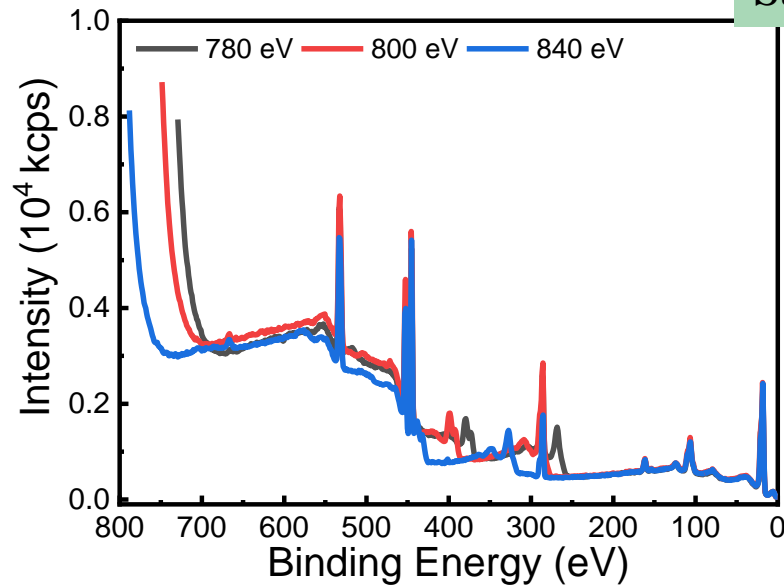
Handbook of X-ray Photoelectron Spectroscopy

Al K α = 1486.3 eV



Adjust photon energy to avoid the Auger electron signal

Sample: In_2O_3



Energies and widths of commonly used soft x-ray sources

Table 2.1 Energies and widths of some characteristic soft X-ray lines

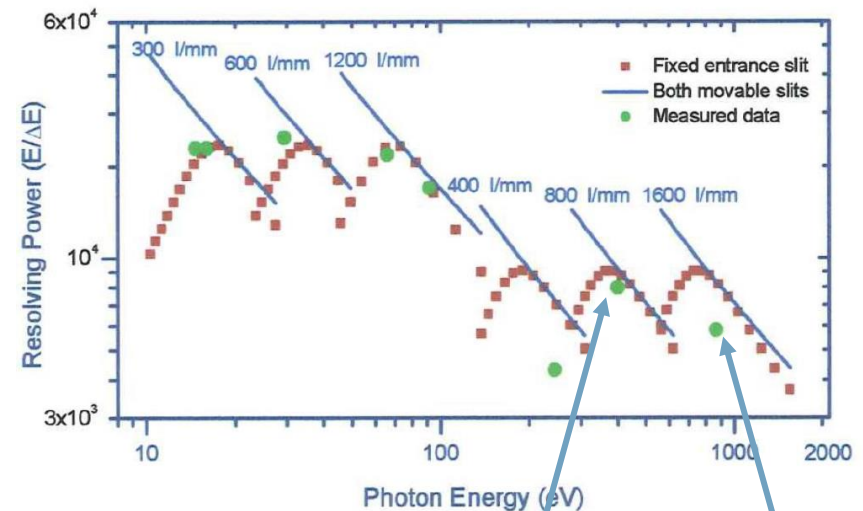
Line	Energy, eV	Width, eV
Y $M\zeta$	132.3	0.47
Zr $M\zeta$	151.4	0.77
Nb $M\zeta$	171.4	1.21
Mo $M\zeta$	192.3	1.53
Ti $L\alpha$	395.3	3.0
Cr $L\alpha$	572.8	3.0
Ni $L\alpha$	851.5	2.5
Cu $L\alpha$	929.7	3.8
Mg $K\alpha$	1253.6	0.7
Al $K\alpha$	1486.6	0.85
Si $K\alpha$	1739.5	1.0
Y $L\alpha$	1922.6	1.5
Zr $L\alpha$	2042.4	1.7
Ti $K\alpha$	4510.0	2.0
Cr $K\alpha$	5417.0	2.1
Cu $K\alpha$	8048.0	2.6

Al $K_{\alpha 1}$ with quartz monochromator: ~ 0.3 eV

$$\text{FWHM}_{\text{tot}} = (\text{FWHM}_n^2 + \text{FWHM}_x^2 + \text{FWHM}_a^2 + \text{FWHM}_{\text{ch}}^2 + \dots)^{1/2} \quad (3.12)$$

where FWHM is the full-width at half-maximum of the observed peak (tot), core hole lifetime (n), X-ray source (x), analyzer (a), and charging contribution (ch).

Resolving power for TLS 24A



$S1=S2= 10 \mu\text{m}$,
 $h\nu : \sim 400 \text{ eV}$, $E/\Delta E=8000$
 $\rightarrow \Delta E=0.05 \text{ eV}$

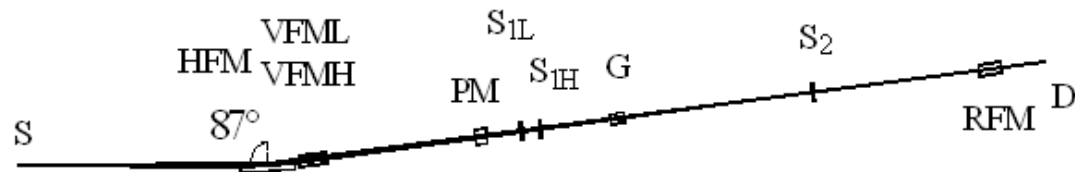
$S1=S2= 10 \mu\text{m}$,
 $h\nu : \sim 850 \text{ eV}$, $E/\Delta E=6000$
 $\rightarrow \Delta E=0.15 \text{ eV}$

XPS End stations at TLS

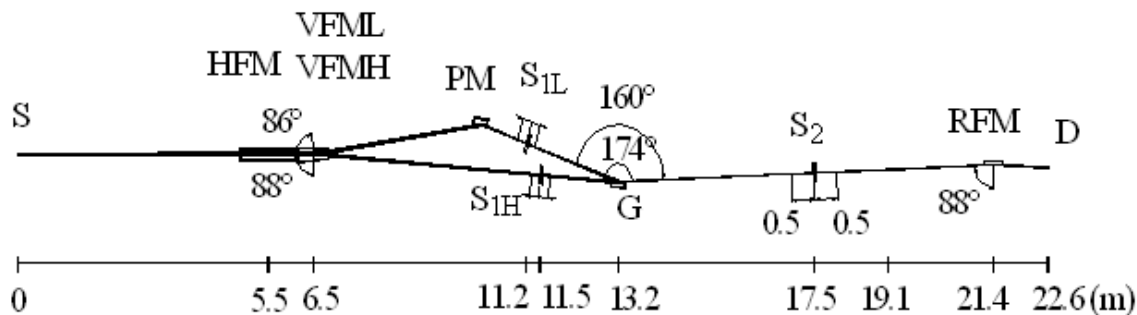
Beamline	Source	Energy (eV)	Major Technology
05B2	undulator	60- 1400	PEEM (TPS 27A2)
08B1	Bending	15- 200	ARPES
09A1, A2	undulator	60- 1500	SPEM, (XPS, XAS)
20A	Bending	10- 1250	XAS, XPS
21B	undulator	5- 100	ARPES
24A	Bending	10- 1600	XPS, XAS

Beamline 24 A at TLS

TOP VIEW



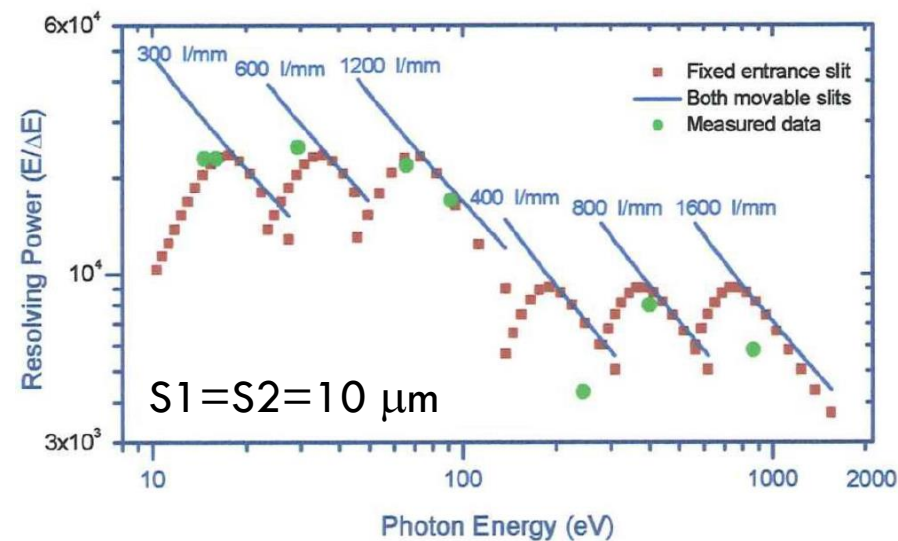
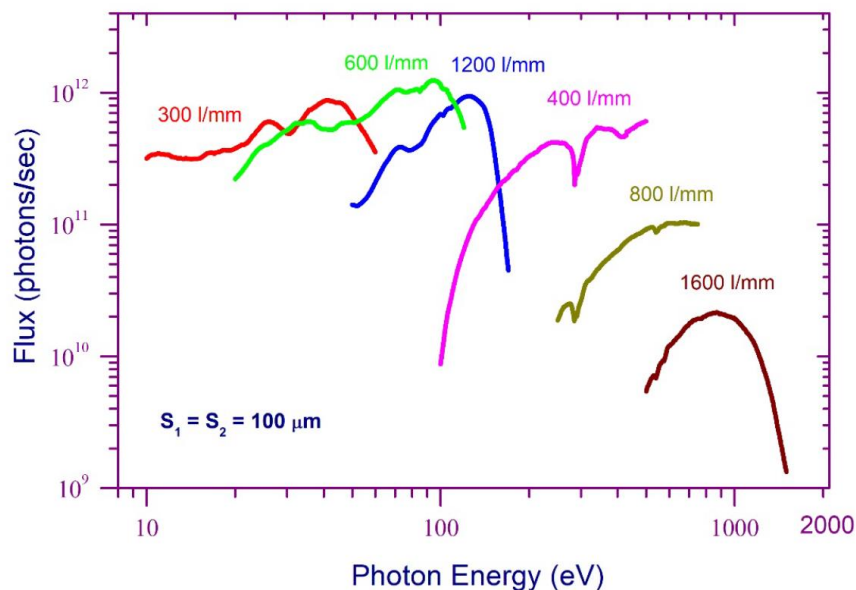
SIDE VIEW



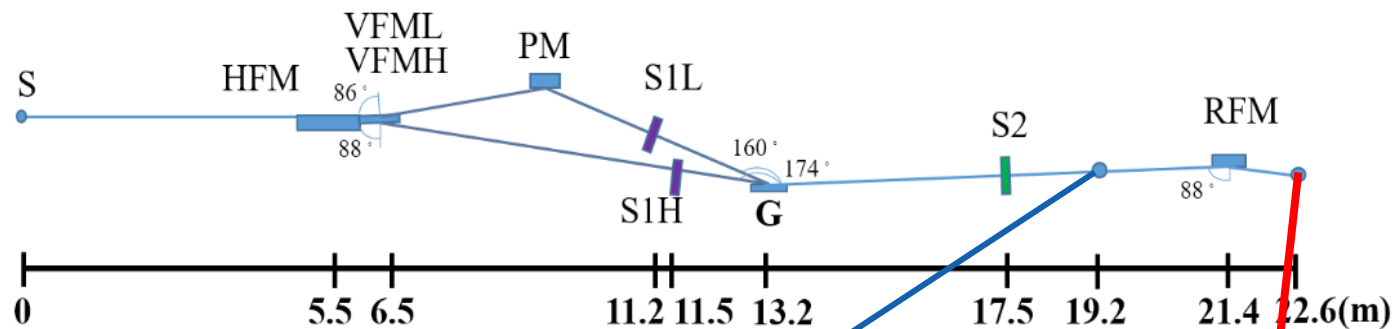
Source	Energy (eV)	Focusing Mirror	Focused Beam Size FWHM H × V (mm)	Resolving Power E/dE
BM	15 ~ 175 120 ~ 1600	Toroidal RFM	0.7 × 0.3	20000 8000

Six spherical gratings are used to cover photon energies from 15 to 1600 eV.

	Grating Ruling Density (l/mm)	Usable Photon Energy Range (eV)
Low Energy Branch	300	15-40
	600	16-63
	1200	32-175
High Energy Branch	400	120-380
	800	240-840
	1600	500-1600

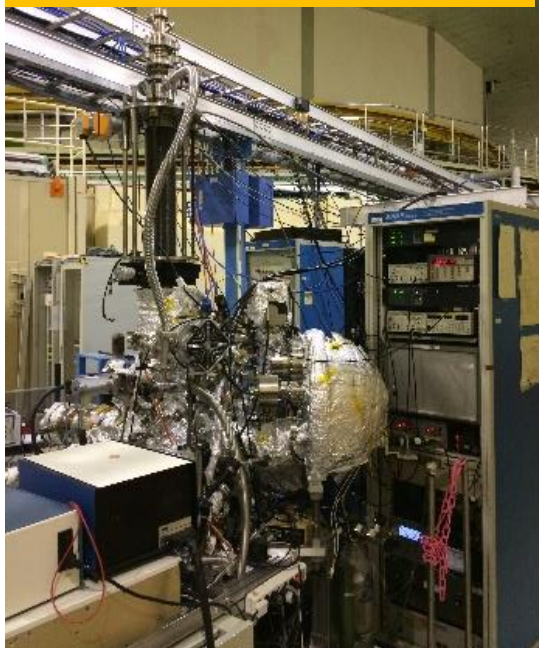


End stations at BL24A of TLS

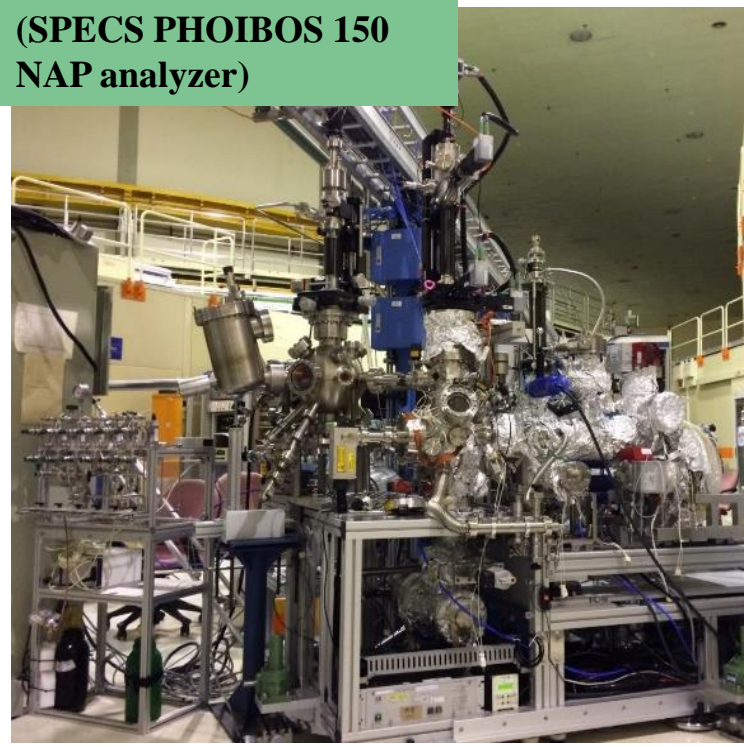


L: Low energy branch, H: High energy branch, S: Slit, G: Grating

Conventional XPS endstation
(SPECS PHOIBOS 150
analyzer)



APXPS endstation
(SPECS PHOIBOS 150
NAP analyzer)



Beamline 24 A

Photoemission Spectroscopy :

Conventional X-Ray Photoemission Spectroscopy (XPS)

Chemical state, Elements compositions,

(Near) Ambient pressure XPS

In-situ and in operando XPS measurements

Ultraviolet Photoemission Spectroscopy (UPS)

Energy (eV): He lamp, He I : 21.21 eV, He II: 40.2 eV

SR (Lower Energy branch): 15 – 175 eV

Valence band information:

HOMO, Band structure, Work function.....

X-Ray Absorption Spectroscopy (Electron Yield):

Near Edge X-ray Absorption Fine Structure (NEXAFS)

Molecular Orientation, Molecular Fine Structure,....

TEY ~ 15 nm
PEY ~ 5 nm
AEY ~ 3 nm

**Applications of Synchrotron _based X-ray
Photoelectron Spectroscopy (XPS) at the
conventional XPS endstation of BL 24A**

Dual-Axis Alignment of Bulk Artificial Water Channels by Directional Water-Induced Self-Assembly

Yuan Chen, Hsi-Yen Chang, Mu-Tzu Lee, Zong-Ren Yang, Chia-Hsin Wang, Kuan-Yi Wu,*
Wei-Tsung Chuang,* and Chien-Lung Wang*



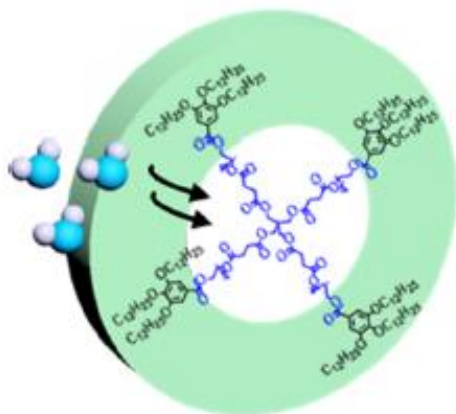
Cite This: *J. Am. Chem. Soc.* 2022, 144, 7768–7777



Read Online

(a)

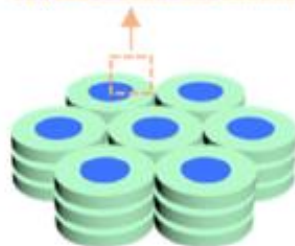
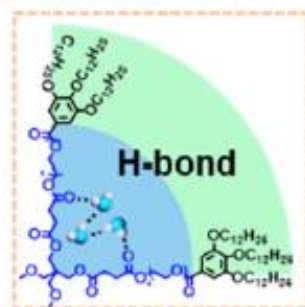
**Water Induced
Self-Assembly**



Amphilic LC

(b) **Microscopic**

**Water core as the
Structural stabilizer**

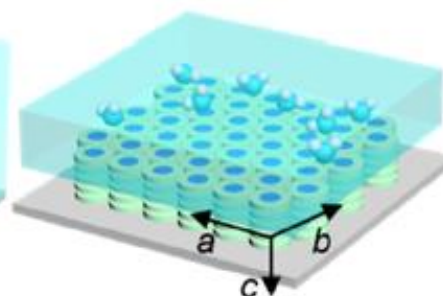
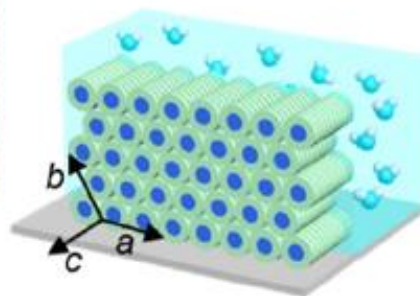


Hydrated Co/h Phase

(c)

Macroscopic

**Water as the
Morphological controller
Orientation-directing agent**

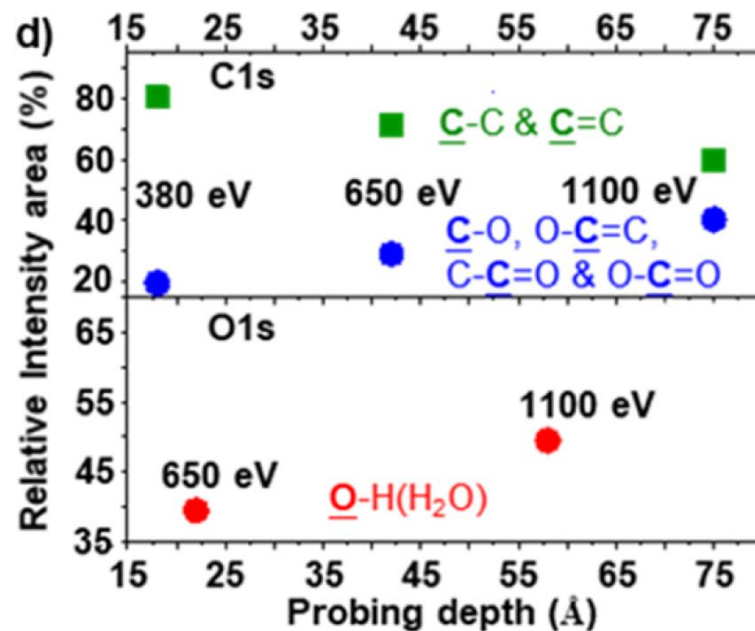
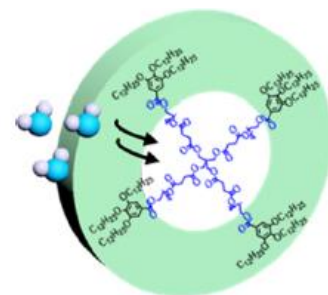
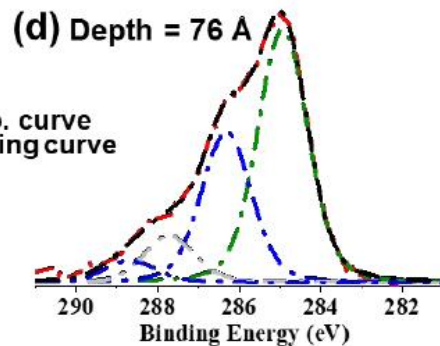
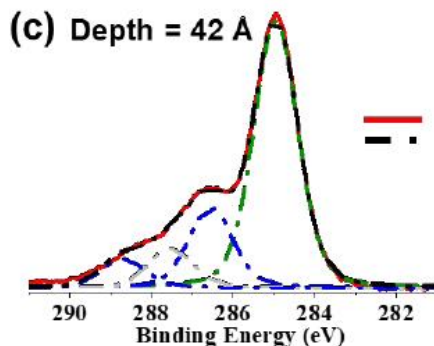
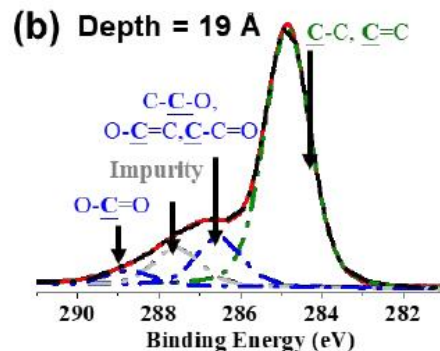
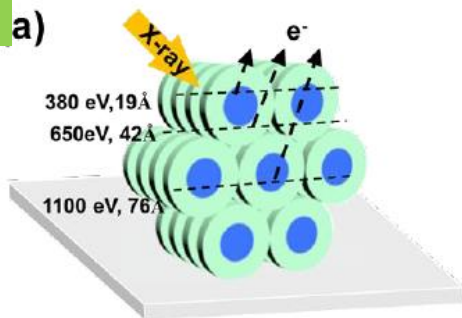


**Domain size of grains
Orientational control in the dual axes**

Water as an excellent structural stabilizer and orientation-directing agent of an amphilic discotic molecule (AD) in the water-induced self-assembly (WISA) process.

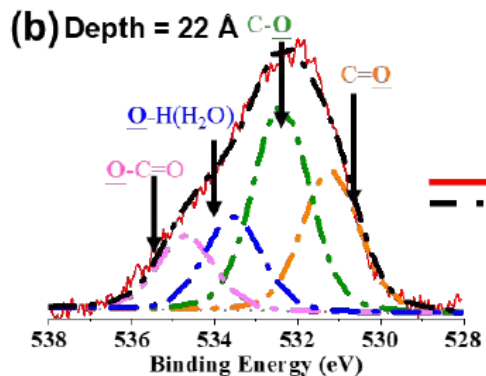
Depth profile of XPS measurements applied with different X-ray excitation photon energies

C1s

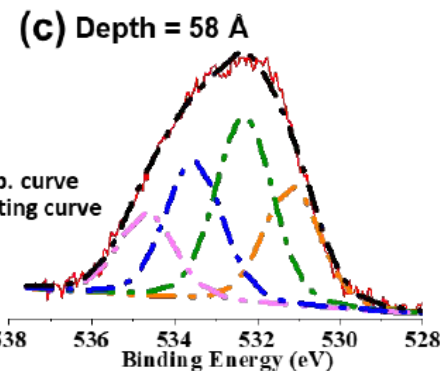


O1s

hν = 650 eV



hν = 1100 eV



Relative intensity area (A_{rel}) of the hydrophobic (green color) and hydrophilic segments (blue color) of the AD in C 1s spectra and H₂O (red color) in O 1s spectra as functions of the probing depth.



WO_x nanowire supported ultra-fine Ir-IrO_x nanocatalyst with compelling OER activity and durability

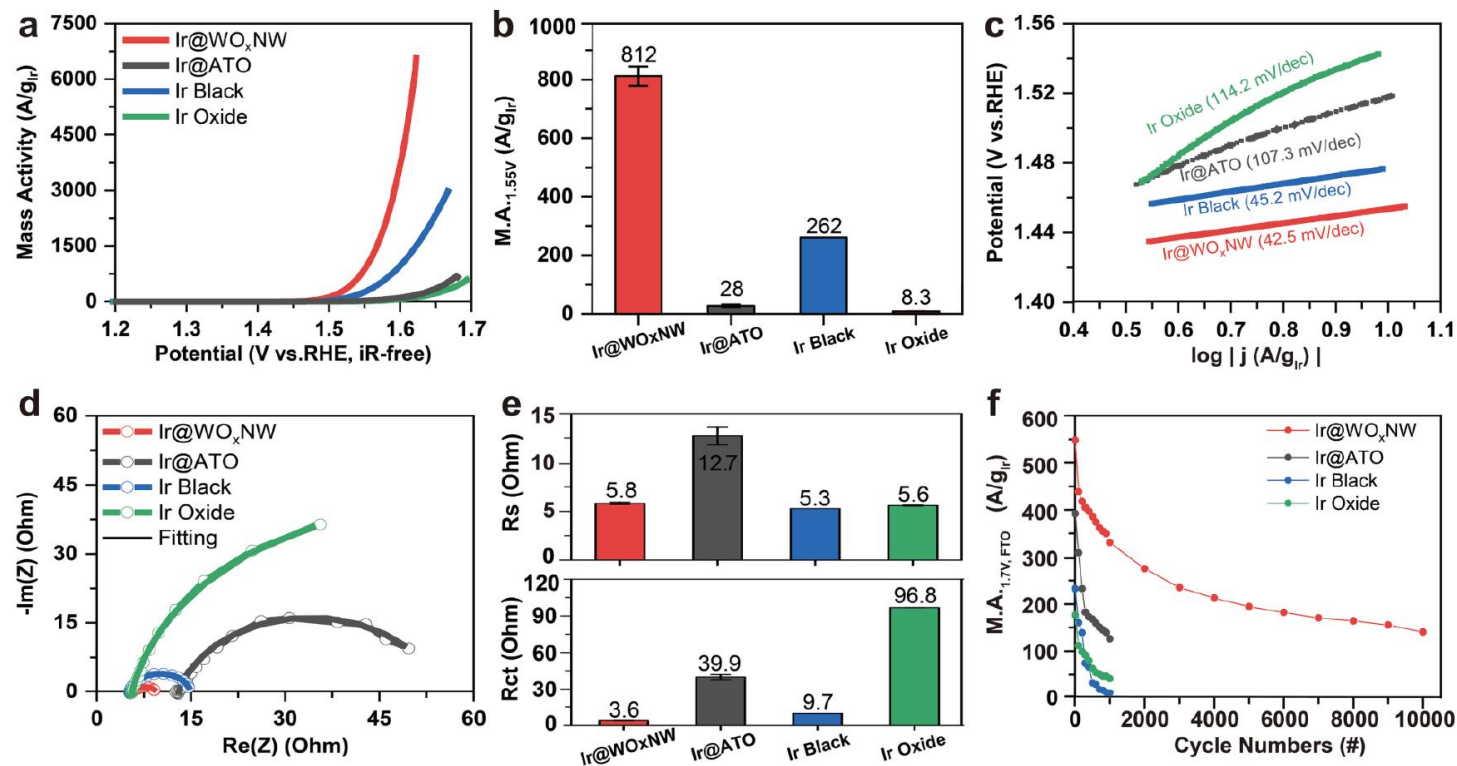
Lu-Yu Chueh^a, Chun-Han Kuo^b, Ren-Hao Yang^a, Ding-Huei Tsai^a, Meng-Hsuan Tsai^c,
Chueh-Cheng Yang^c, Han-Yi Chen^b, Chia-Hsin Wang^c, Yung-Tin Pan^{a,*}

^a Department of Chemical Engineering, National Tsing Hua University, 101 Section 2, Kuang-Fu Rd., Hsinchu City 300044, Taiwan

^b Department of Materials Science and Engineering, National Tsing Hua University, 101 Section 2, Kuang-Fu Rd., Hsinchu City 300044, Taiwan

^c National Synchrotron Radiation Research Center, Hsinchu City 300902, Taiwan

Chemical Engineering Journal
2023, 464, 142613.



Depth profile of XPS measurements applied with different X-ray excitation photon energies

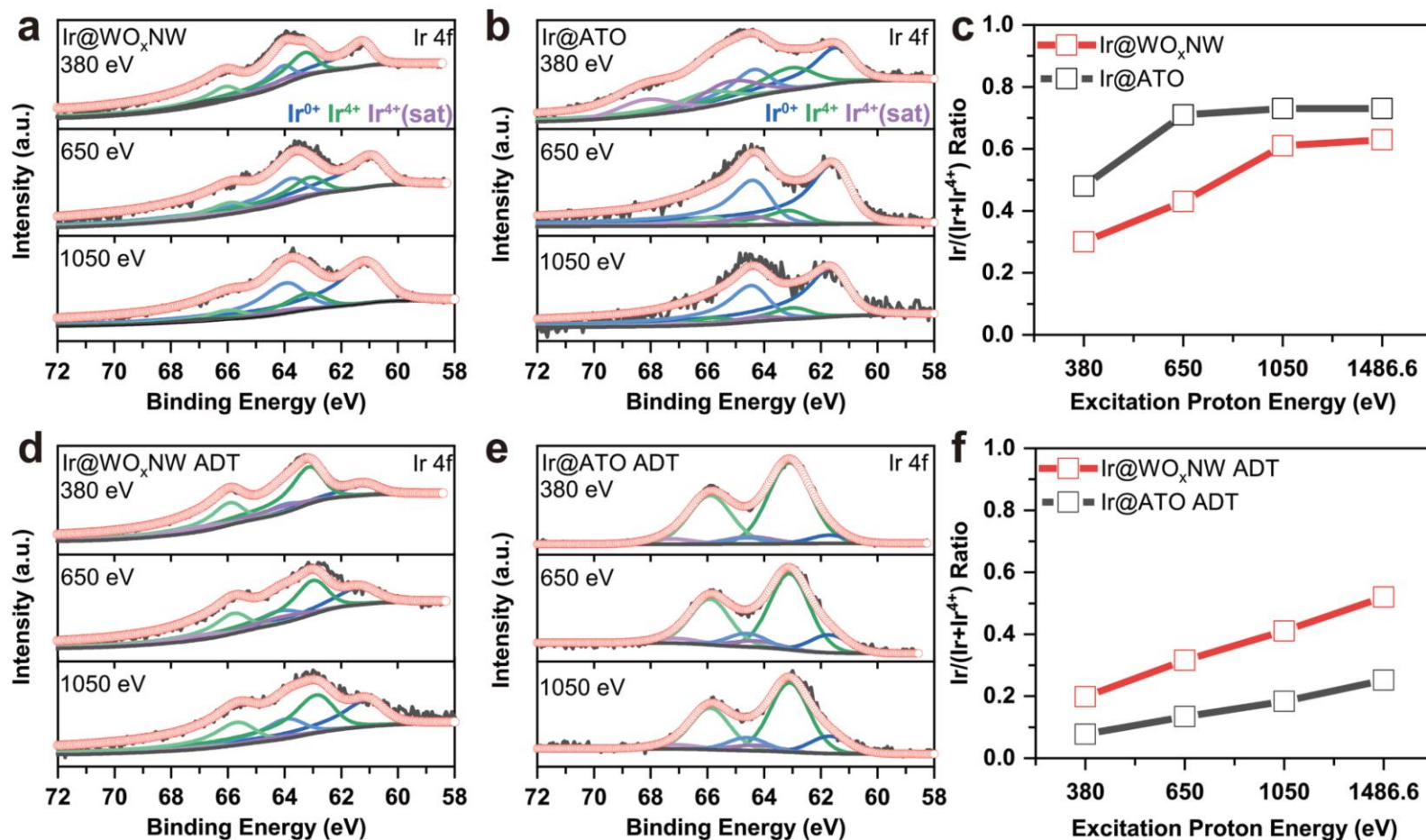


Fig. 5. Valence state depth profiling of Ir@WO_xNW and Ir@ATO by energy resolved XPS. Non-destructive depth profile Ir 4f spectra and summary of Ir⁰ fraction as a function of incident X-ray energy for as-prepared Ir@WO_xNW and Ir@ATO (a-c) and after ADT (d-f).

Strong catalyst-support interaction stabilizes the OER activity

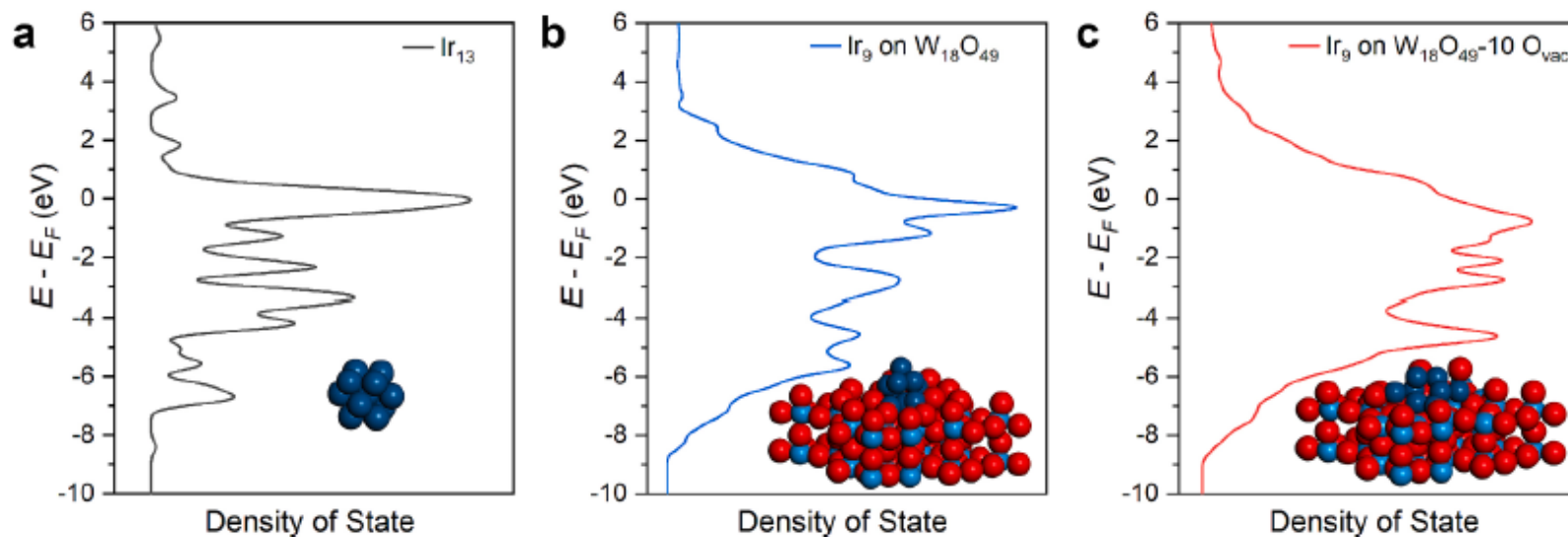


Fig. 7. Calculated DOS of *d*-band of (a) Ir₁₃, (b) Ir₉ supported on W₁₈O₄₉, and (c) Ir₉ supported on W₁₈O₄₉ with 10 surface oxygen vacancies.

The binding strength of oxygen to the surface atoms:

$$\text{Ir}_{13} > \text{Ir}_9 \text{ on W}_{18}\text{O}_{49} > \text{Ir}_9 \text{ on W}_{18}\text{O}_{49} - 10 \text{ O}_{\text{vac}}$$

Selective Hydrogen Etching Leads to 2D Bi(111) Bilayers on Bi₂Se₃: Large Rashba Splitting in Topological Insulator Heterostructure

Shu Hsuan Su,^{†,▲,ID} Pei Yu Chuang,^{†,▲} Sheng Wen Chen,[†] Hsin Yu Chen,[†] Yi Tung,^{†,ID}
 Wei-Chuan Chen,^{||} Chia-Hsin Wang,^{||} Yaw-Wen Yang,^{||} Jung Chun Andrew Huang,^{*,†,‡,§}
 Tay-Rong Chang,^{*,†} Hsin Lin,^{#,▽} Horng-Tay Jeng,^{⊥,◆} Cheng-Maw Cheng,^{*,||,¶} Ku-Ding Tsuei,^{||}
 Hai Lin Su,[○] and Yu Cheng Wu[○]

Photon Energy: 200 eV

Bi₂Se₃ thin film 80 nm.

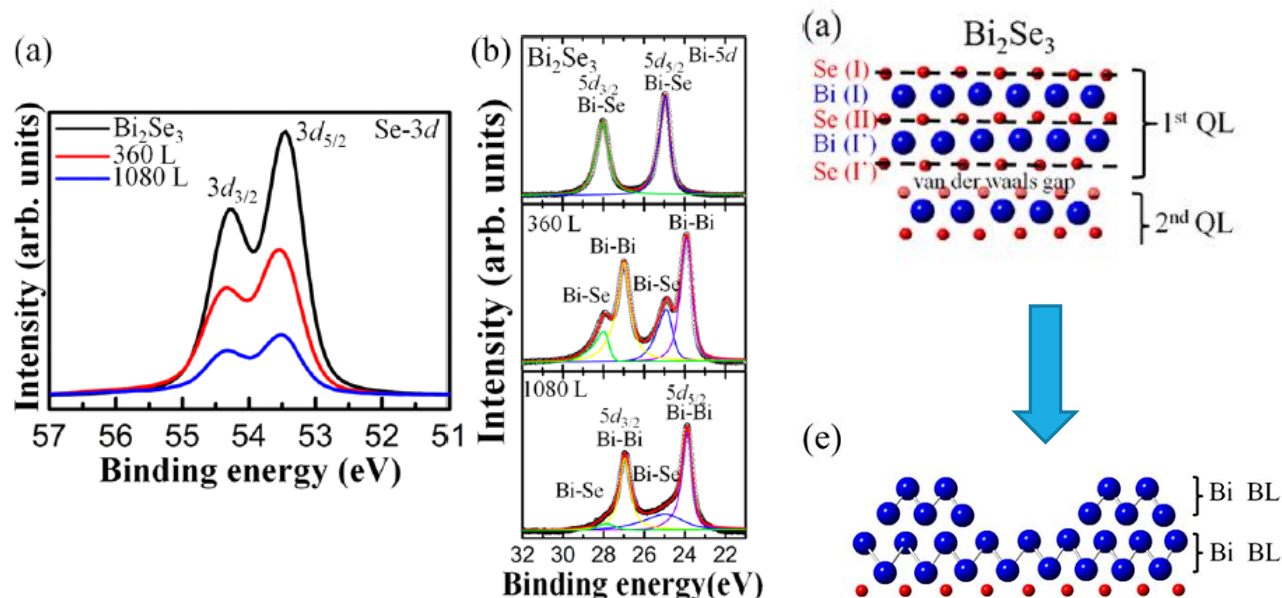
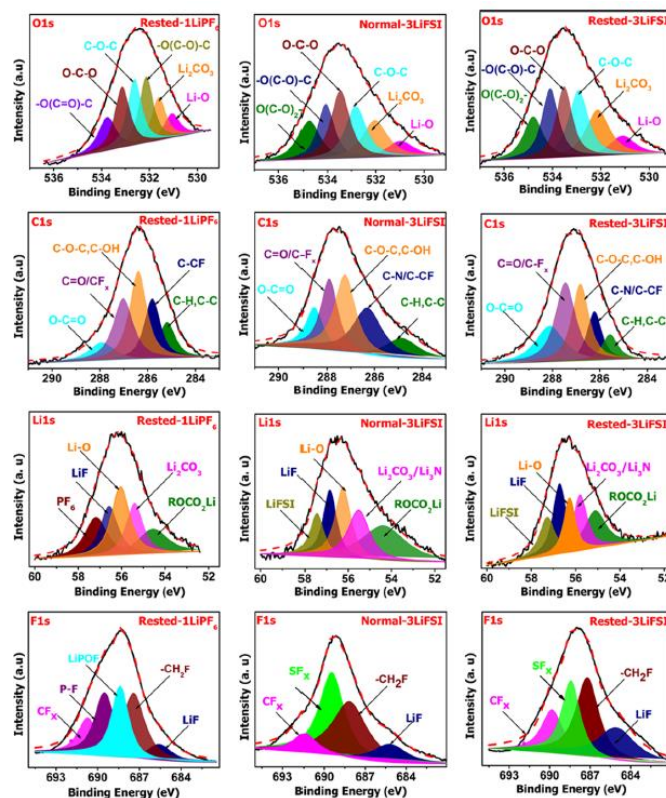


Figure 3. XPS of (a) Se 3d peaks and (b) Bi 5d peaks before and after dosing hydrogen at 360 and 1080 L, respectively.

Effects of Concentrated Salt and Resting Protocol on Solid Electrolyte Interface Formation for Improved Cycle Stability of Anode-Free Lithium Metal Batteries

Tamene Tadesse Beyene,[†] Bikila Alemu Jote,[†] Zewdu Tadesse Wondimkun,[†] Bizualem Wakuma Olbassa,[†] Chen-Jui Huang,[†] Balamurugan Thirumalraj,[†] Chia-Hsin Wang,[#] Wei-Nien Su,^{*,‡} Hongjie Dai,^{*,§} and Bing-Joe Hwang^{*,†,#,¶}



← Li spectra

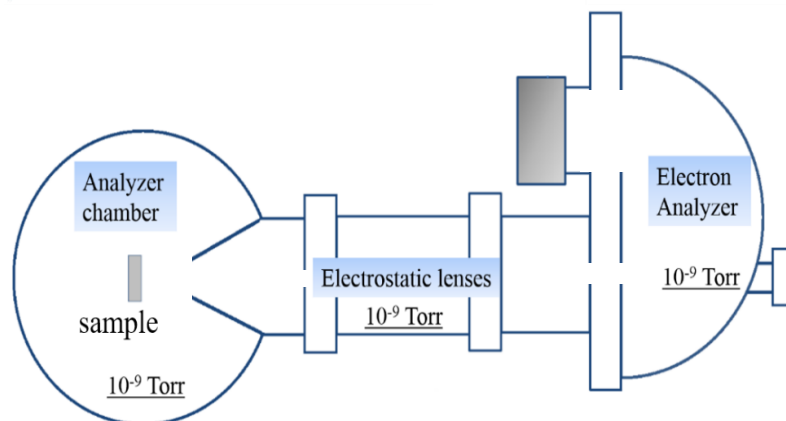
(Near) Ambient Pressure X-ray Photoelectron Spectroscopy (APXPS)



- **Introduction of Ambient Pressure XPS (APXPS)**
- **Scientific Opportunities**
- **APXPS End Station at NSRRC**

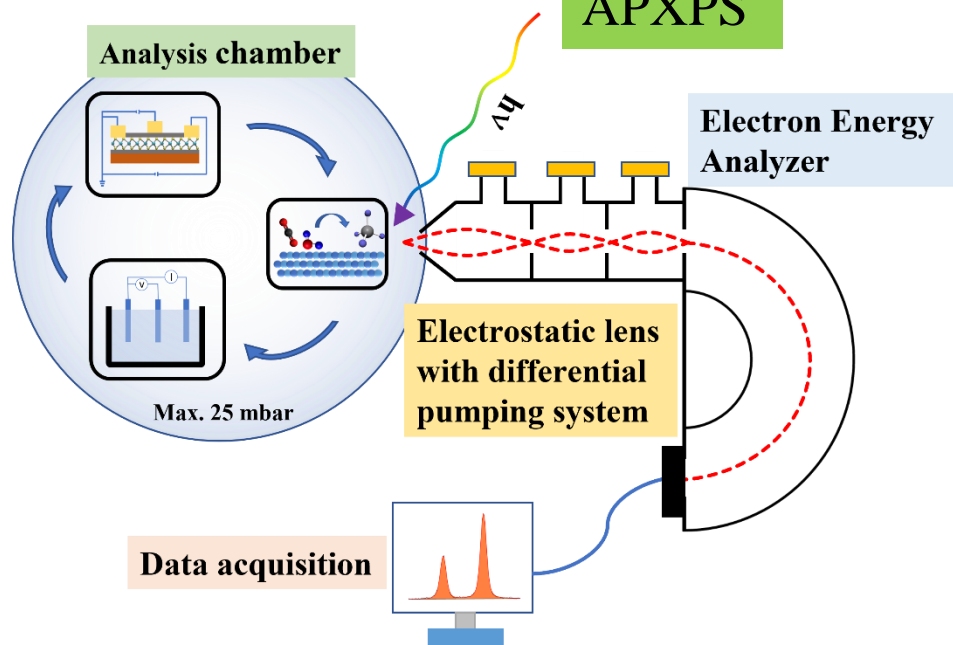
UHV-XPS v.s. (Near) Ambient Pressure XPS (APXPS)

UHV- XPS



Conventional-XPS needs to be performed in an environment better than high-vacuum to eliminate **electron-gaseous molecule scattering problem**, and be used to study the chemical state and elemental composition of surface layers in **solid** samples.

APXPS



APXPS allows the photoemission spectroscopy to be carried out at an elevated pressure up to ~25 mbar, enabling an in-situ and in-operando investigation of gas-solid, liquid-solid, and liquid-gas heterogeneous reactions.

Introduction of APXPS (II)

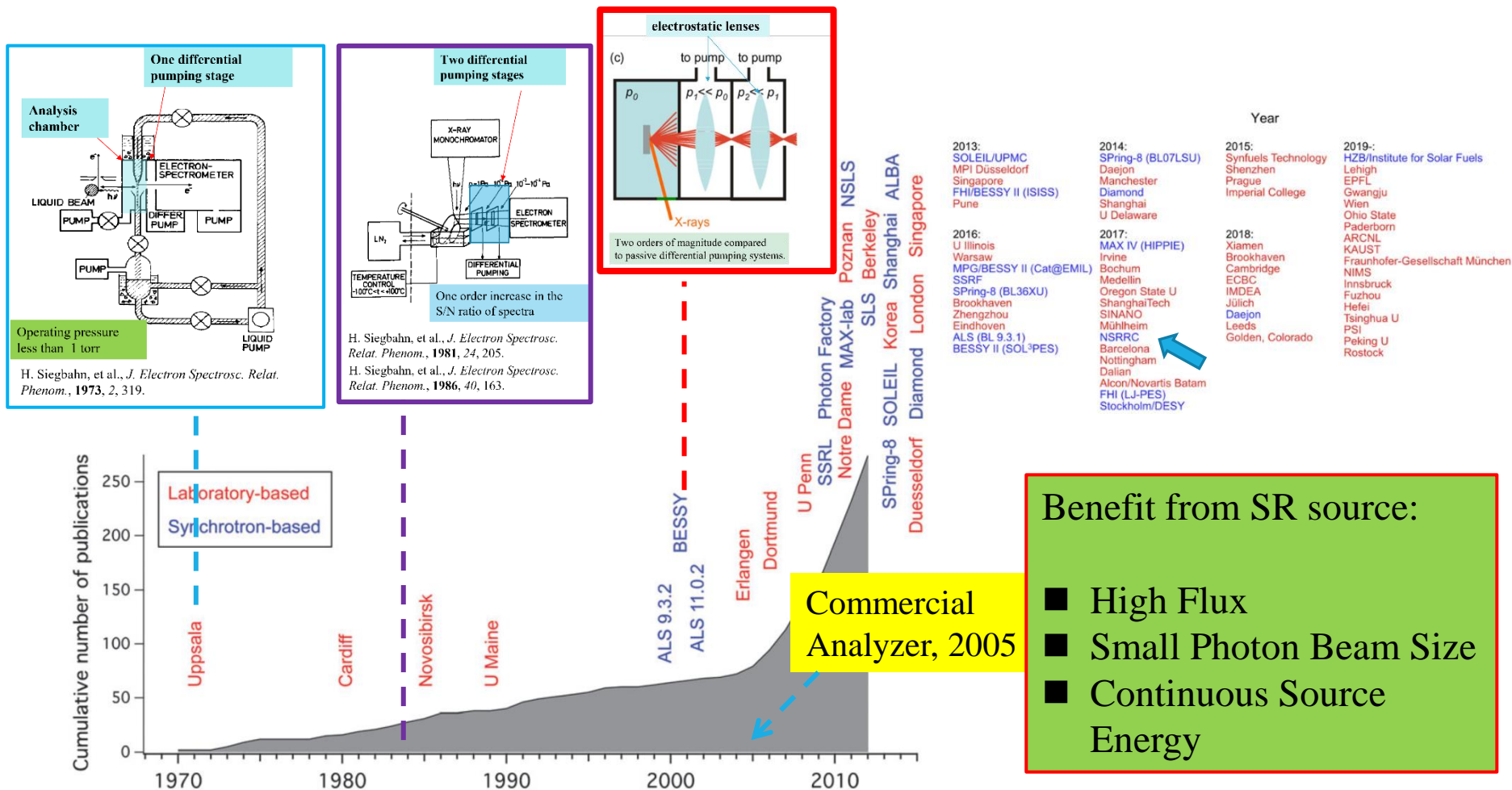
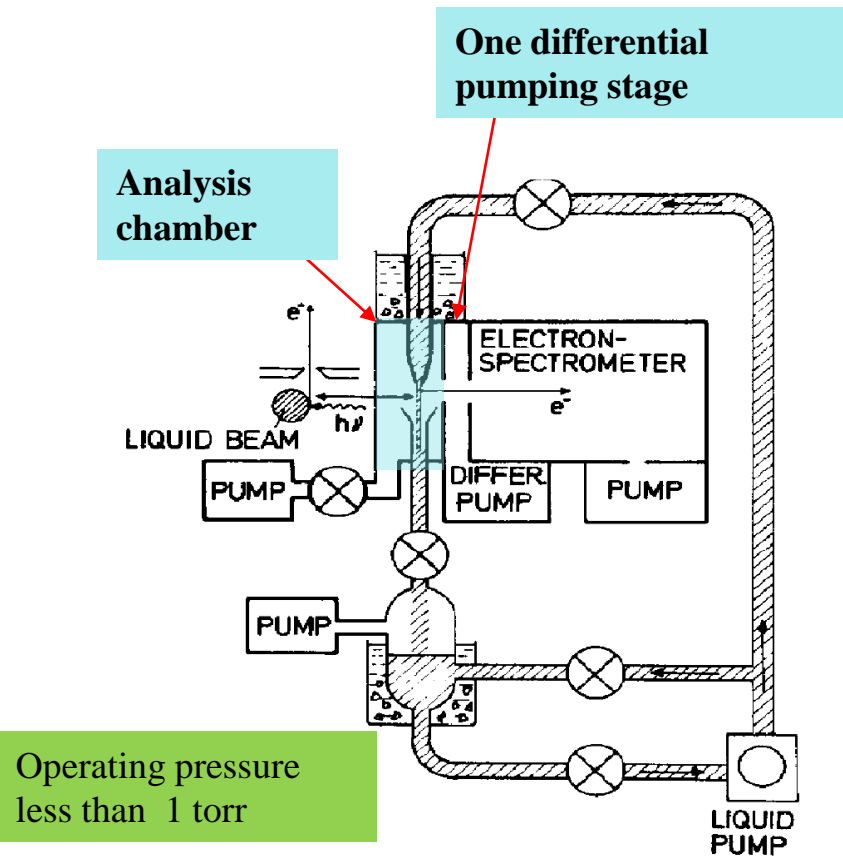


Fig. 1 Ambient pressure XPS timeline, showing both the cumulative number of publications and the installation of new instruments. Red labels denote laboratory-based, blue labels synchrotron-based instruments. The dates for the installation of the instruments are approximate and to the best of our knowledge.

D. E. Starr, et al., *Chem. Soc. Rev.* **2013**, 42, 5833.

J. Phys.: Condens. Matter., **2020**, 32, 413003.

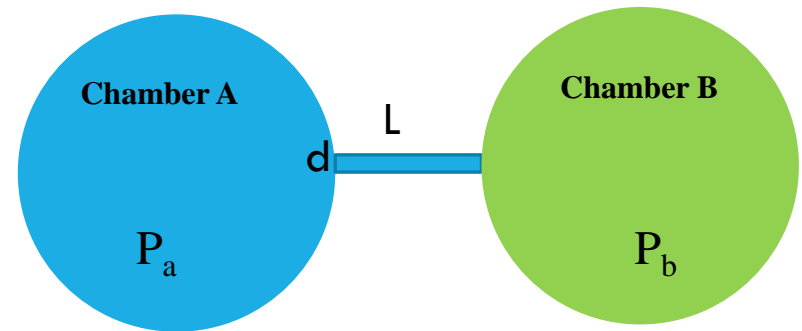
Early design of APXPS instrument



H. Siegbahn, et al., *J. Electron Spectrosc. Relat. Phenom.*, **1973**, 2, 319.

Differential pumping concept

Differentially pumped vacuum systems use a small aperture or tube to connect two parts of a vacuum system at very different pressures.



$Q = C(P_b - P_a)$, $Q = SP_b$, $P_b = C * P_a / (C + S)$
 If $P_a = 1 \text{ mbar}$, viscous flow, (aperture)
 $C = 135 * d^3 * (P_a + P_b) / 2 * L$ (thickness of aperture)
 Q: Gas throughput, C: conductance,
 S: Pumping speed

The Pressure limit in APXPS

The attenuation of the photoelectron signal in a gas environment

$$I_p/I_0(KE,p) = \exp(-z\sigma(KE)p/kT),$$

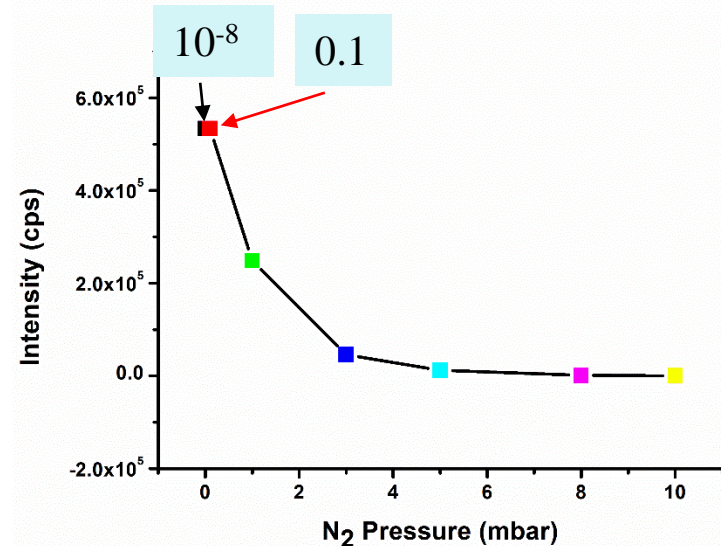
I_0 : The signal at pressure p_0 (high vacuum).

I_p : The attenuation of the signal at pressure p

z : The distance that the electrons travel in a gas at pressure p .

σ : The electron scattering cross section (depends on the chemical composition of the gas phase)

KE: Photoelectron kinetic energy

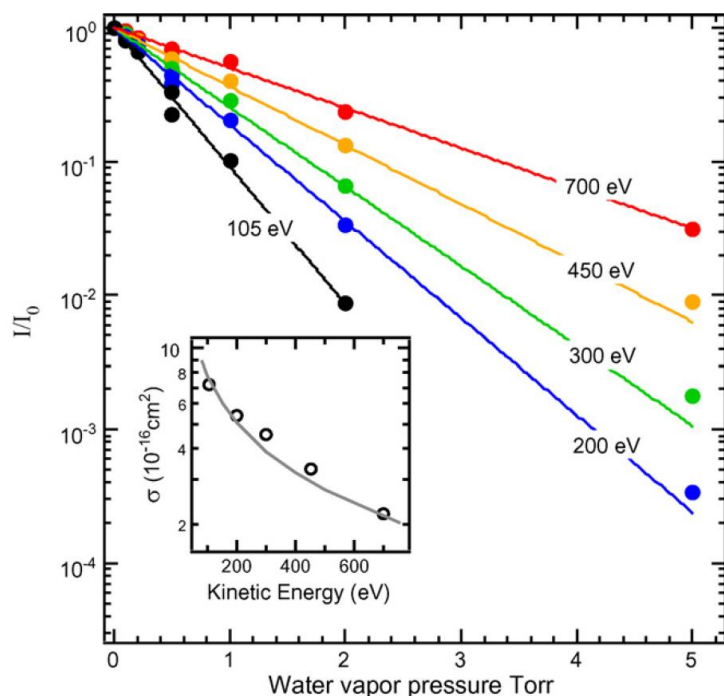


Attenuation of XPS Au 4f signal from a gold substrate by ambient N₂ gas at different pressure by using photon energy of 620 eV.

The Pressure limit in APXPS

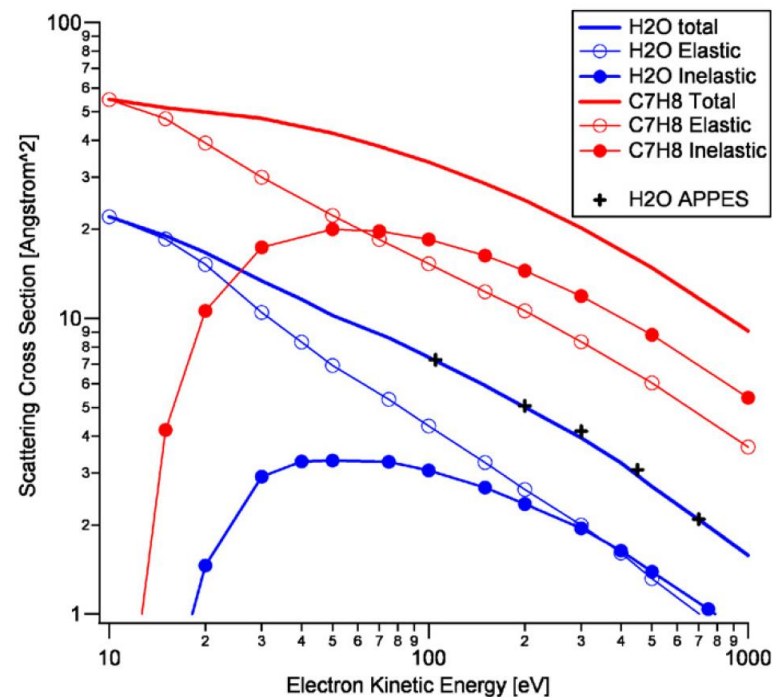
- Photoelectron kinetic energy
- Scattering cross section of gas species
- The distance between sample to aperture (nozzle)

Photoelectron kinetic energy effect



Hendrik Bluhm, *J. Electron Spectrosc. Relat. Phenom.*, **2010**, 177, 71.

Scattering cross section of gas species



D. Frank Ogletree, et al., *Nucl. Instrum. Methods A*, **2009**, 601, 151.

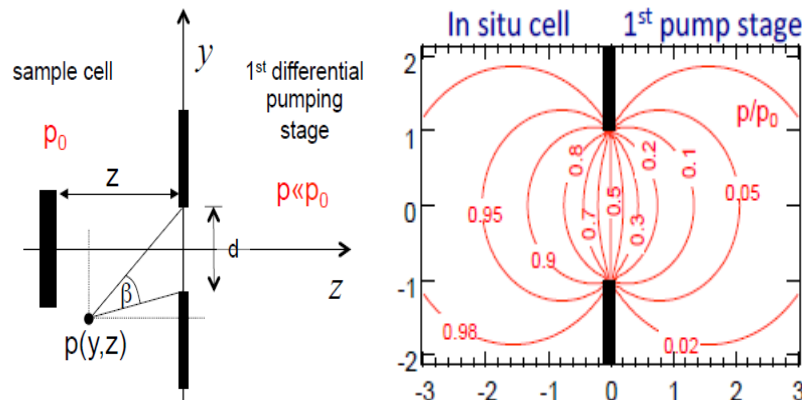
The distance between sample to aperture (nozzle)

1. Inelastic mean free path of electrons

Exp:

The inelastic mean free path of electrons with 100 eV kinetic energy in 1 mbar water vapor is about 1 mm, much shorter than the typical working distance between the sample and the entrance to the electrostatic lens system of an electron analyzer, which is a few centimeters.

2. Pressure conditions across the sample surface



At $z \sim 2d$ $p = 0.99p_0$

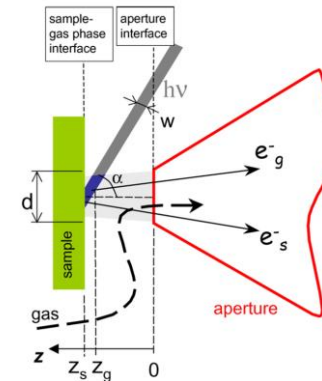
Since $I/I_p \sim \exp(-z)$, smaller beam sizes allow for smaller apertures, closer sample-aperture distances and thus higher pressures.

Hendrik Bluhm, *J. Electron Spectrosc. Relat. Phenom.*, **2010**, 177, 71.

3. Aperture (nozzle) size

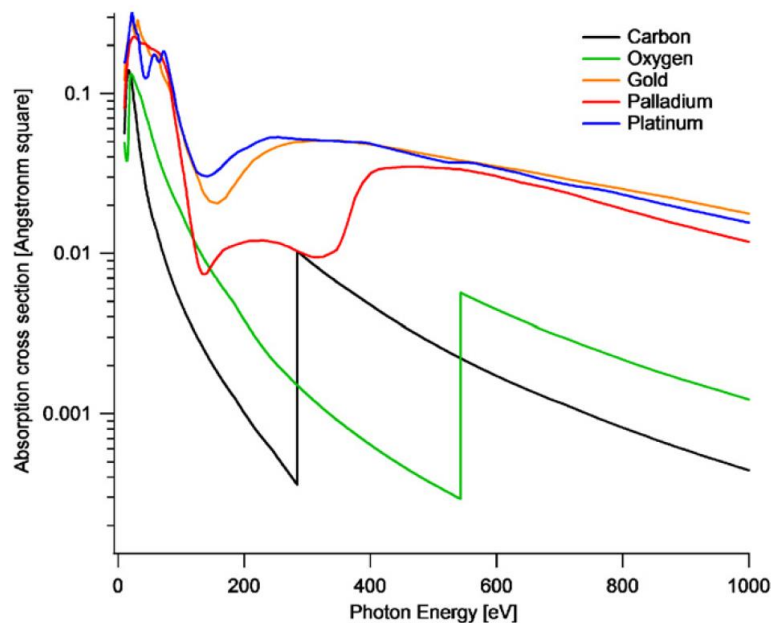
$d \sim P_{\max}^{-1}$, (Reducing the aperture size increases the working pressure)

nozzle size: 300 μm , The maximum operating pressure ~ 10 mbar
(APXPS at TLS BL24A)



Photon–gas interactions

The scattering of X-ray photons by the reaction gases in APXPS experiments is generally much weaker than for electrons.

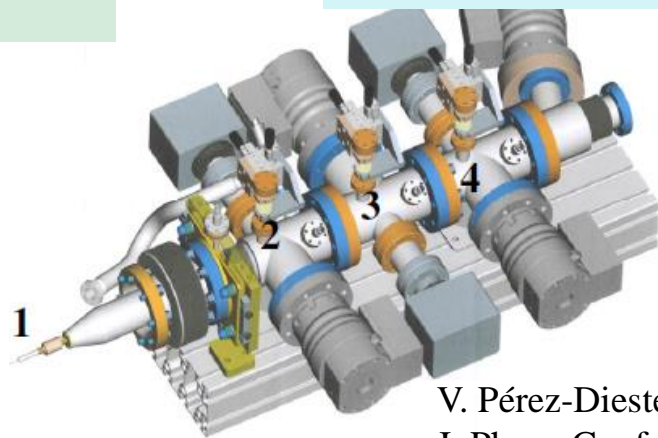


X-ray scattering cross-sections for the 1s levels of light elements like C, N, O, and H are about three orders of magnitude smaller than the electron–gas cross-sections .

Beam entrances system

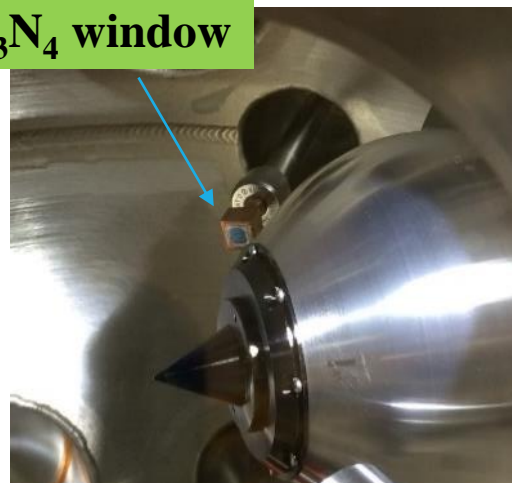
Windowless system

1 (300 μm), 2 (1.5 mm), 3 (2 mm) and 4 (3mm).

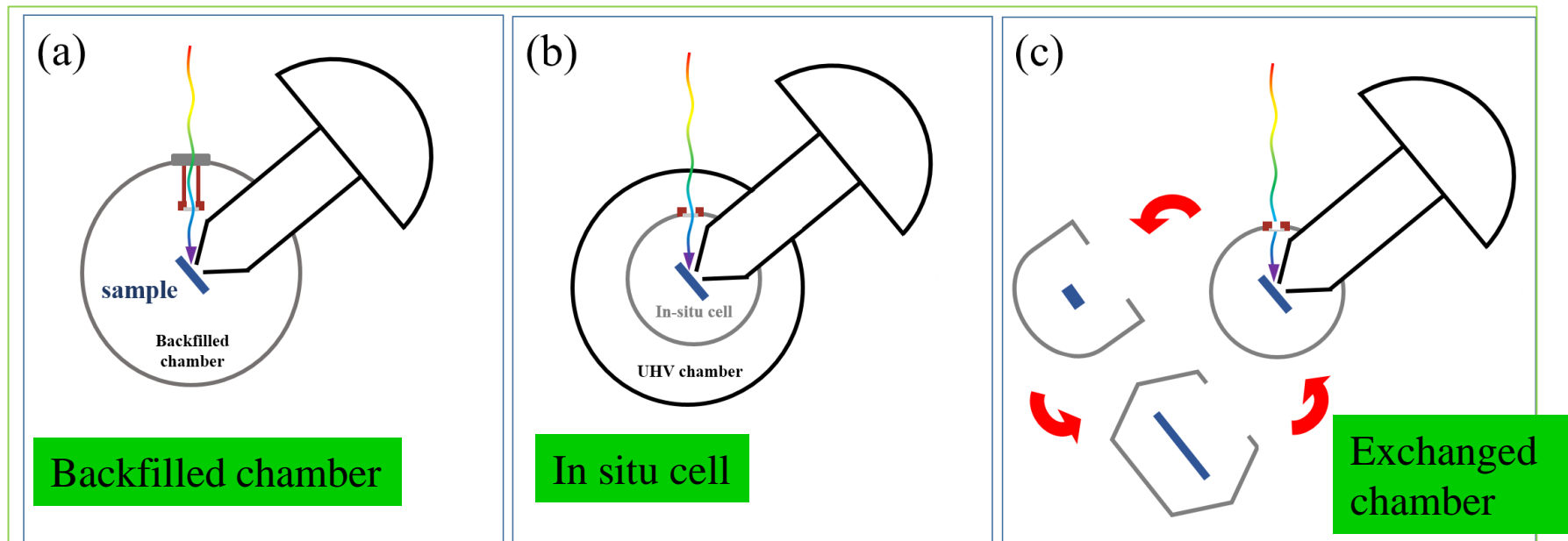


V. Pérez-Dieste, et al.,
J. Phys.: Conf. Series,
2013, 425, 072023.

Si_3N_4 window

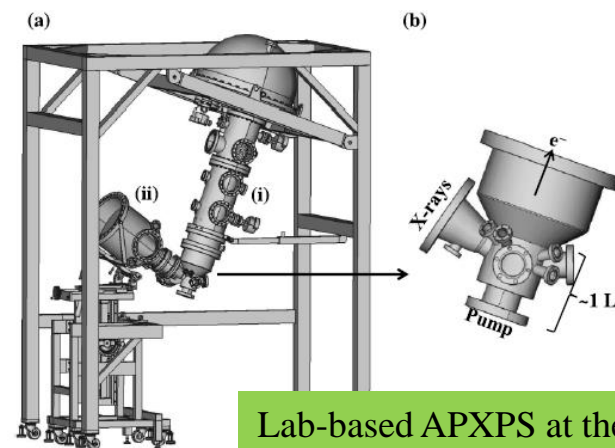


APXPS systems



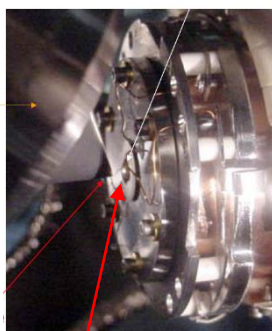
The whole chamber is exposed to gases during APXPS experiments.

(This chamber is often connected with load lock and preparation chamber)

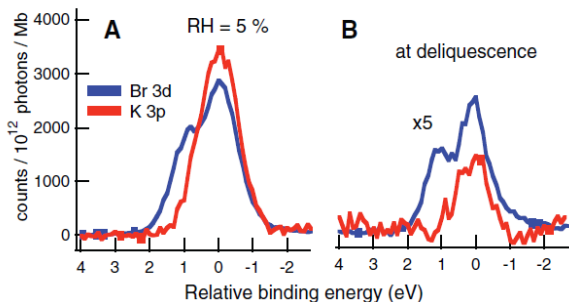


Lab-based APXPS at the University of Delaware

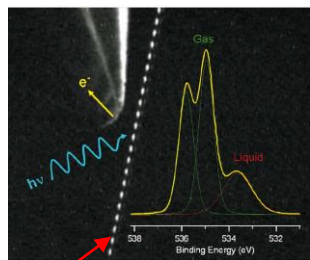
Scientific Opportunities



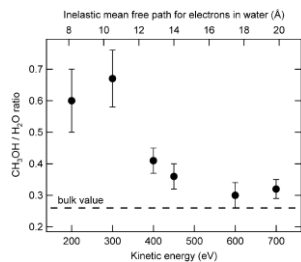
KBr sample



Science **2005**, 307, 563.



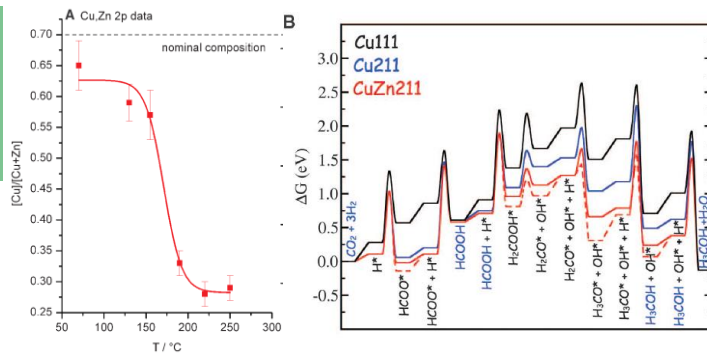
Droplets ($\chi=0.21$ methanol aqueous) train



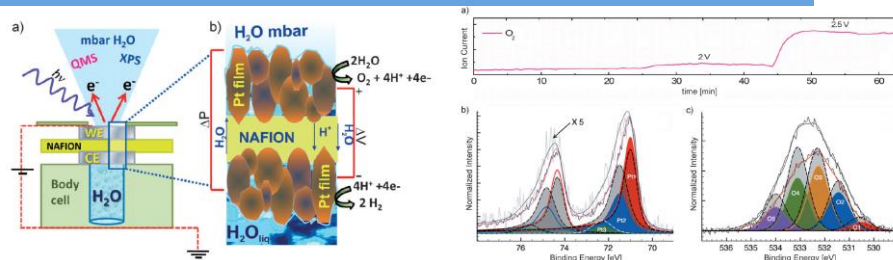
Phys. Chem. Chem. Phys. **2008**, 10, 3093.

The Active Site of Methanol Synthesis over Cu/ZnO/Al₂O₃ Industrial Catalysts

Science **2012**, 336, 893.

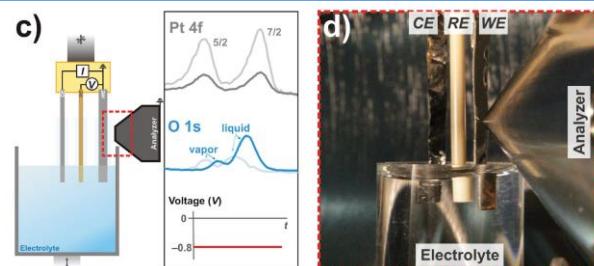


In Situ Study of the Gas-Phase Electrolysis of Water on Platinum by NAP-XPS**



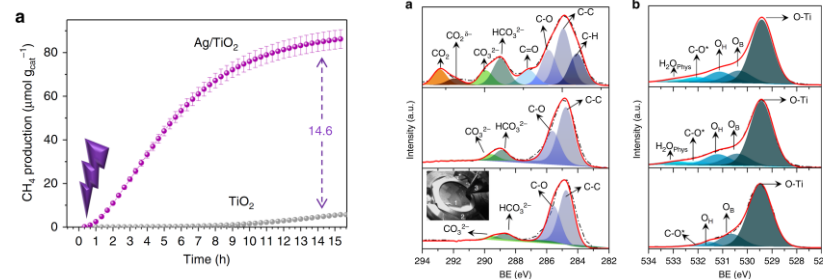
Angew. Chem. Int. Ed. **2013**, 52, 11660.

Using “Tender” X-ray APXPS as A Direct Probe of Solid-Liquid Interface



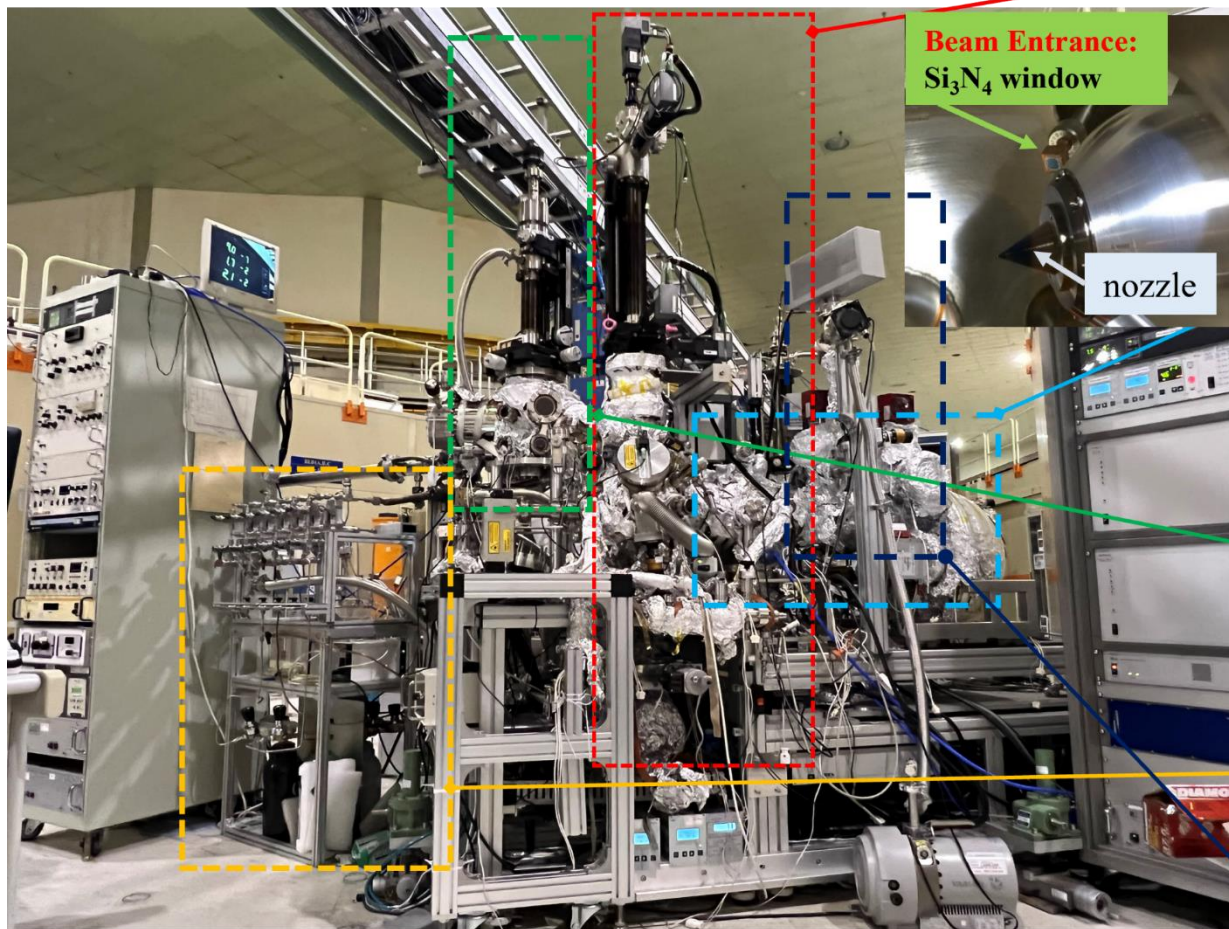
Scientific Reports, **2015**, 5, 09788.

Unravelling the effect of charge dynamics at the plasmonic metal/semiconductor interface for CO₂ photoreduction



Nat. Comm. **2018**, 9, 4986.

APXPS at TLS BL24A



APXPS end station:

Main chamber:

- Backfilling Type mu-metal Chamber
- Five-axes manipulator (motors with TCP/IP interfaces, heating by laser heater, (Temp. RT.- 1073 K))
- Ion Sputter

Analyzer:

- SPECS PHOIBOS 150 NAP Electron Energy Analyzer
- Pre-lens system
- lens cone cover with 300 um nozzle
- 1D DLD Detector

Preparation Chamber:

- hydrogen cracker
- Ion Sputter
- Four-axes manipulator (heating by e-beam heater)

Gas line system:

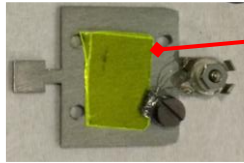
Gas phase: H_2 , N_2 , O_2 , CO_2
Liquid Phase: H_2O , CH_3OH

Mass:

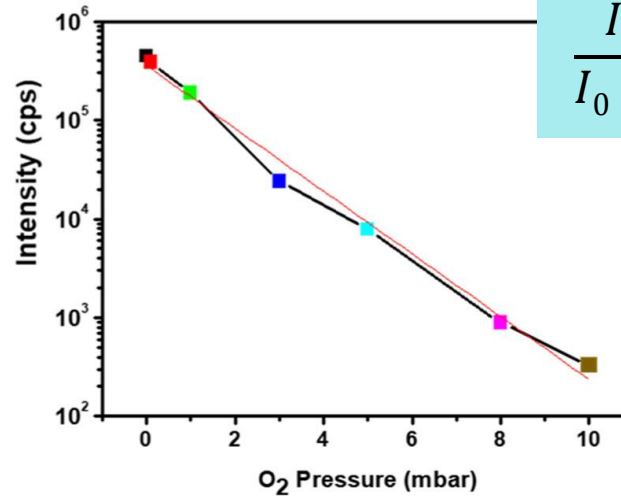
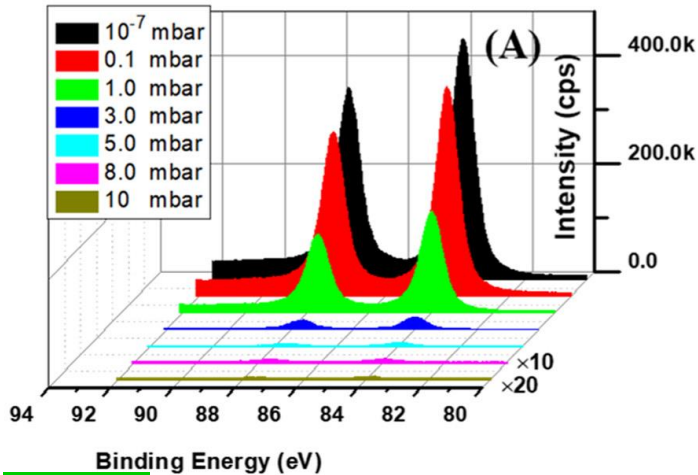
Hidden HAL/3F PIC 510,
Detection range: 1- 500 amu.

Commissioning results

APXPS



Sample: Ce:YAG fluorescent crystal coated by Au

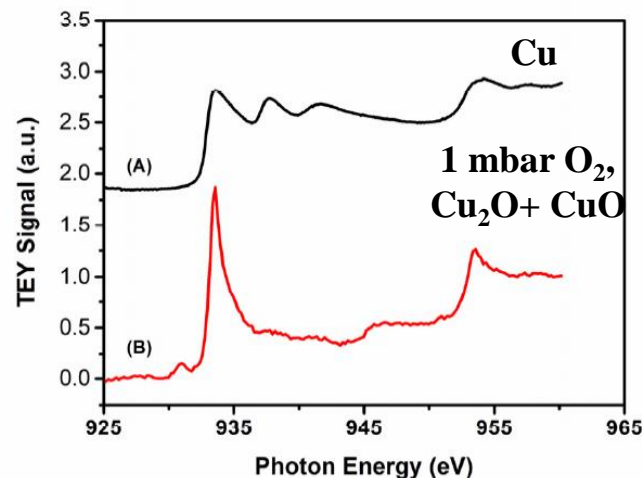
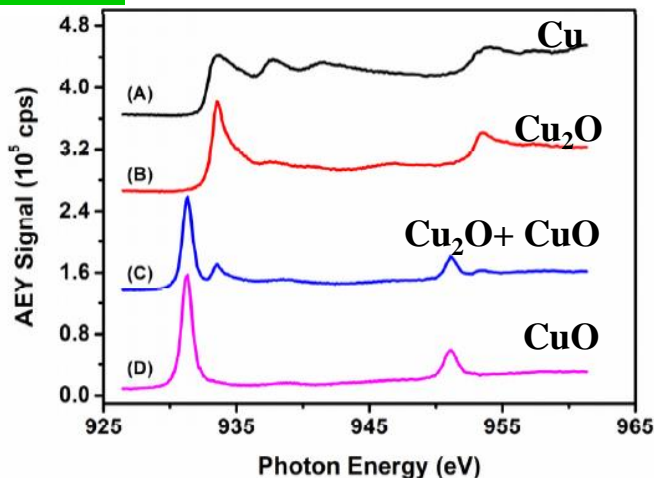


The scattering of electron by gas molecule

$$\frac{I_p}{I_{0 \text{ vac}}} \propto \exp - (\sigma dp)$$

The maximum operating pressure:
 10 mbar for a nozzle with a diameter of 300 μm .
 3 mbar for a nozzle with a diameter of 500 μm .

XAS



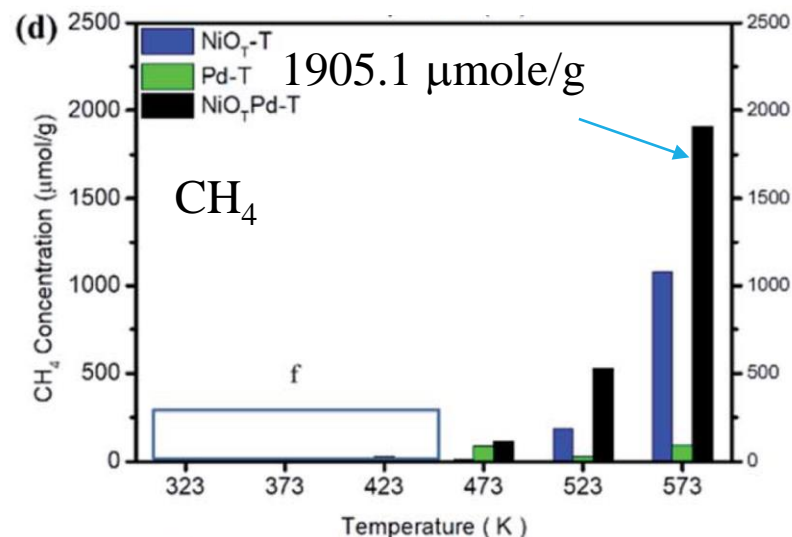
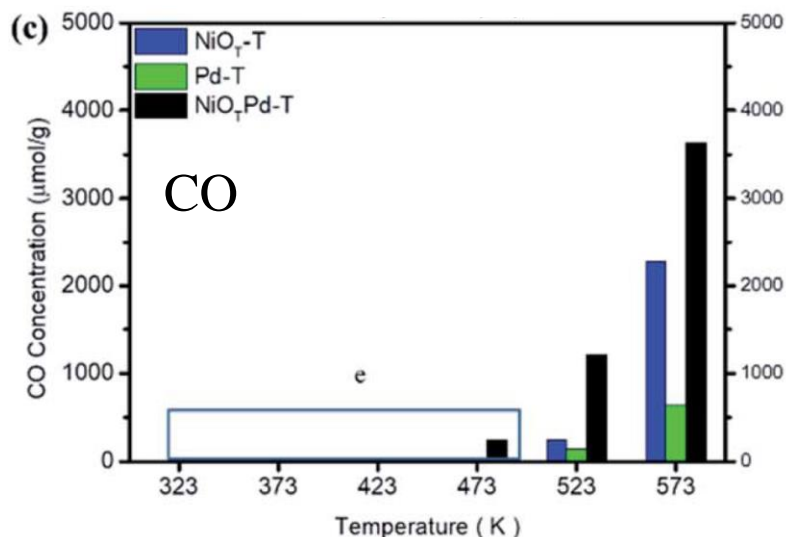
C.H. Wang, et al., AIP
 Conference Proceedings
 2019, 2054, 040012.



Cite this: *J. Mater. Chem. A*, 2020, 8, 12744

Local synergetic collaboration between Pd and local tetrahedral symmetric Ni oxide enables ultra-high-performance CO₂ thermal methanation†

Che Yan,^a Chia-Hsin Wang,^{*b} Moore Lin,^c Dinesh Bhalothia,^a Shou-Shiun Yang,^a Gang-Jei Fan,^c Jia-Lin Wang,^c Ting-Shan Chan,^b Yao-lin Wang,^d Xin Tu,^d Sheng Dai,^e Kuan-Wen Wang,^f Jr-Hau He^g and Tsan-Yao Chen^{†*ahi}



Operando-APXPS spectra for NiO_T-Pd-T nanocatalysts during CO₂RR

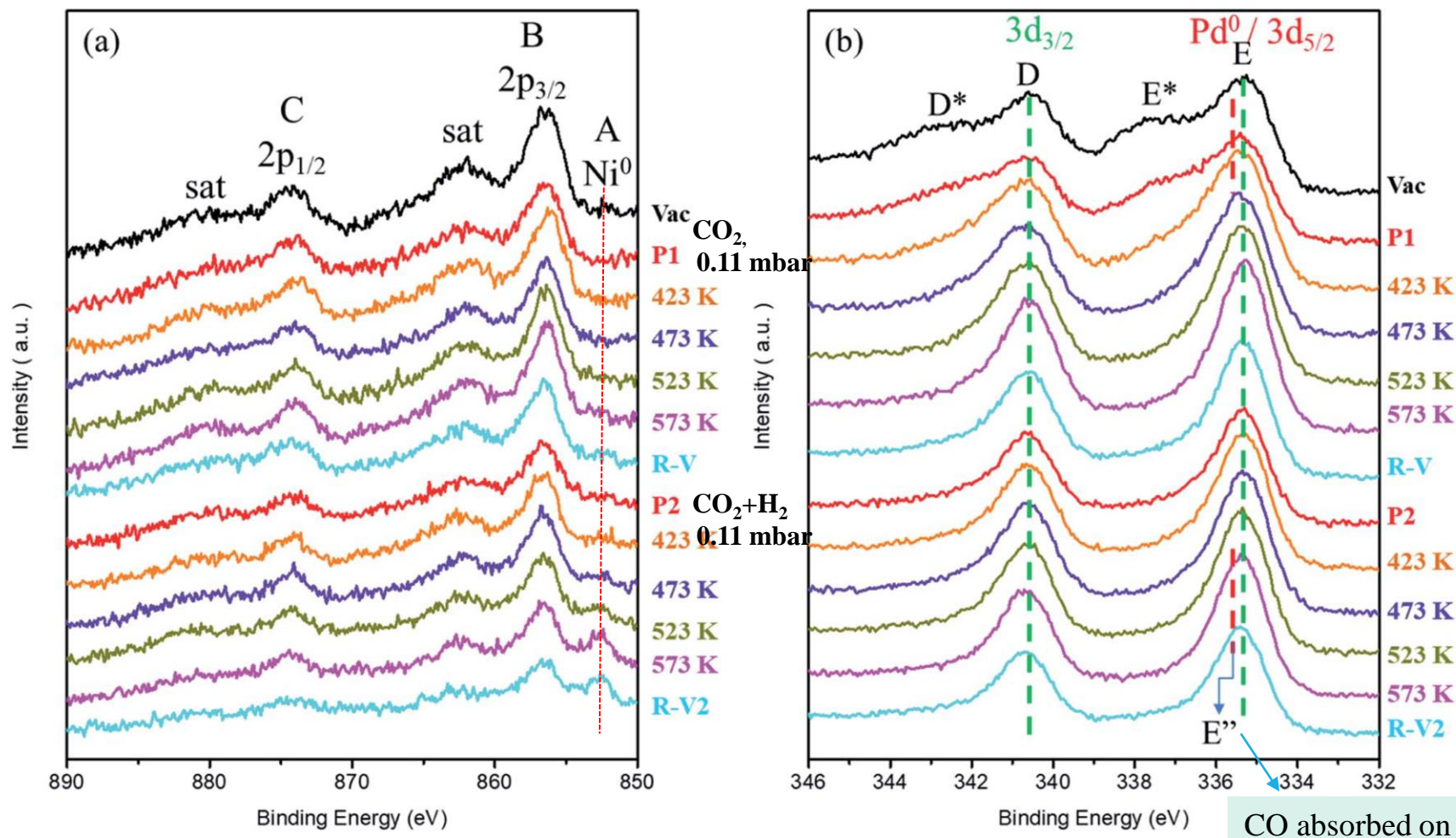
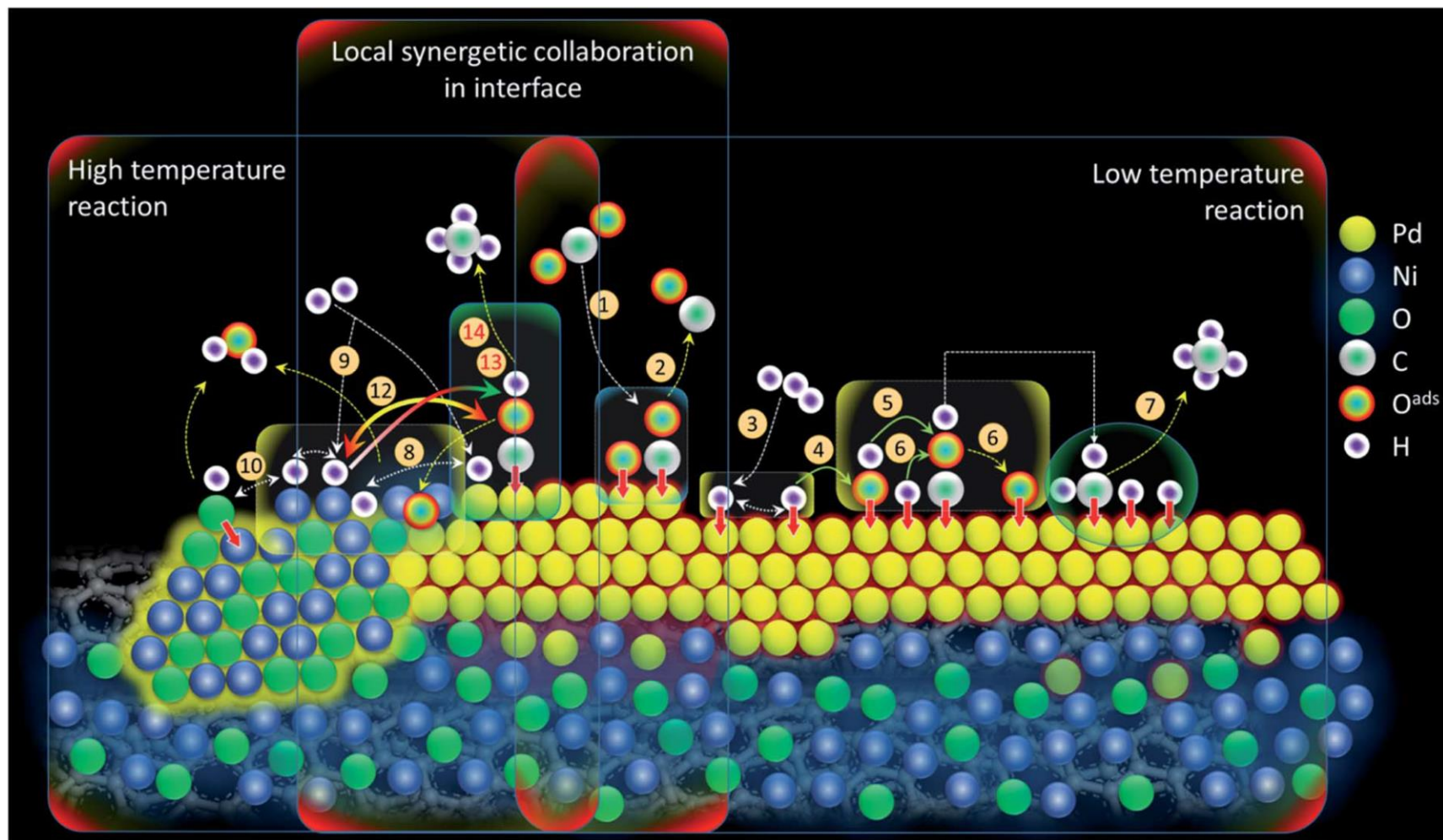


Fig. 5 *In situ* ambient-pressure X-ray photoelectron spectroscopy (APXPS) spectra of NiO_T-Pd-T in the (a) Ni 2p and (b) Pd 3d regions. The spectra are measured starting from under vacuum (Vac) to an atmosphere of CO₂ at 0.11 mbar at room temperature (P1) and then with the temperature increasing up to 573 K. After that, the chamber was placed under vacuum and allowed to cool to room temperature (R-V), then purged using a reaction gas of CO₂ and H₂ with a ratio of 1 : 3 for CO₂ : H₂ (P2) and heated from 423 to 573 K.

Possible Reaction Scheme



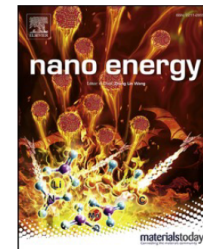
Scheme 1 Schematic representation of the reaction coordinates in the NiO_T-Pd-T.



Contents lists available at ScienceDirect

Nano Energy

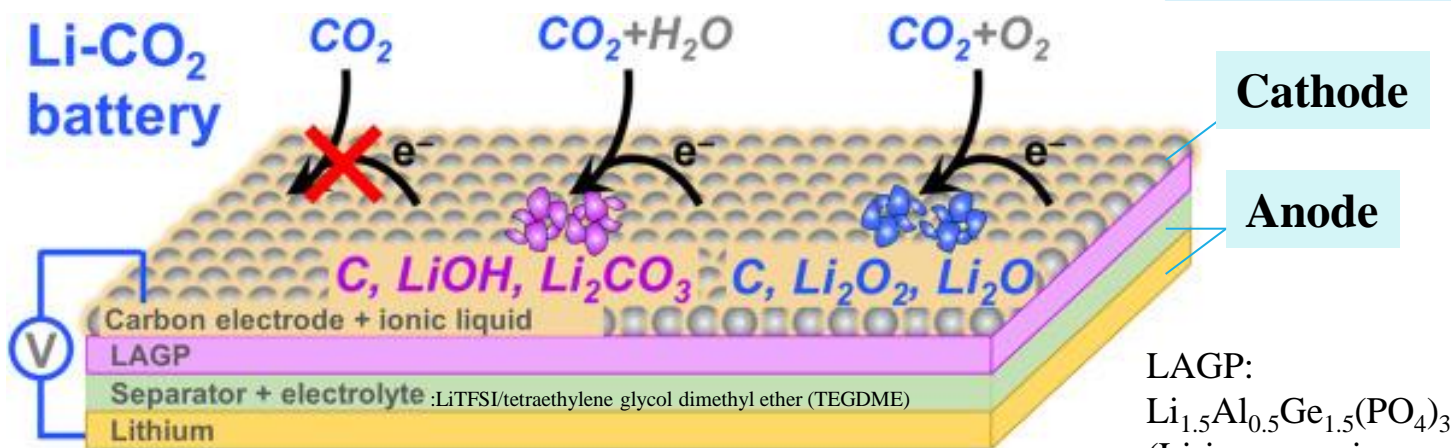
journal homepage: <http://www.elsevier.com/locate/nanoen>



Electrochemical reduction of CO₂ in ionic liquid: Mechanistic study of Li-CO₂ batteries via *in situ* ambient pressure X-ray photoelectron spectroscopy

Yu Wang^a, Wanwan Wang^a, Jing Xie^a, Chia-Hsin Wang^b, Yaw-Wen Yang^b, Yi-Chun Lu^{a,*}

The electrochemical was constructed based on a two-compartment cell configuration

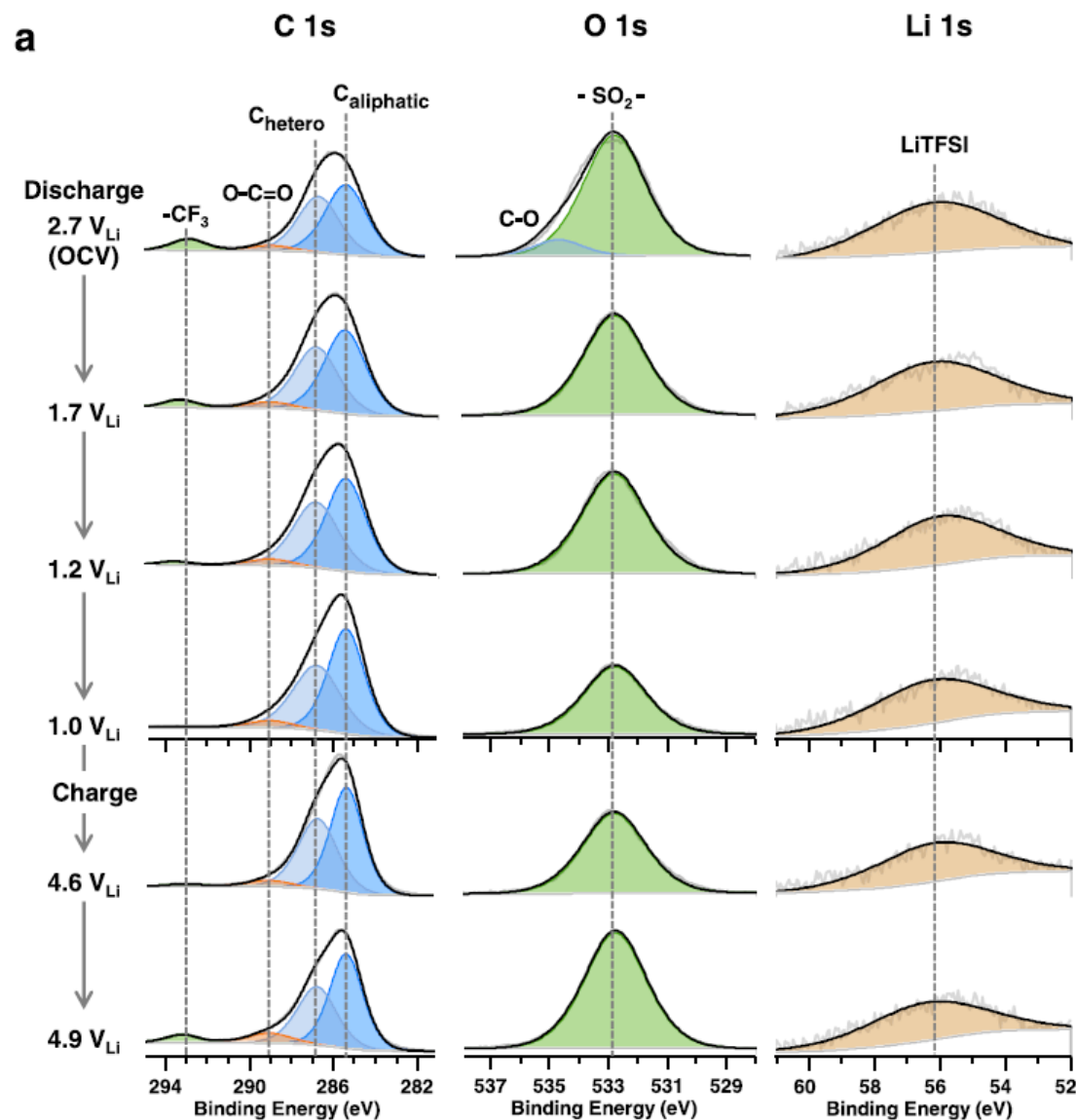


CO₂ ($p(\text{CO}_2) = 5 \text{ mbar}$), CO₂/H₂O ($p(\text{CO}_2:\text{H}_2\text{O}) = 2:1 = 5 \text{ mbar}$) and CO₂/O₂ ($p(\text{CO}_2:\text{O}_2) = 2:1 = 5 \text{ mbar}$)

LAGP:
Li_{1.5}Al_{0.5}Ge_{1.5}(PO₄)₃
(Li-ion ceramic conductor)

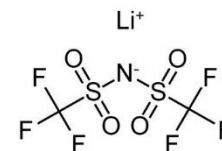


In situ APXPS data for Li-CO₂ battery under CO₂

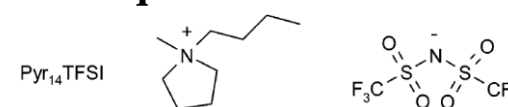


The C 1s, O 1s and Li 1s spectra as a function of potential applied across the two-compartment cell during discharge in $p(\text{CO}_2) = 5$ mbar and charge in HV.

Li salt

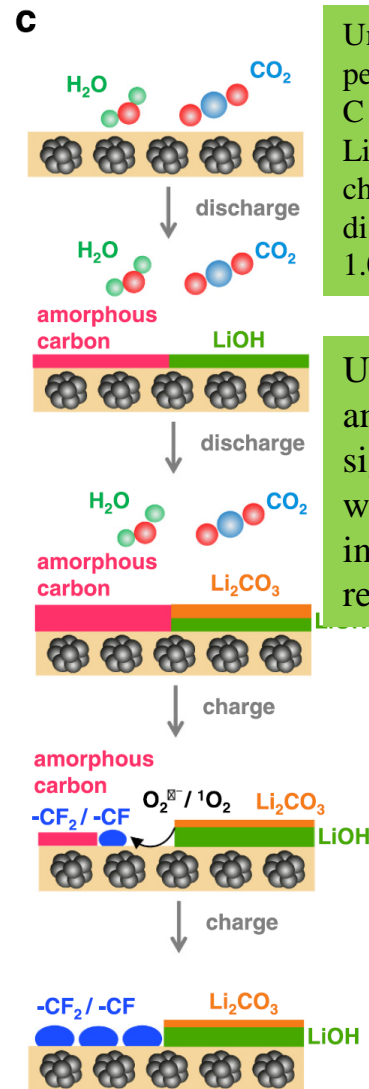
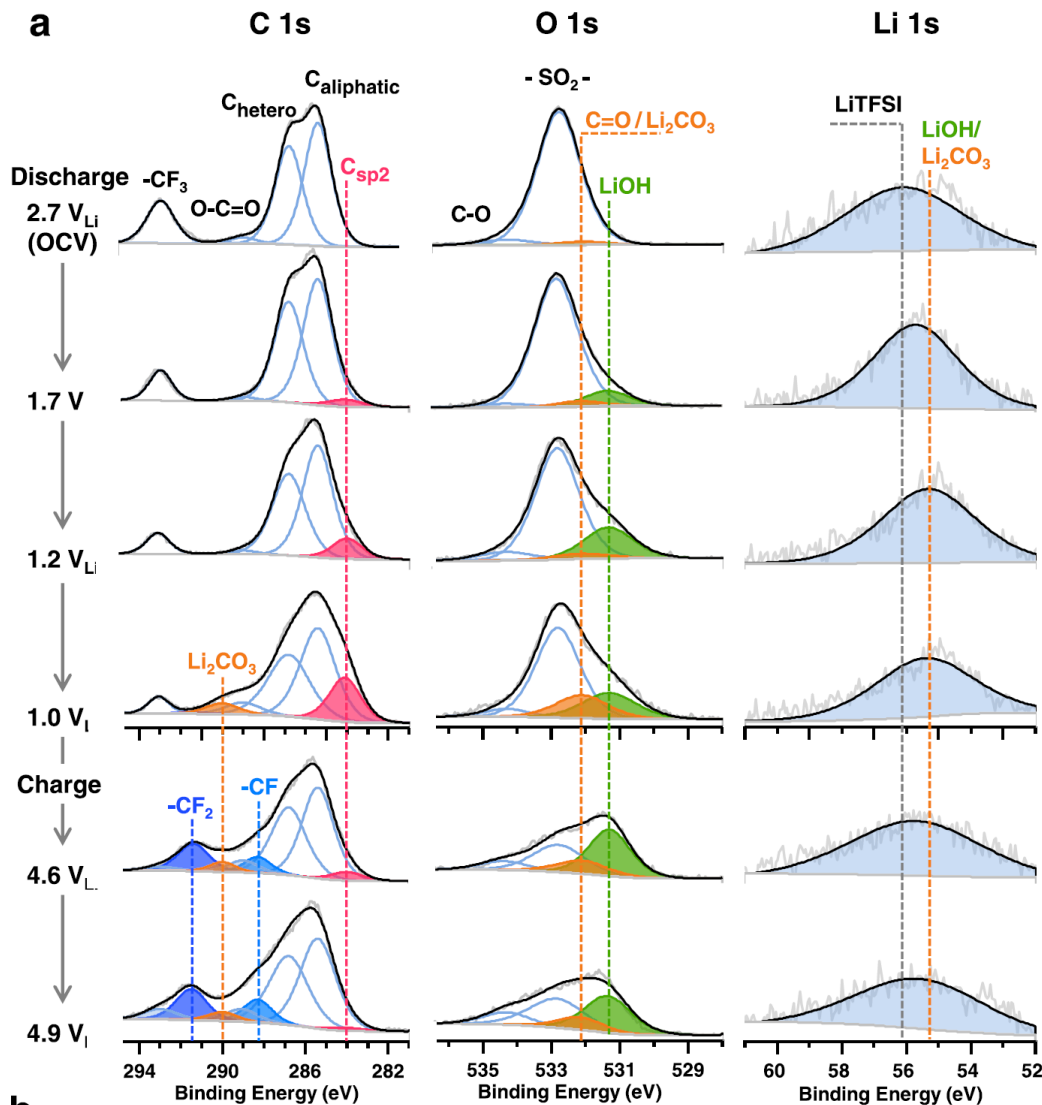


Ionic liquid



No new species were observed during the entire discharging and charge process, indicating that pure CO₂ reduction on the carbon electrode is not electrochemically active, which is in accordance with the negligible capacity observed in CO₂ gas.

In situ APXPS data for Li-CO₂ battery under CO₂ and H₂O

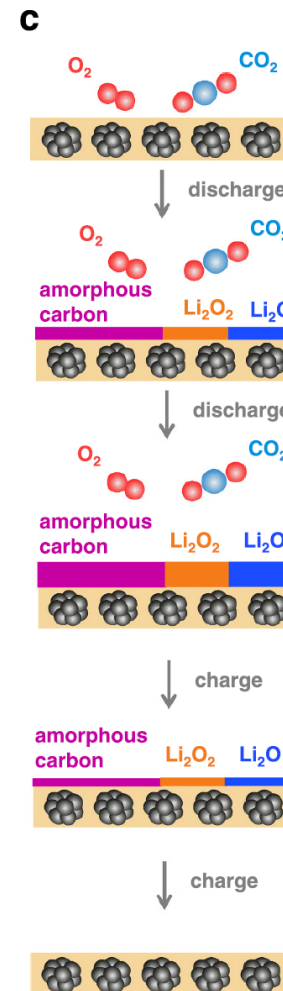
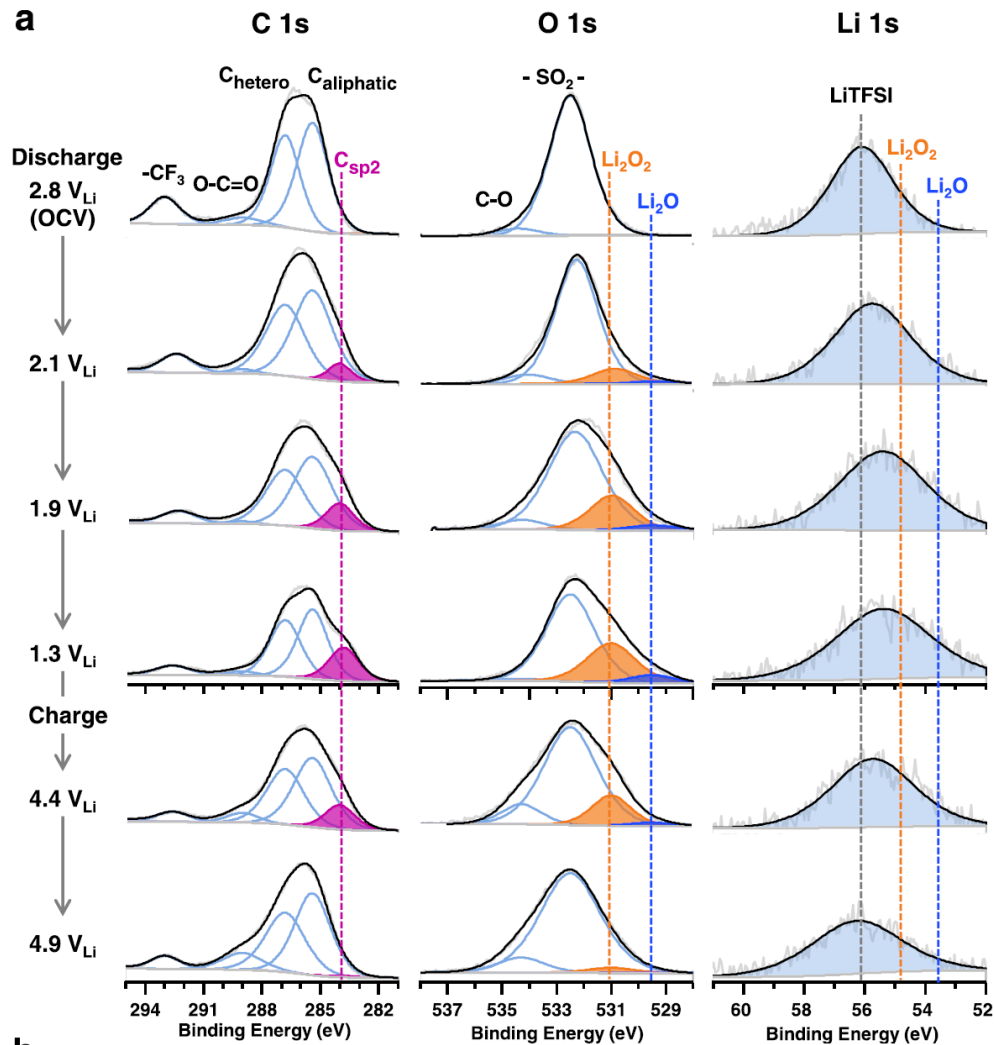


Under discharge condition, a new peak centering at ~ 284 eV (labeled C sp²) gradually increased in C 1s. LiOH was observed first and then change to LiCO₃ while the discharge potential form 1.7 V to 1.0 V in O1s and Li1s.

Upon charging to 4.9 V, the amorphous carbon was significantly reduced along with a moderate decrease and increase of Li₂CO₃ and LiOH, respectively

Water plays a critical role in promoting CRR in non-aqueous electrolyte. However, the Li₂CO₃ and LiOH remained on the electrode when charged to 4.9 V, indicating a poor rechargeability of Li₂CO₃ and LiOH, which is consistent with the literature report.

In situ APXPS data for Li-CO₂ battery under CO₂ and O₂



Under discharge conditions, a new peak centering at ~ 284 eV (labeled C sp²) gradually increased in C 1s.

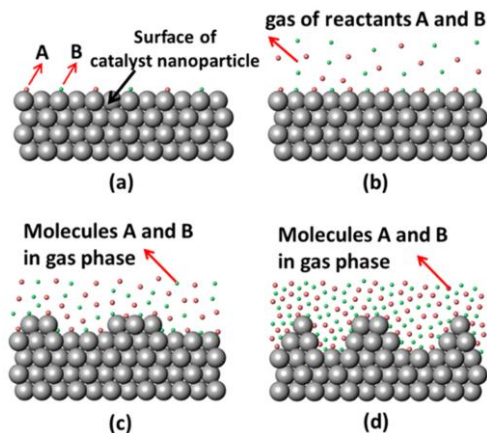
Li₂O, and Li₂O₂ increased as well in O1s and Li1s.

Upon charge conditions, the amorphous carbon, Li₂O, and Li₂O₂ were completely removed at the end of the charging of 4.9 V.

The formed amorphous carbon, Li₂O₂ and Li₂O show higher rechargeability and faster kinetics compared with Li₂CO₃-oxidation. These new findings provide direct evidence of CRR mechanism and insights in resolving the discrepancy on CRR kinetics in Li-CO₂ batteries.

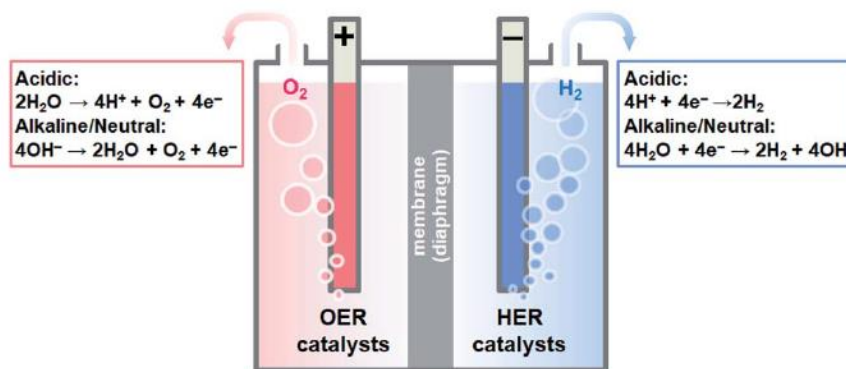
From Vapor-Solid Reaction to Liquid-Solid Reaction

Vapor-Solid Reaction



Chem. Rev. 2019, 119, 6822–6905

Liquid-Solid Reaction



Sustainable Energy Fuels, 2020, 4, 3211

Electron inelastic mean free path in **solid** as a function of electron kinetic energy.

Electron inelastic mean free path in **liquid water** as a function of electron kinetic energy.

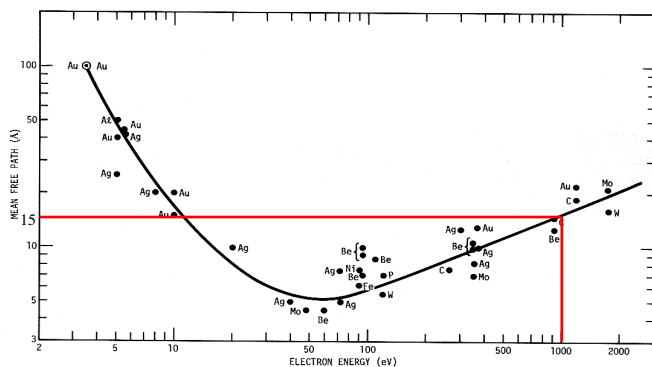
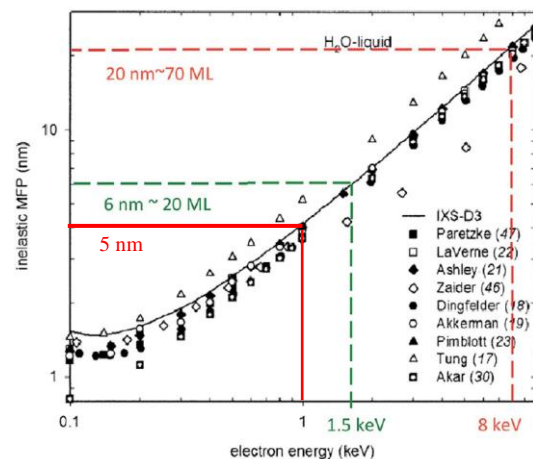


Figure 5.11. The mean free path of electrons in different solids as a function of the kinetic energy of the electrons [56].

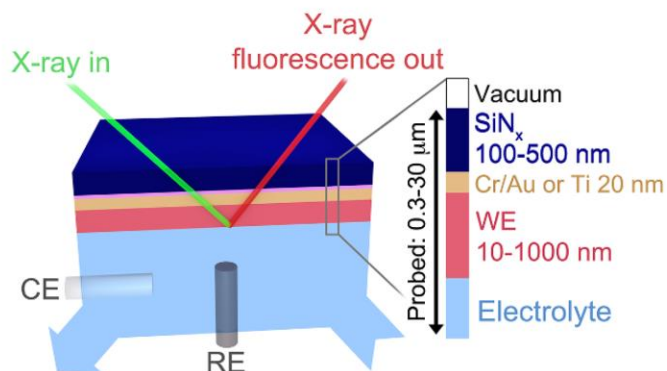


Faraday Discuss.,
 2015, 180, 35–53

Electrochemical cells for *in situ* X-ray spectroscopies

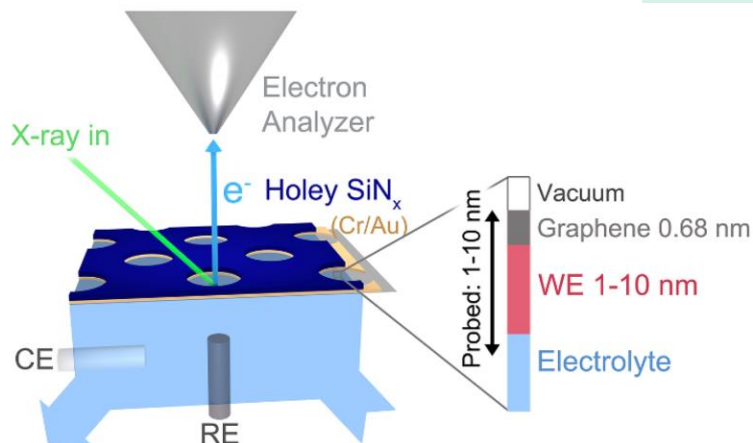
a) SiN_x cell

XAS



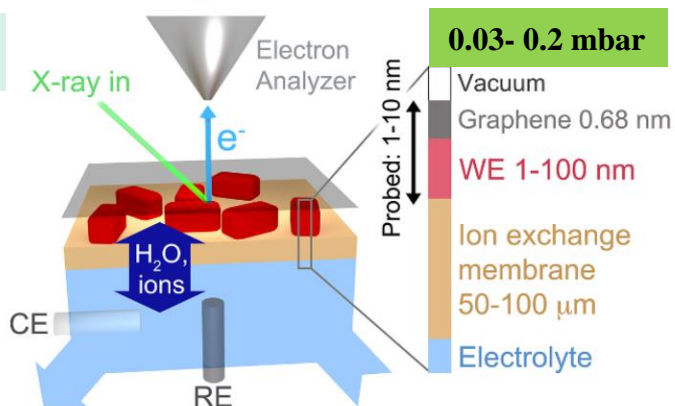
b) Holey SiN_x cell

XPS, XAS



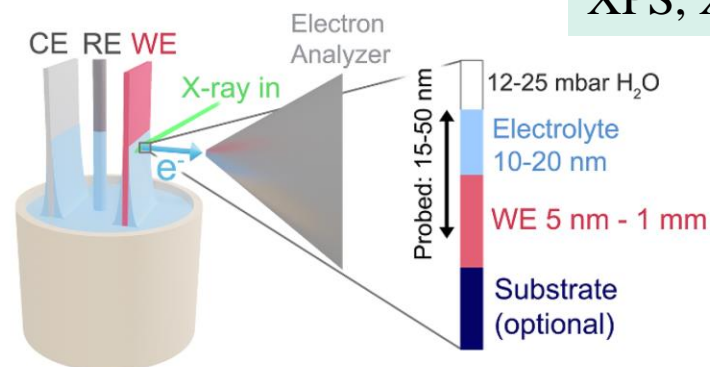
c) Ion exchange membrane cell

XPS, XAS



d) Dip and Pull method

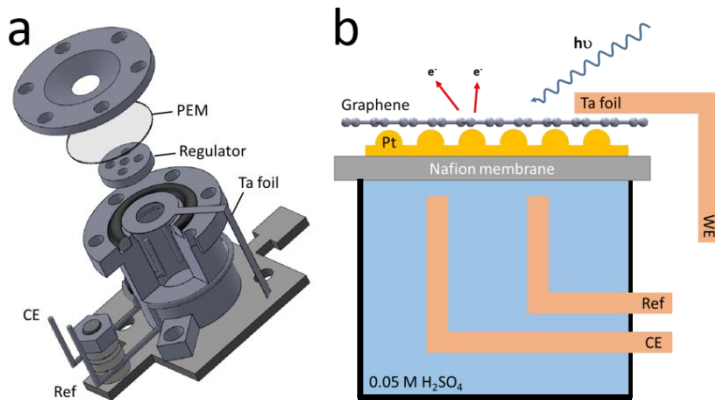
XPS, XAS



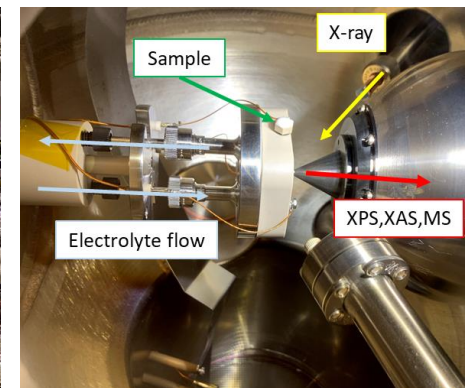
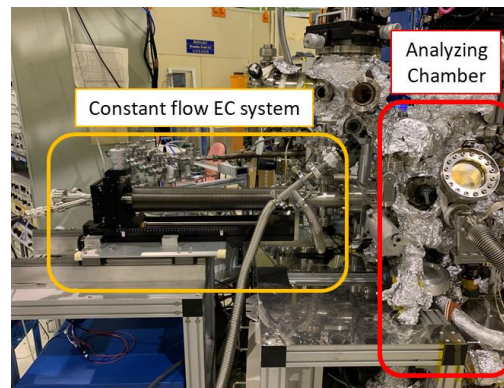
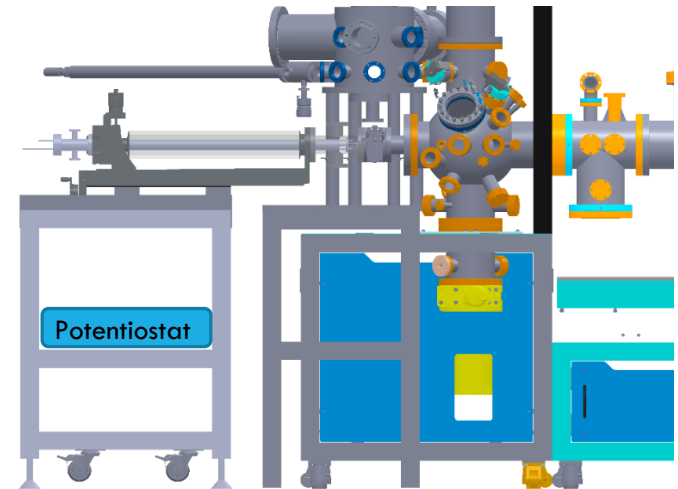
Three-Electrode electrochemical cells at TLS BL24A

➤ Static electrochemical cell

Chamber pressure ~ 0.3 mbar



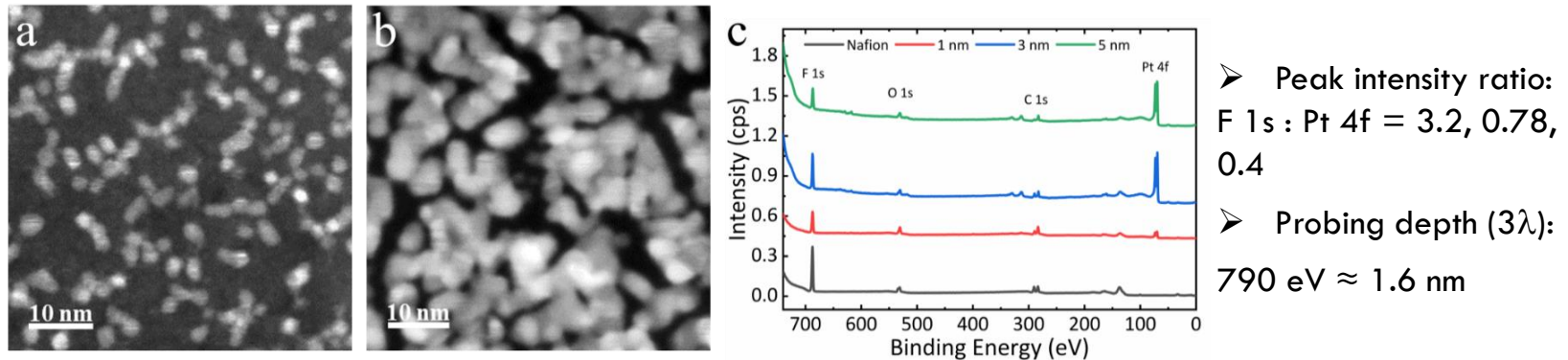
➤ Constant flow electrochemical cell system



- As static EC cell could be transferred on the standard sample holder, we also come up with the constant flow electrolyte system for extending the measuring time.

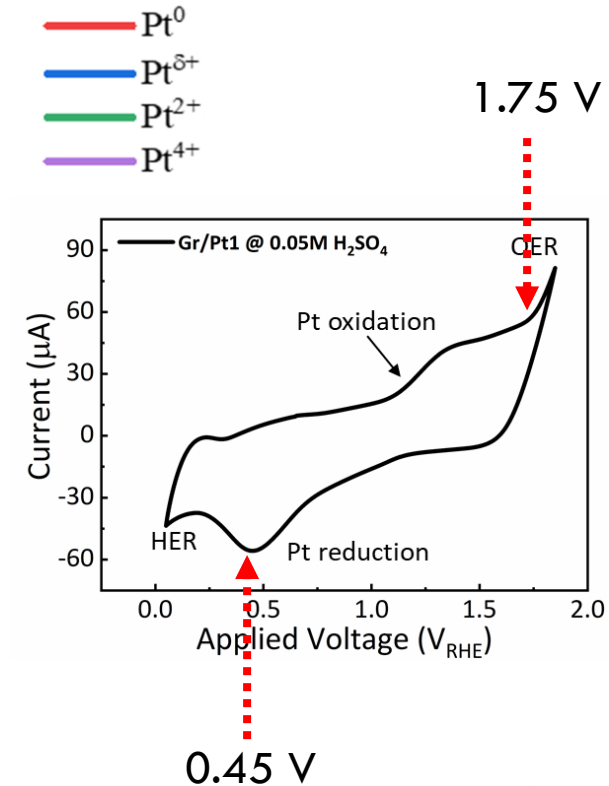
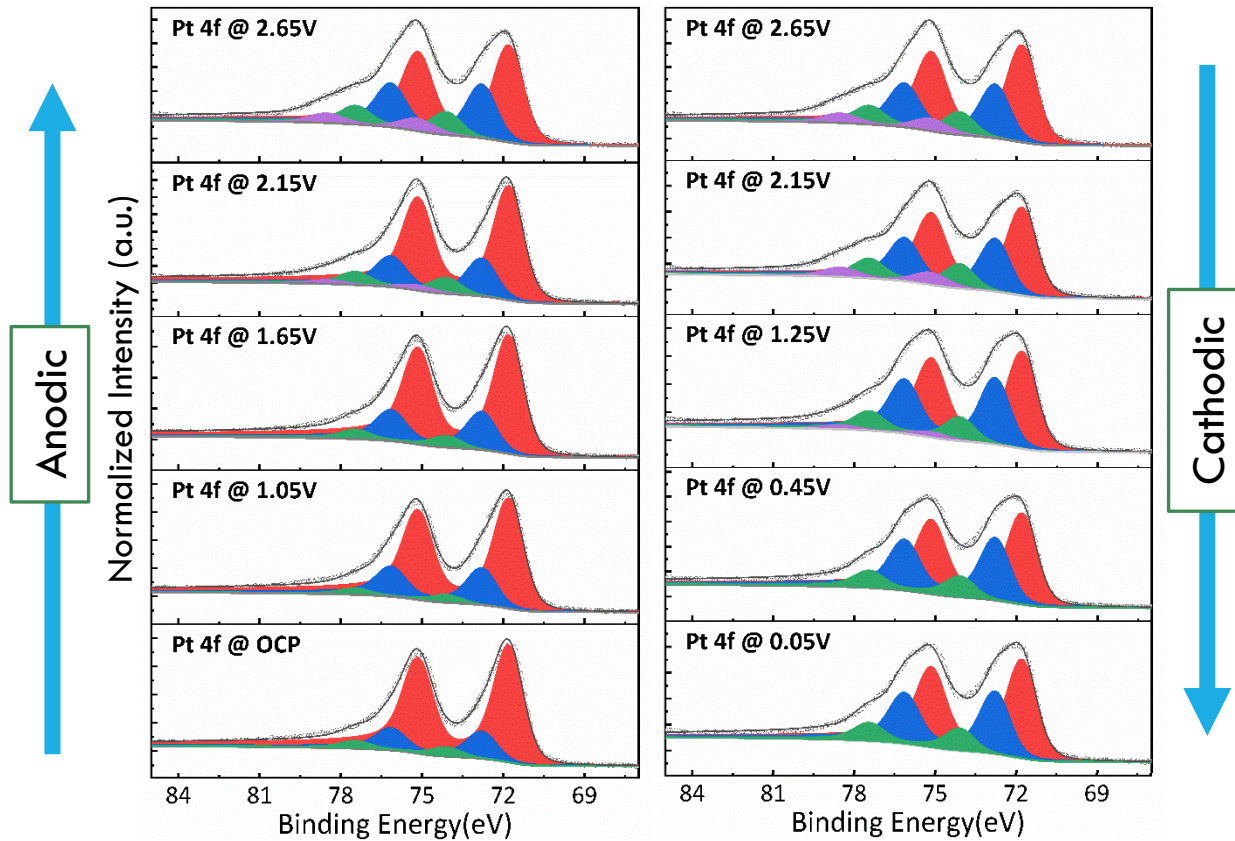
Revealing the Surface Species Evolution on Low-loading Platinum in an Electrochemical Redox Reaction by Operando Ambient-Pressure X-ray Photoelectron Spectroscopy

- Sample : ML Graphene/Pt(1, 3 or 5)/Nafion117
(Pt1, 3 or 5 for depositions amounts of 1, 3, 5 nm)
- Reaction environment: 0.05 M H_2SO_4
- Morphology characterization of the as-deposited samples

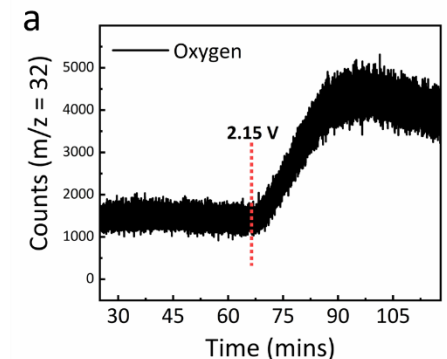


- The catalyst morphology was concluded as a discontinuous film on the Nafion substrate. This discontinuous film allows water and ions from the back side of the Nafion substrate to diffuse onto the Pt catalyst's surface and then act as the reactant during OER.

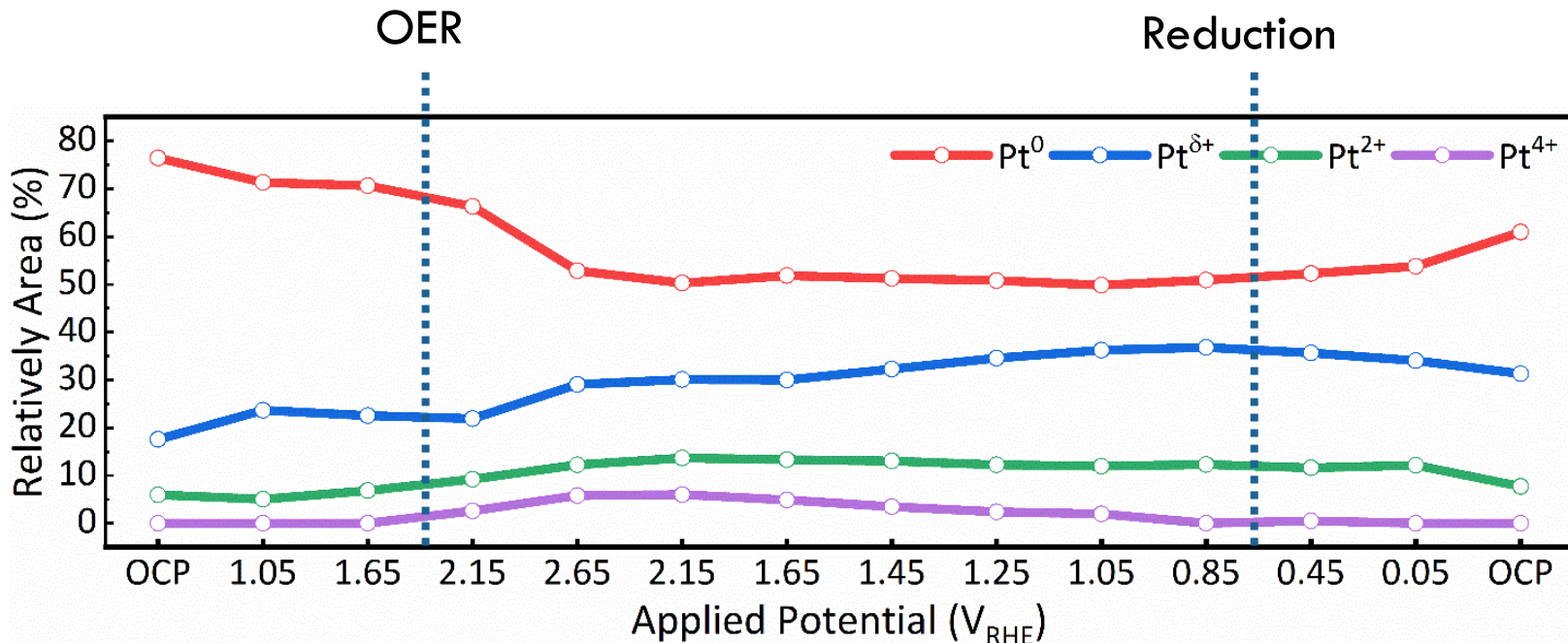
Operando XPS results during the redox process



- Operando observation of XP spectra was achieved with the static reaction cell under different applied voltages.



Oxide Species evolution during redox reaction

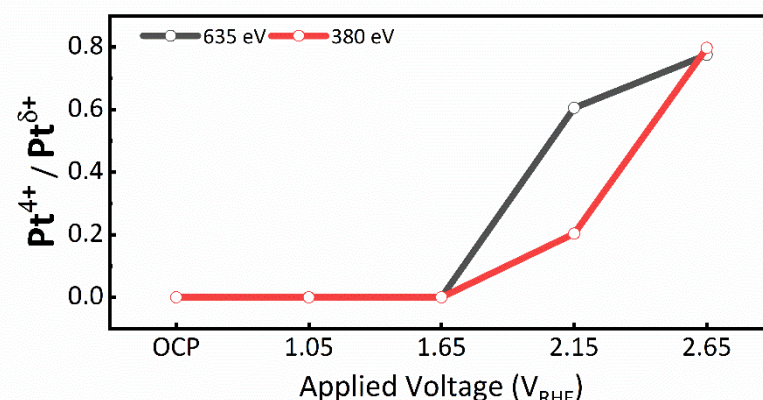
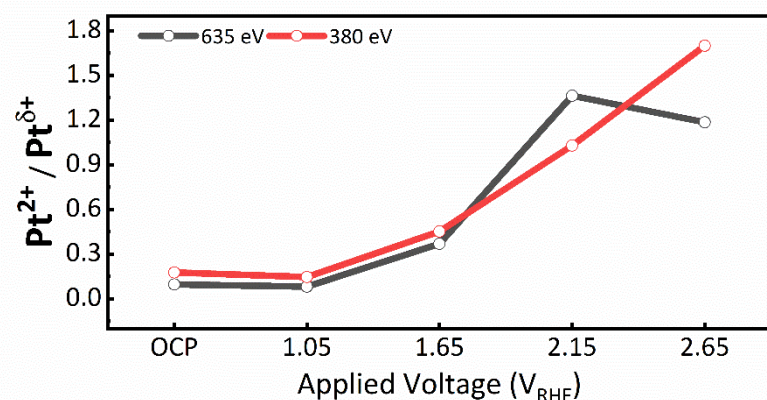


- The amount of Pt^{2+} increased with an increment of the anodic potential, whereas Pt^{4+} suddenly formed when the applied voltage was set to cross the threshold voltage of the OER.
- At the cathodic process, Pt^{4+} was completely reduced when returning to the open circuit potential. However, the Pt^{2+} species changed slightly, indicating the possibility of oxide reduction from tetravalent to divalent oxide or the formation of hydrated oxide species.

Evolution of the oxide species on the surface and subsurface

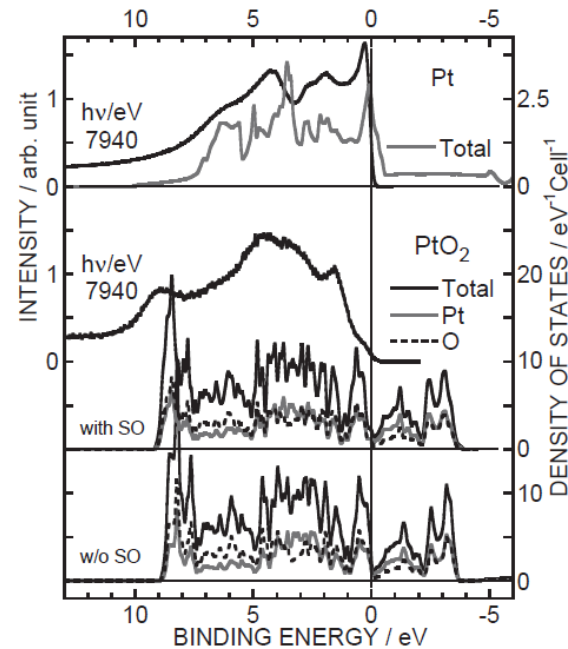
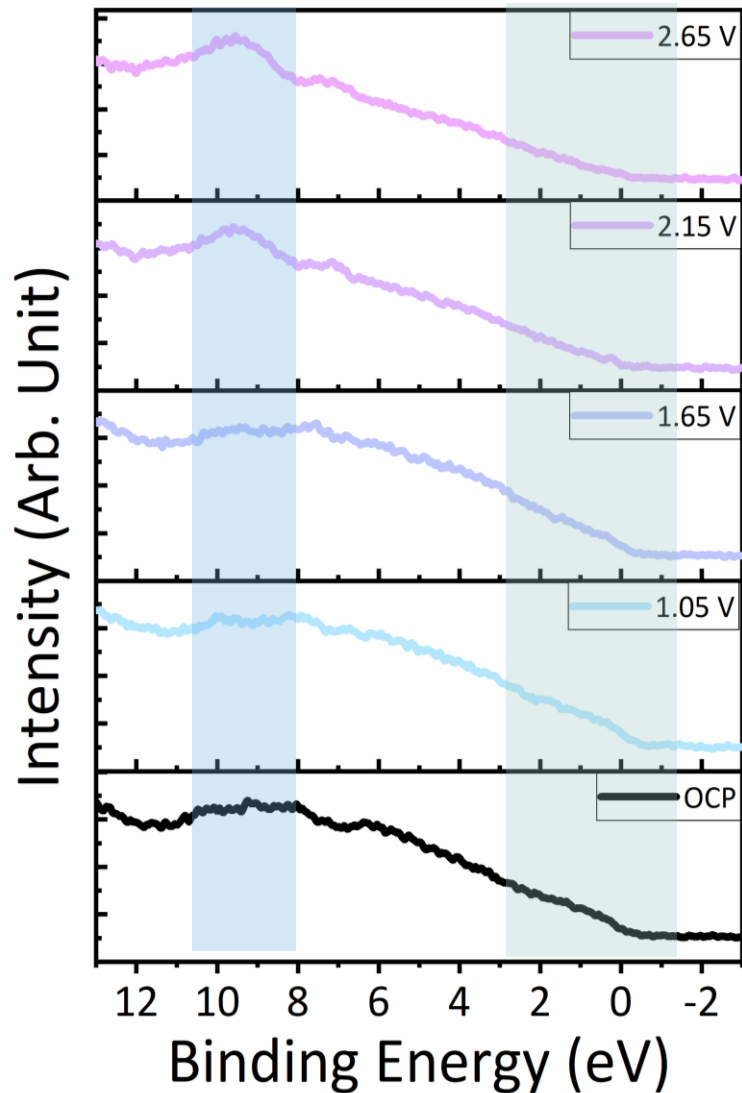
The probing depth is around 3.84 nm and 2.85 nm for the Pt catalyst using X-ray energies of 635 and 380 eV, respectively.

- Anodic Process



- The Pt²⁺/Pt^{δ+} ratio was almost identical for the two X-ray energies when the applied voltage was changed from the OCP to 2.15 V. However, at an applied voltage of 2.65 V, the Pt²⁺/Pt^{δ+} was higher by using the lower photon excitation energy.
- The Pt⁴⁺/Pt^{δ+} ratio was higher when the X-ray energy of 635 eV was employed at the applied voltage of 2.15 V, indicating Pt⁴⁺ species has occurred at the subsurface of the catalyst.

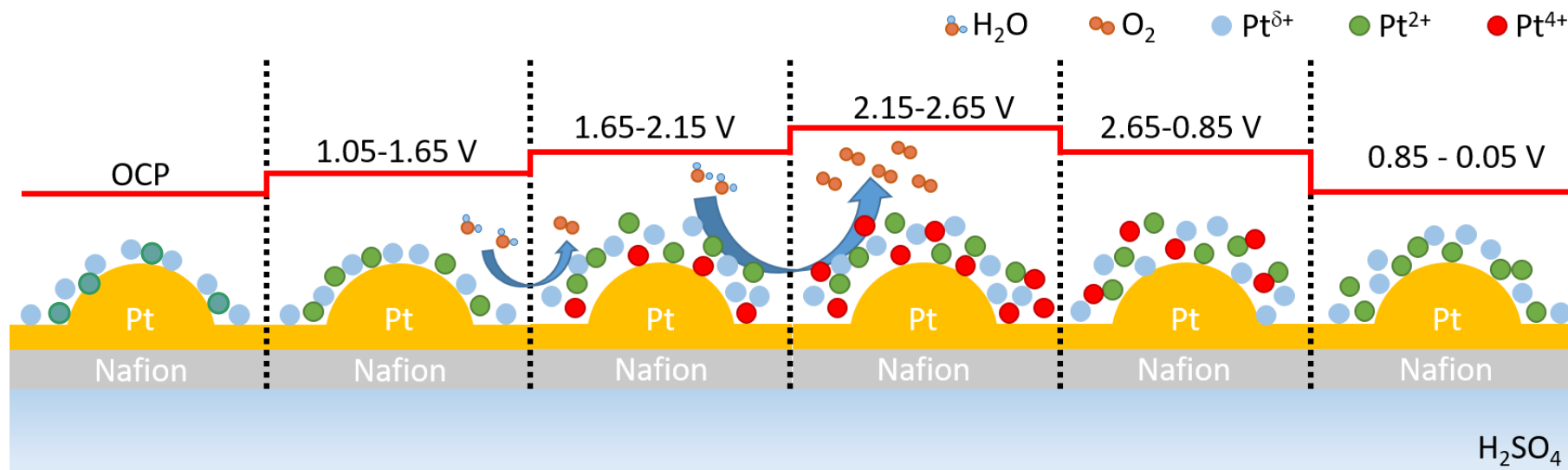
Operando valence band spectra of Pt 1(nm) during an anodic sweep



J. Phys. Soc. Jpn. 87, 044701 (2018)

As the anodic polarization progressed, a peak started to develop at approximately 9.5 eV, whereas the electron density near the Fermi edge decreased.

Schematic of surface oxide species evolution during redox process



- We proposed that the mixed-valence oxidation layer at the Pt catalyst surface reinforces the absorption ability of water and hydroxyl groups; The interface between the metallic Pt and mixed-valence oxidation thin layer boosts the OER activity further.

Decreasing the O₂-to-H₂O₂ Kinetic Energy Barrier on Dilute Binary Alloy Surfaces with Controlled Configurations of Isolated Active Atoms

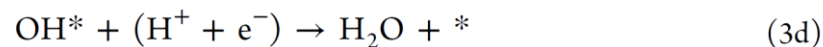
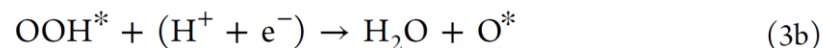
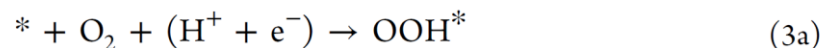
Adv. Funct. Mater., 2024, 23, 14281.

Shang-Cheng Lin, Chun-Wei Chang, Meng-Hsuan Tsai, Chih-Hao Chen, Jui-Tai Lin, Chia-Ying Wu, I-Ting Kao, Wen-Yang Jao, Chia-Hsin Wang, Wen-Yueh Yu, Chi-Chang Hu, Kun-Han Lin,* and Tung-Han Yang*

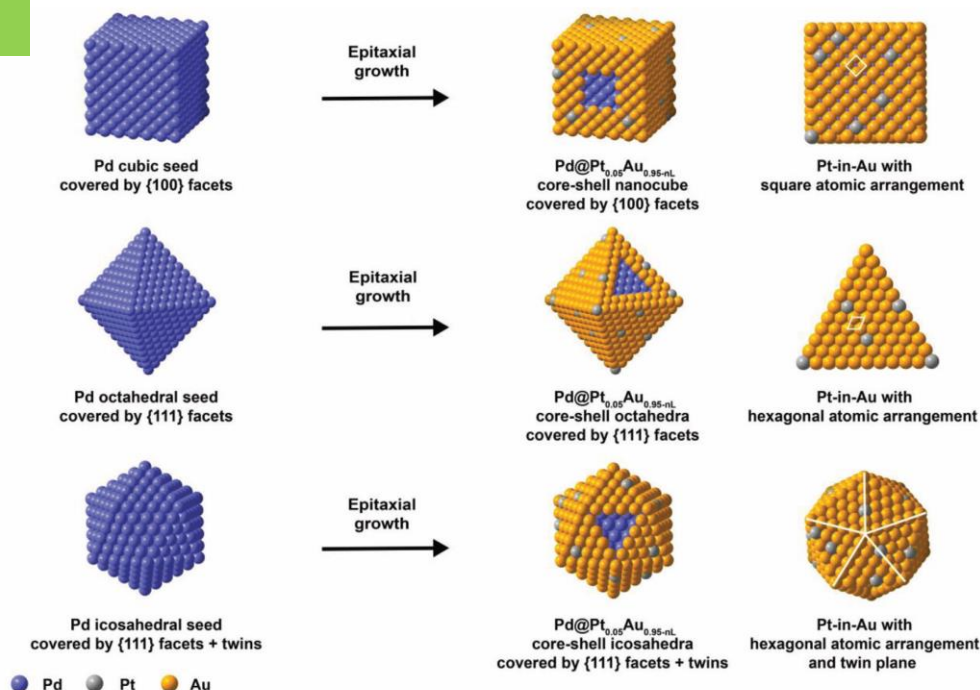
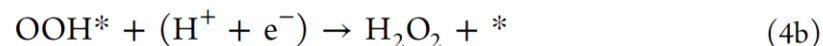
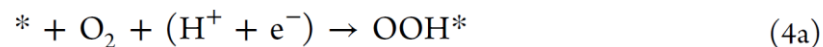
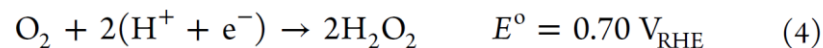
O₂ Reduction Reaction (ORR)

The mechanism of 4e-ORR:

Thermodynamically favored



The mechanism of 2e-ORR:



Decreasing the O₂-to-H₂O₂ Kinetic Energy Barrier on Dilute Binary Alloy Surfaces with Controlled Configurations of Isolated Active Atoms

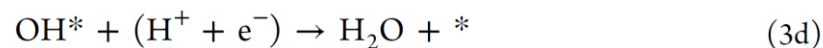
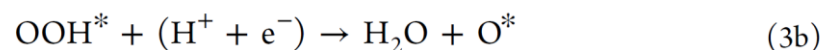
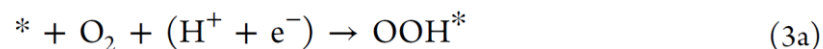
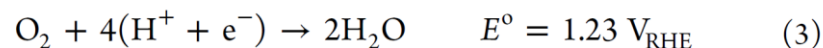
Adv. Funct. Mater., 2024, 23, 14281.

Shang-Cheng Lin, Chun-Wei Chang, Meng-Hsuan Tsai, Chih-Hao Chen, Jui-Tai Lin, Chia-Ying Wu, I-Ting Kao, Wen-Yang Jao, Chia-Hsin Wang, Wen-Yueh Yu, Chi-Chang Hu, Kun-Han Lin,* and Tung-Han Yang*

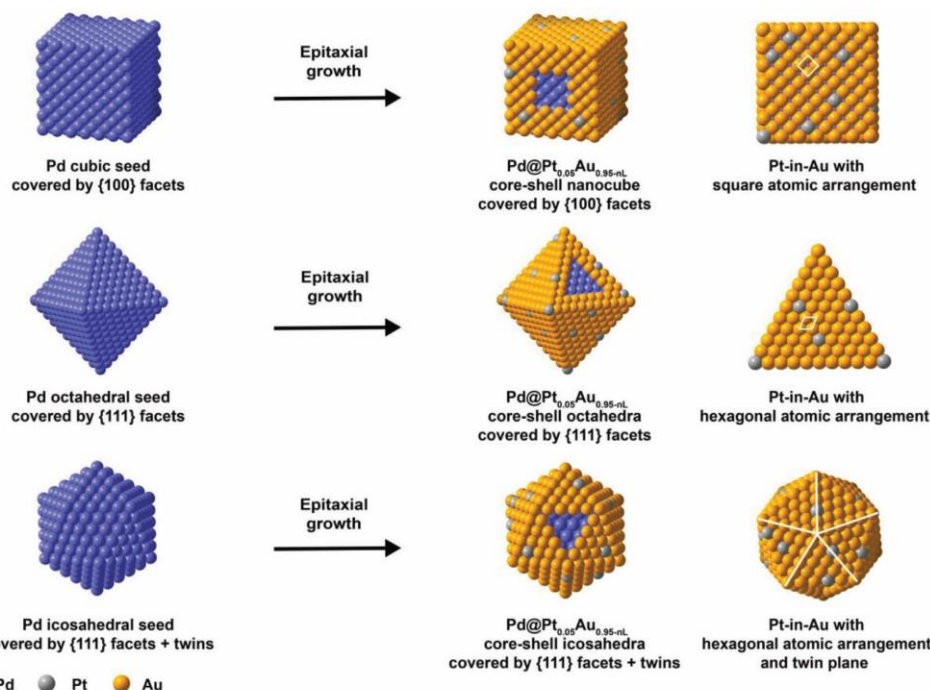
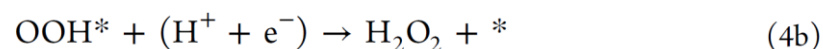
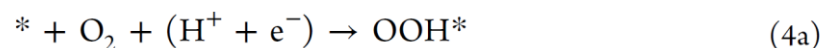
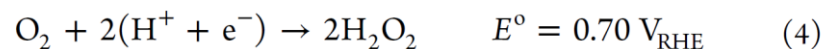
O₂ Reduction Reaction (ORR)

The mechanism of 4e-ORR:

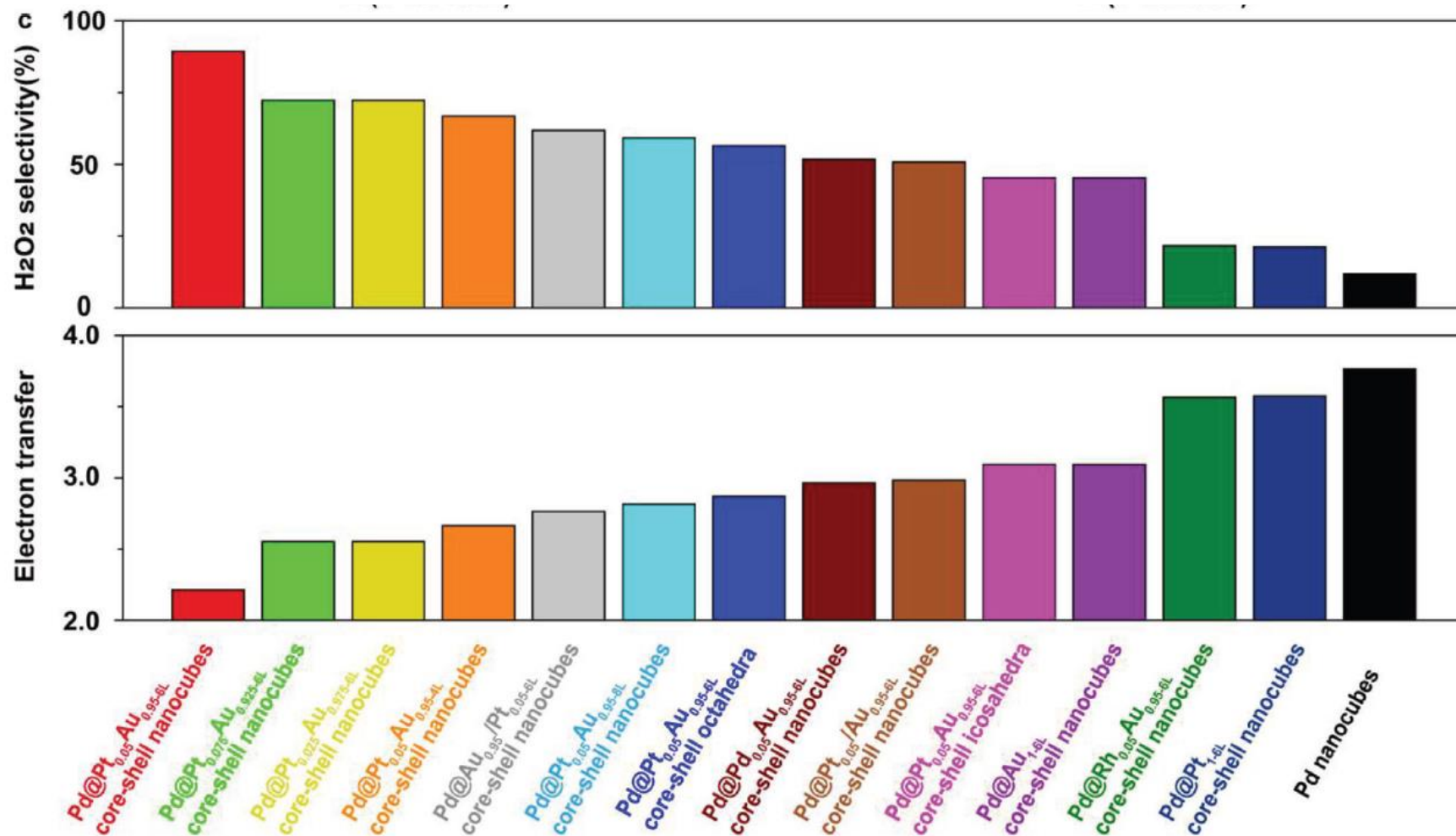
Thermodynamically favored



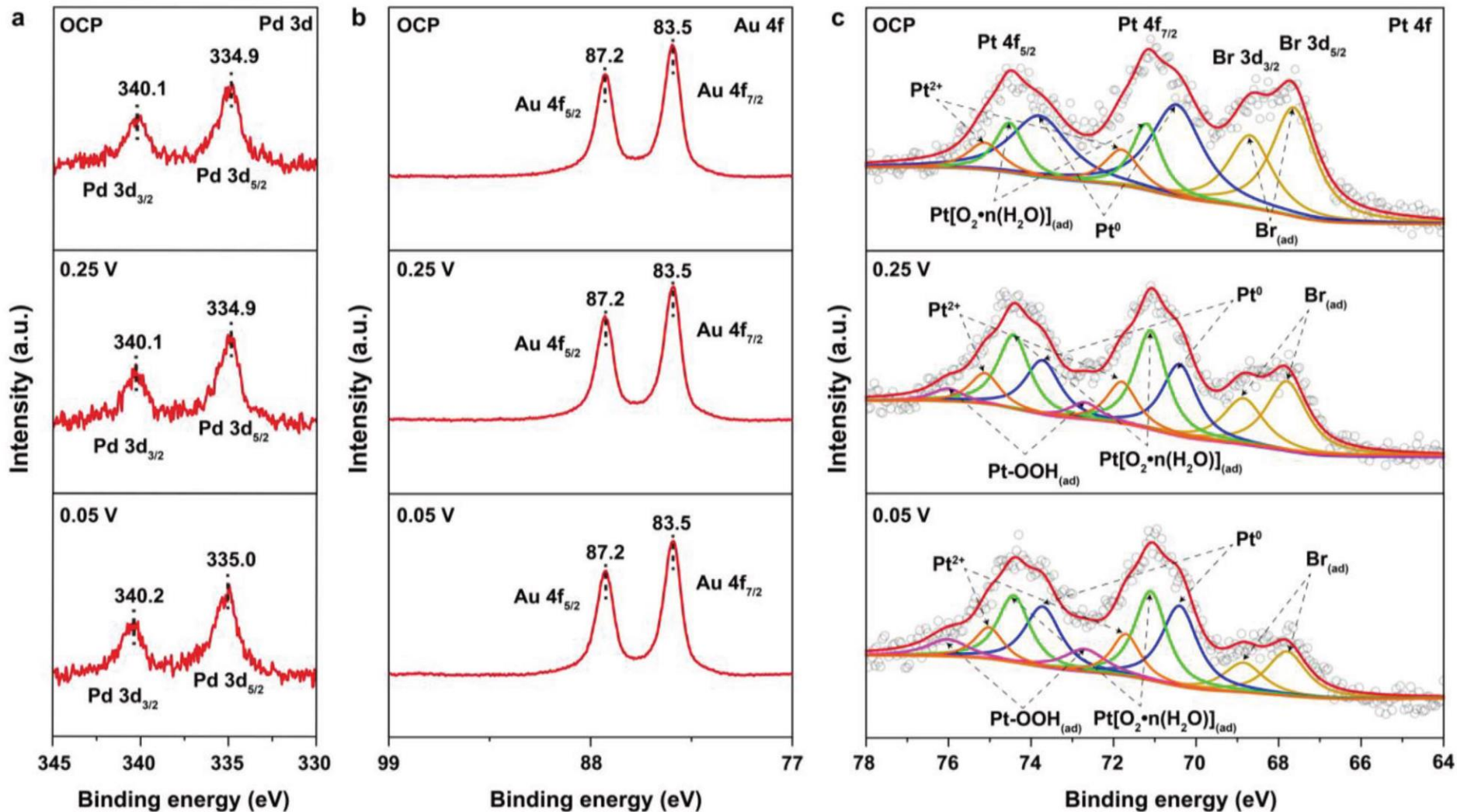
The mechanism of 2e-ORR:



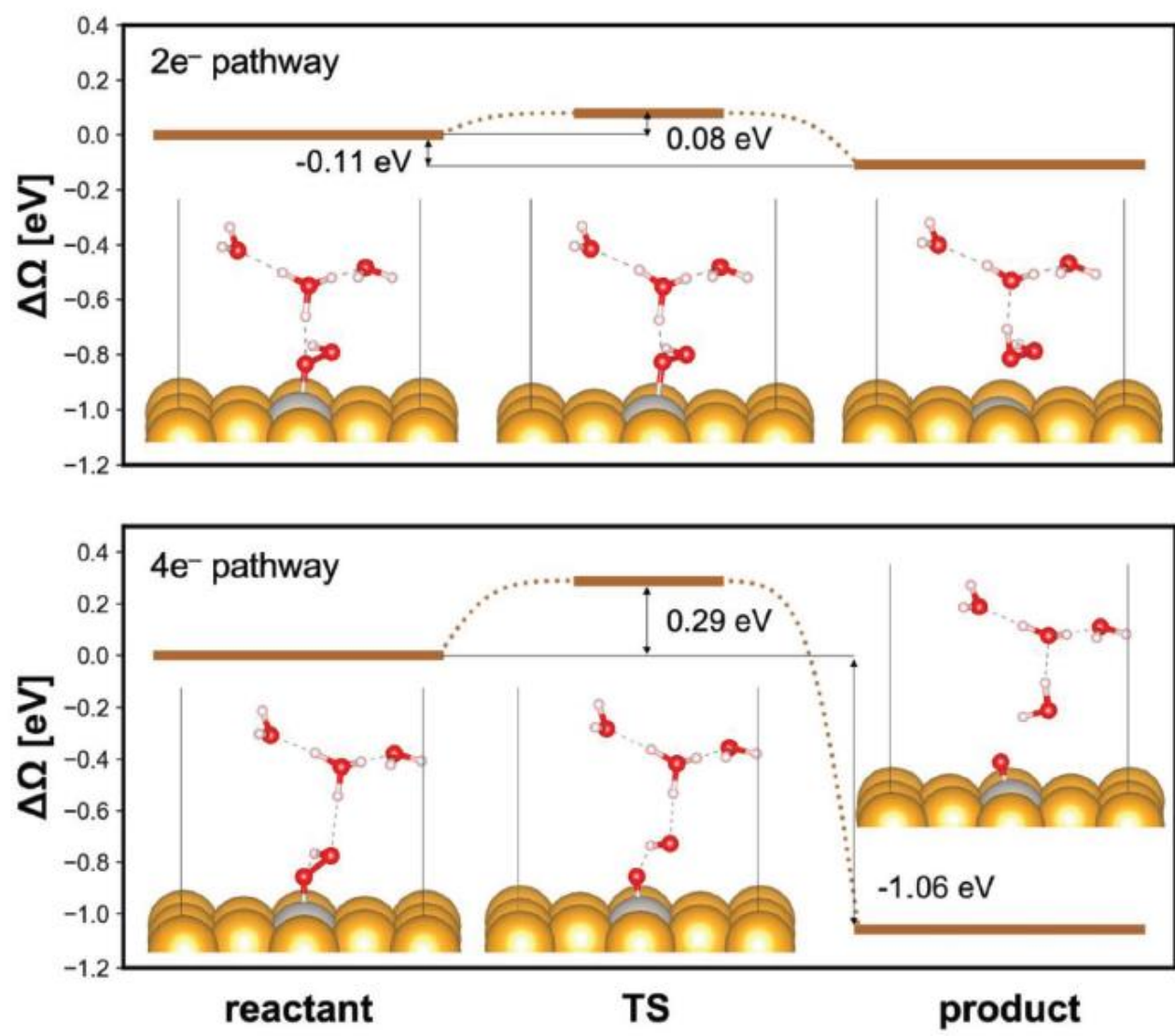
Electrocatalytic ORR performance of Pd@M-in-Au core-shell nanocrystals through RRDE measurements in the O₂-saturated 0.1 M HClO₄ (M = Pt, Pd, and Rh).



Operando synchrotron AP-XPS measurements of Pd@Pt-in-Au core-shell nanocrystals during the ORR.



GC-DFT: kinetic Analysis. The relative grand potentials ($\Delta\Omega$) along the $2e^-$ ($\text{OOH}^* + \text{H}^+ + e^- \rightarrow \text{H}_2\text{O}_2$) and $4e^-$ ($\text{OOH}^* + \text{H}^+ + e^- \rightarrow \text{O}^* + \text{H}_2\text{O}$) ORR reaction pathways at $U = 0.4 \text{ V}$ (vs SHE) on Pd@Pt-in-Au (100) surface with a single-atom Pt (Pt1).







Adv. Funct. Mater., **2024**,
23, 14281.

Heterogeneous Interfaces of Ni₃Se₄ Nanoclusters Decorated on Ni₃N Surface Enhance Efficient and Durable Hydrogen Evolution Reactions in Alkaline Electrolyte

Prof. Wei-Nien Su,

Prof. Bing-Joe Hwang, NTUST

Color	GREY HYDROGEN	BLUE HYDROGEN	TURQUOISE HYDROGEN*	GREEN HYDROGEN
Process	SMR or gasification	SMR or gasification with carbon capture (85-95%)	Pyrolysis	Electrolysis
Source	Methane or coal 	Methane or coal 	Methane 	Renewable electricity 

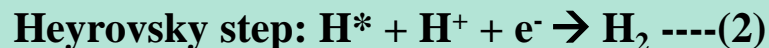
Note: SMR = steam methane reforming.

* Turquoise hydrogen is an emerging decarbonisation option.

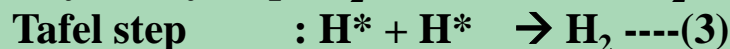
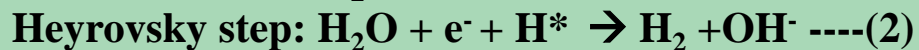
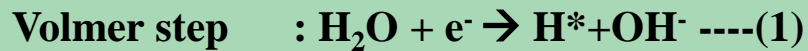
Source: International Renewable Energy Agency

HER Reaction Pathway

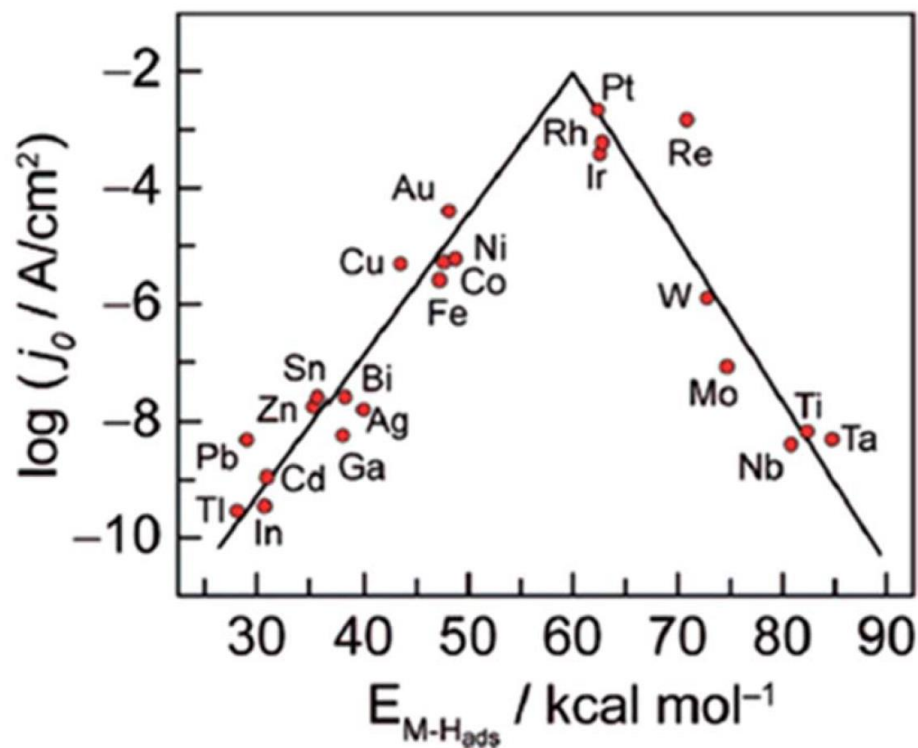
Acid Electrolyte:



Alkaline Electrolyte:

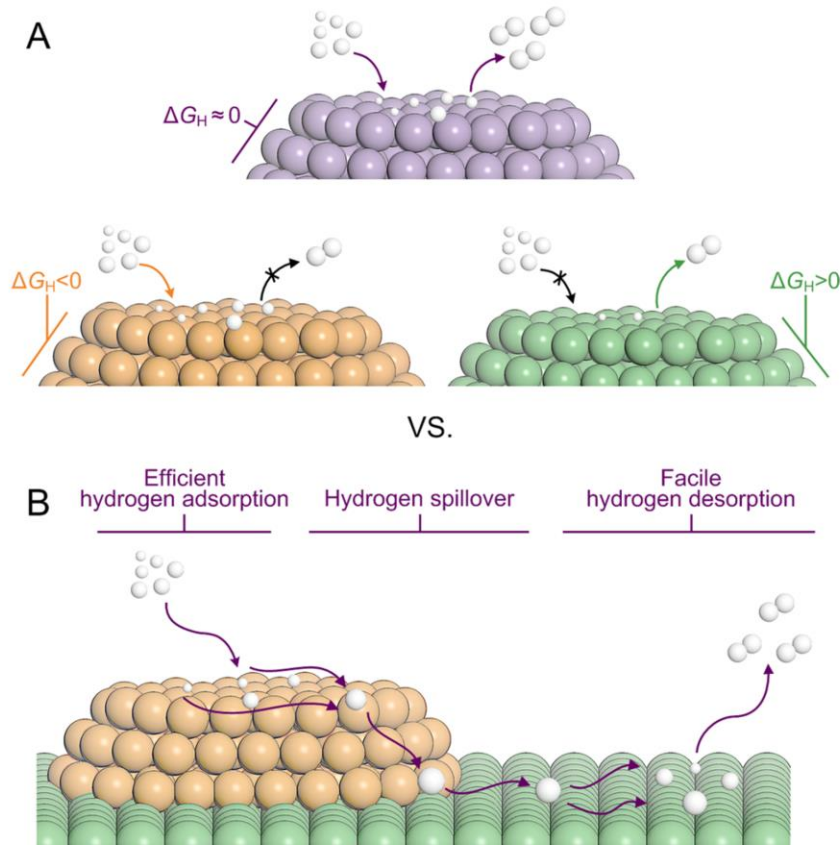


Hydrogen evolution reaction (HER) volcano plot on metal electrodes under low pH environment.



RSC Adv., **2023**, *13*, 3843–3876.

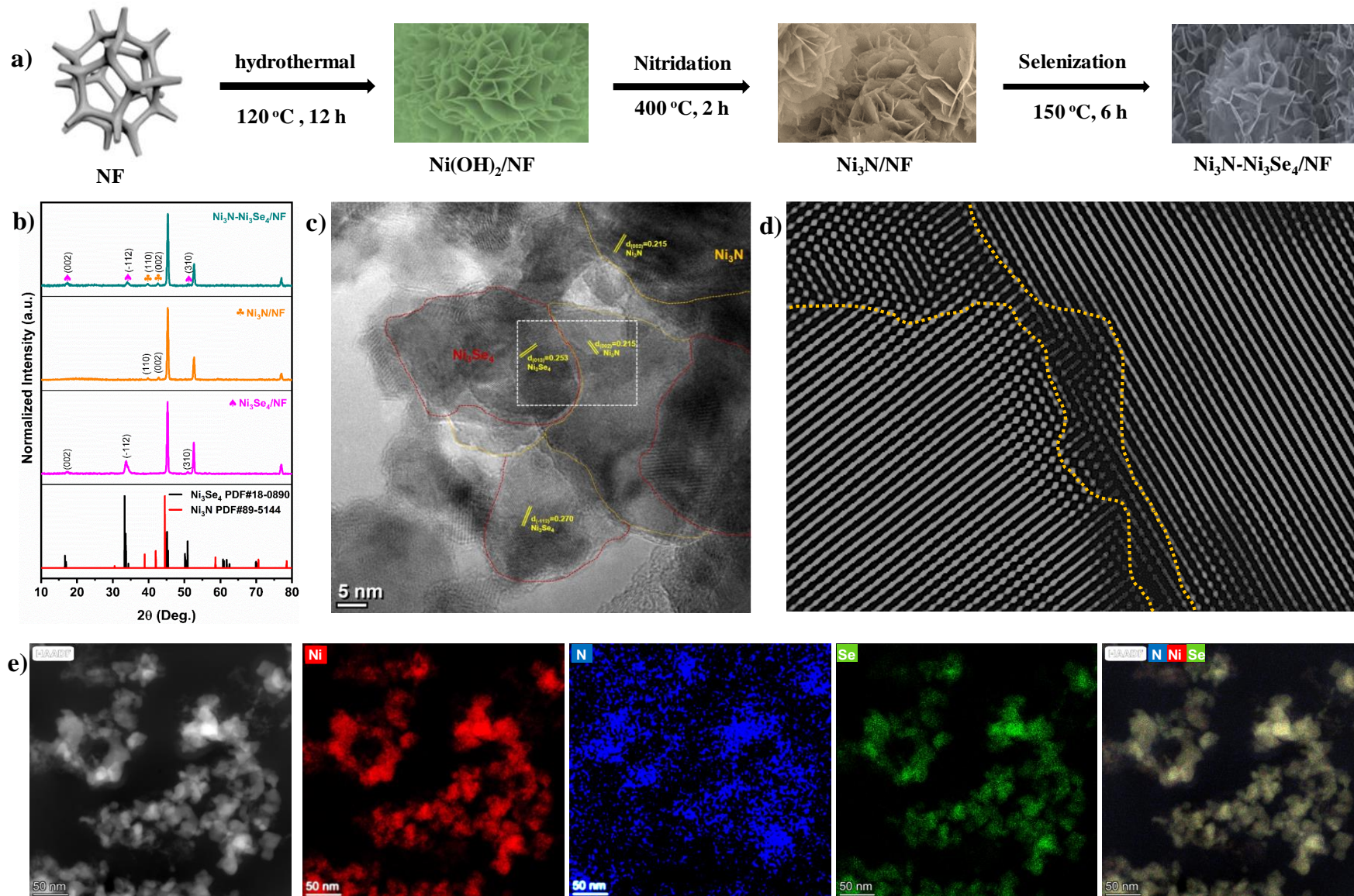
From Single Metal to Heterostructure Electrocatalysts



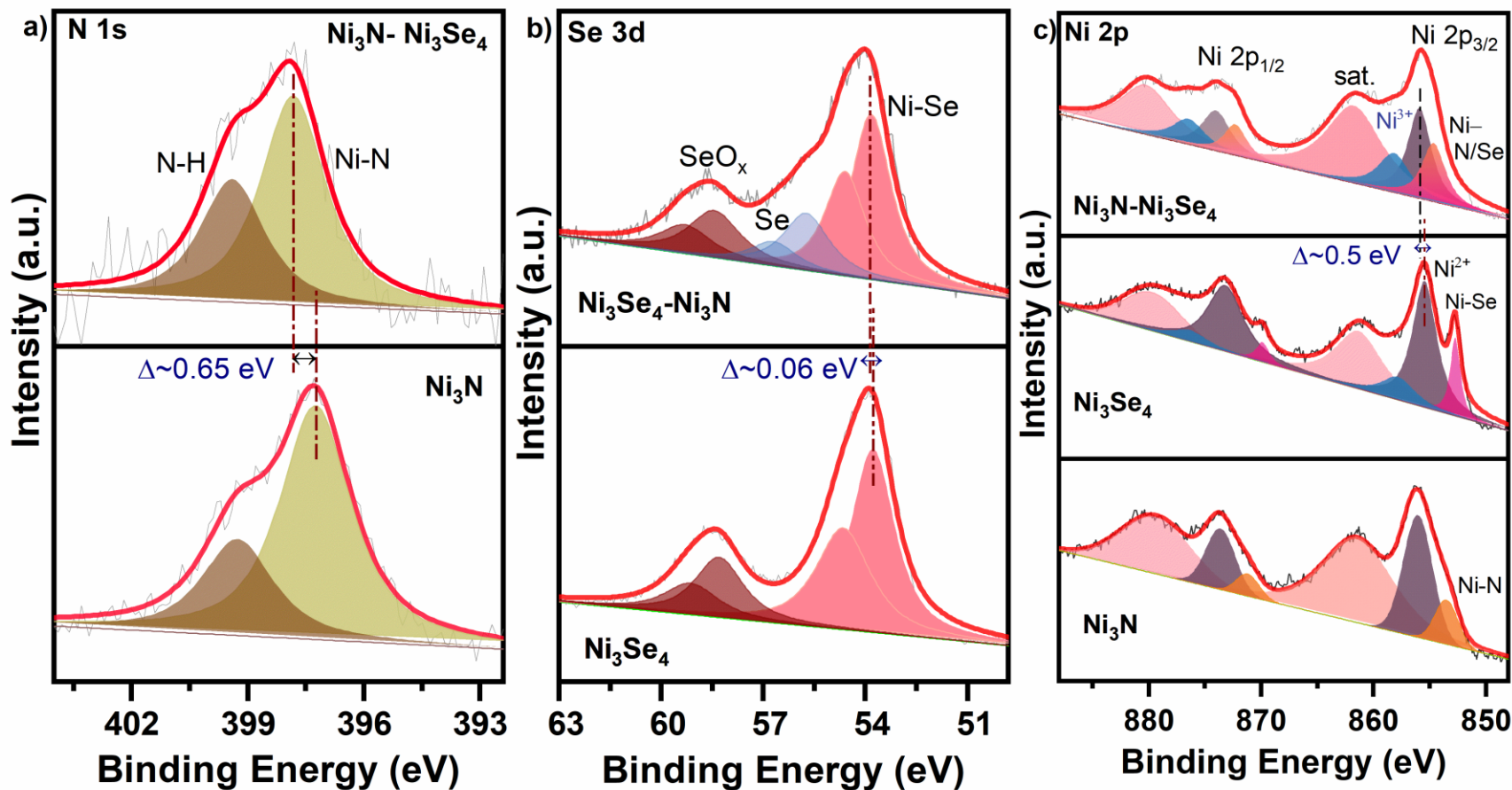
(A) The state-of-the-art design concept for HER electrocatalysts is based on ΔG_{H} . (B) The design of HER electrocatalysts based on hydrogen spillover effects.

Acc. Chem. Res. **2024**, *57*, 895–904.

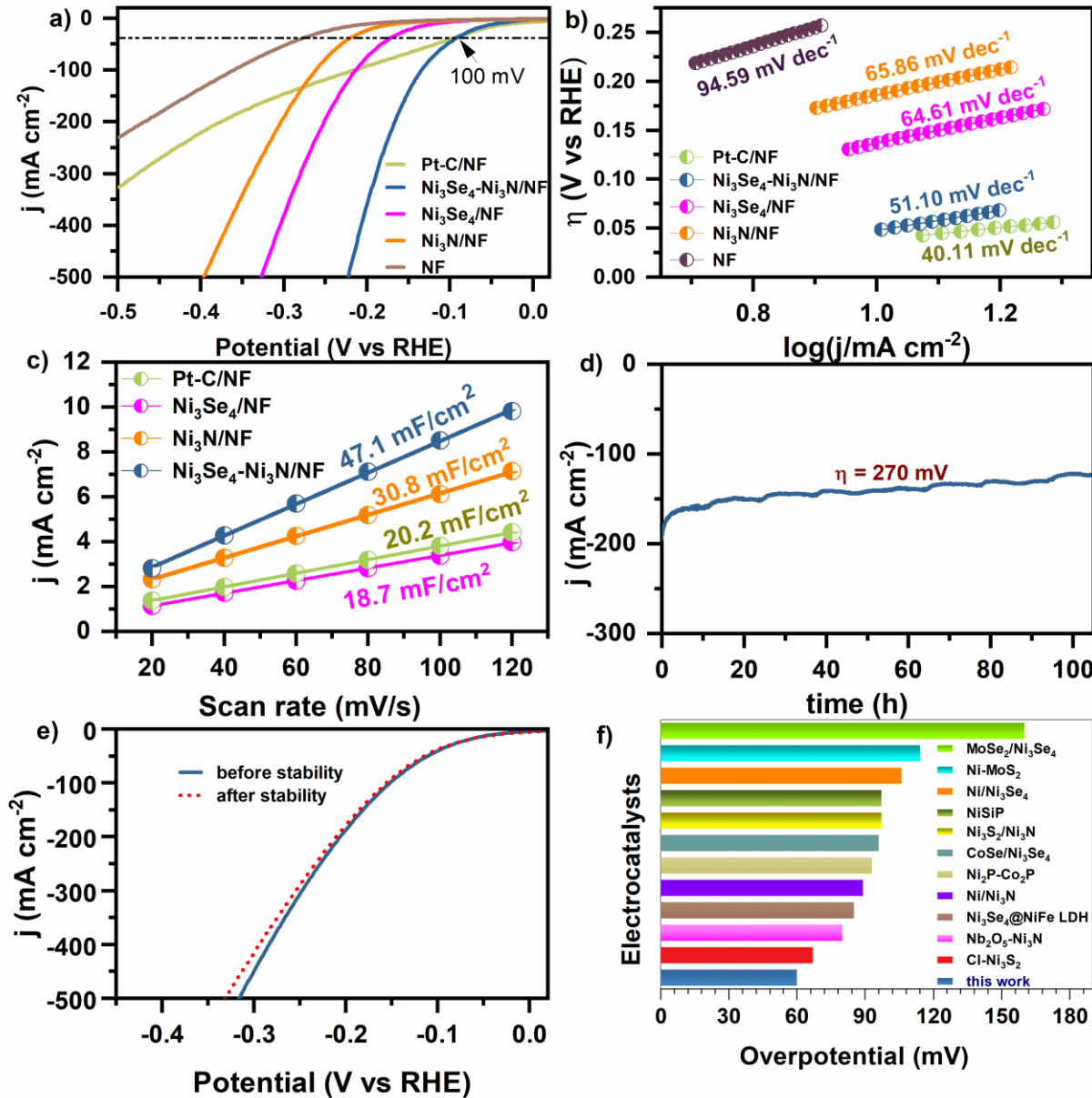
Synthesis of Ni_3Se_4 - Ni_3N electrocatalyst



X-ray Photoelectron Spectroscopy Characterization.

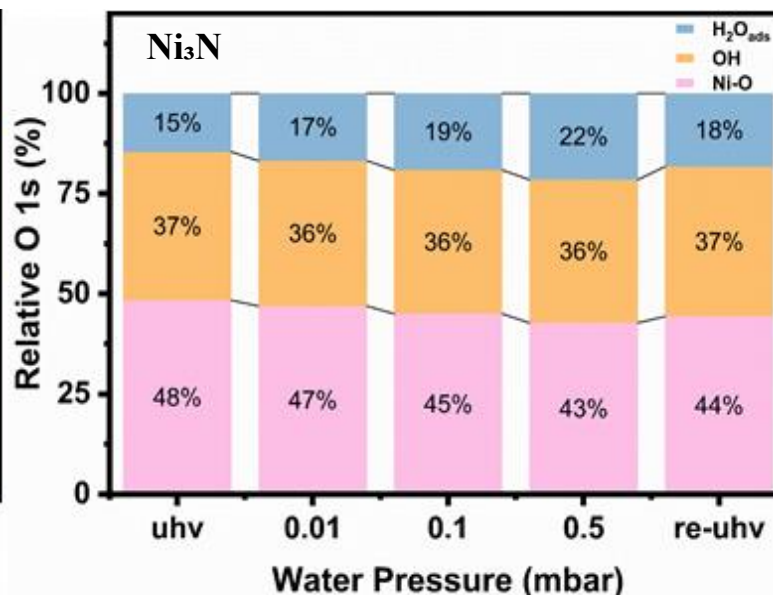
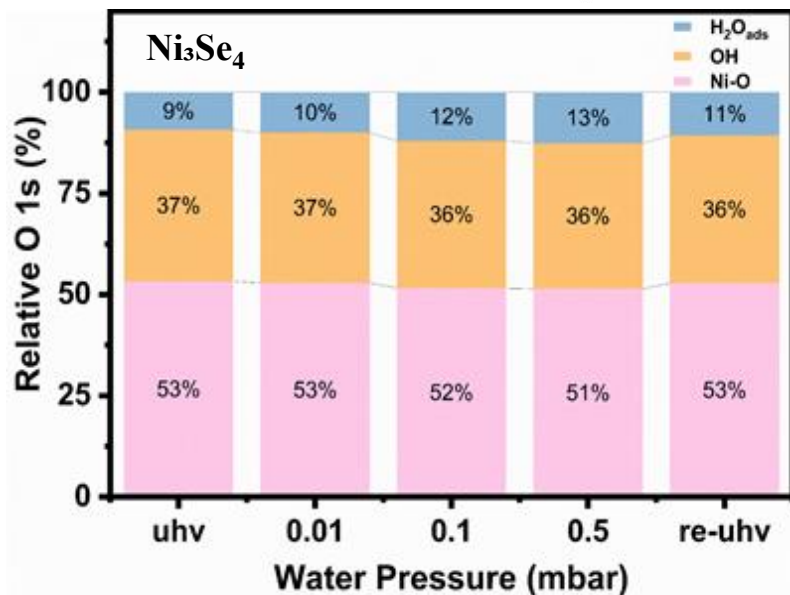
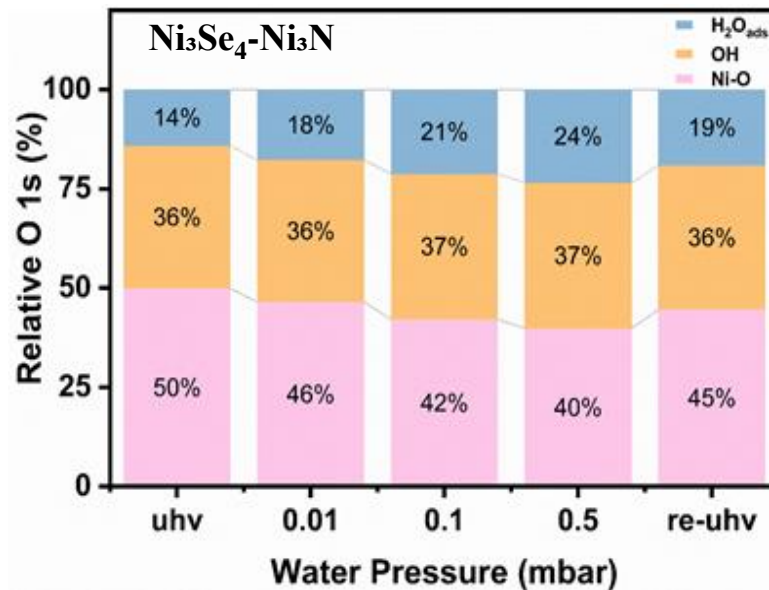
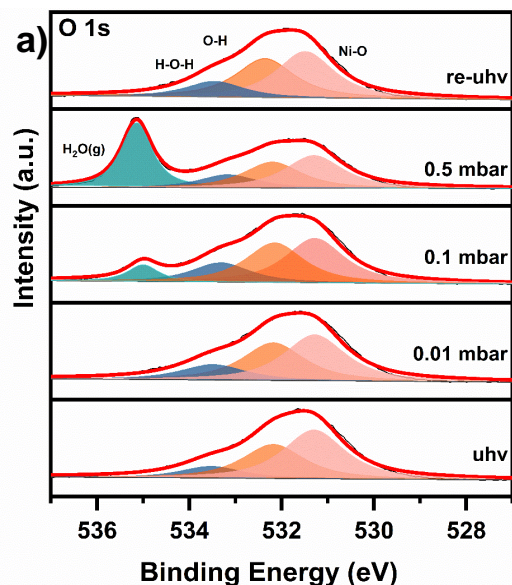


Electrochemical Performance of as-prepared Electrocatalysts



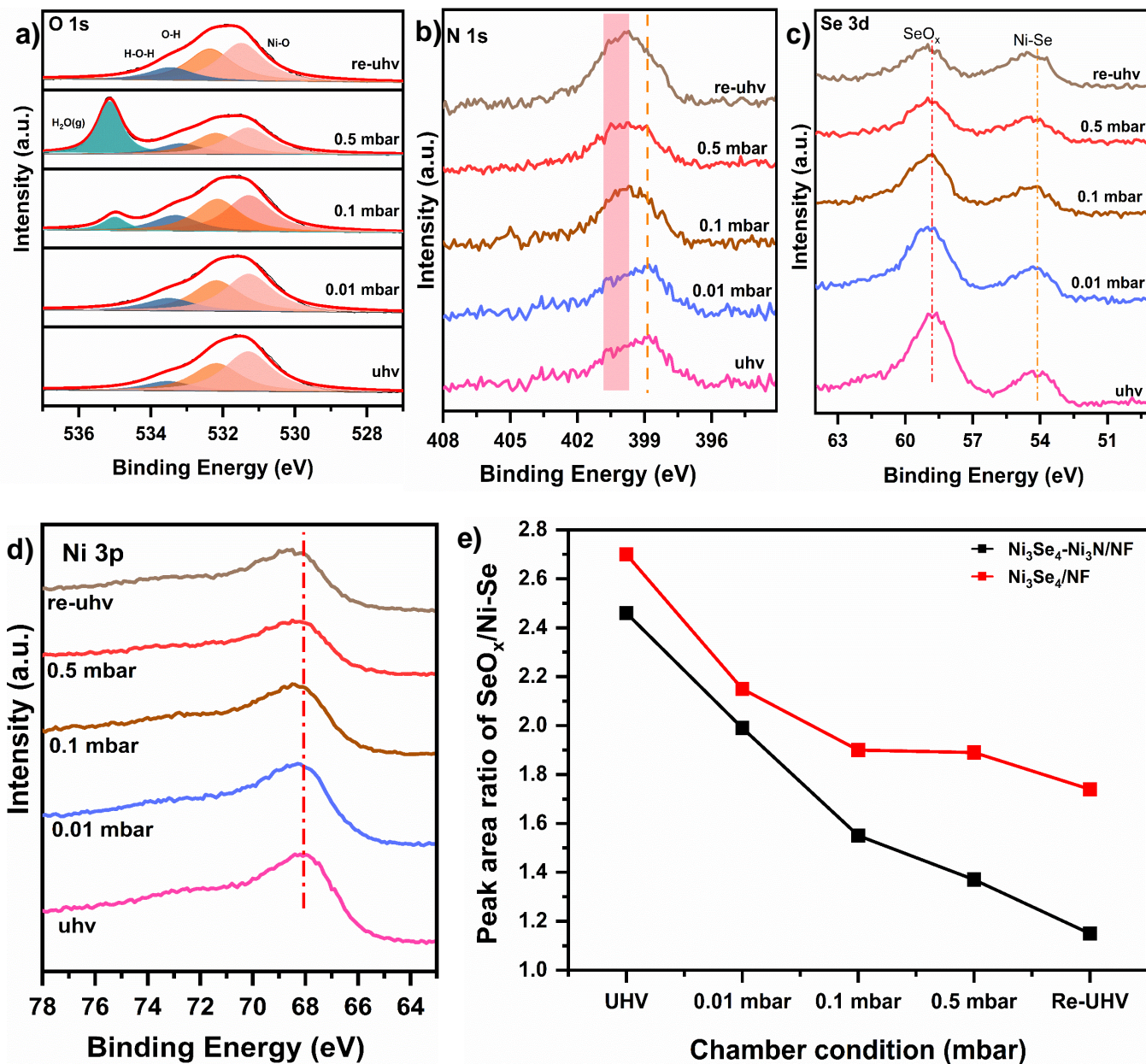
Ni₃Se₄-Ni₃N ($\eta_{10} = 60$ mV)
 Ni₃Se₄ ($\eta_{10} = 110$ mV)
 Ni₃N ($\eta_{10} = 130$ mV).
 Pt-C ($\eta_{10} = 42$ mV)

Water adsorption characterizations using NAPXPS

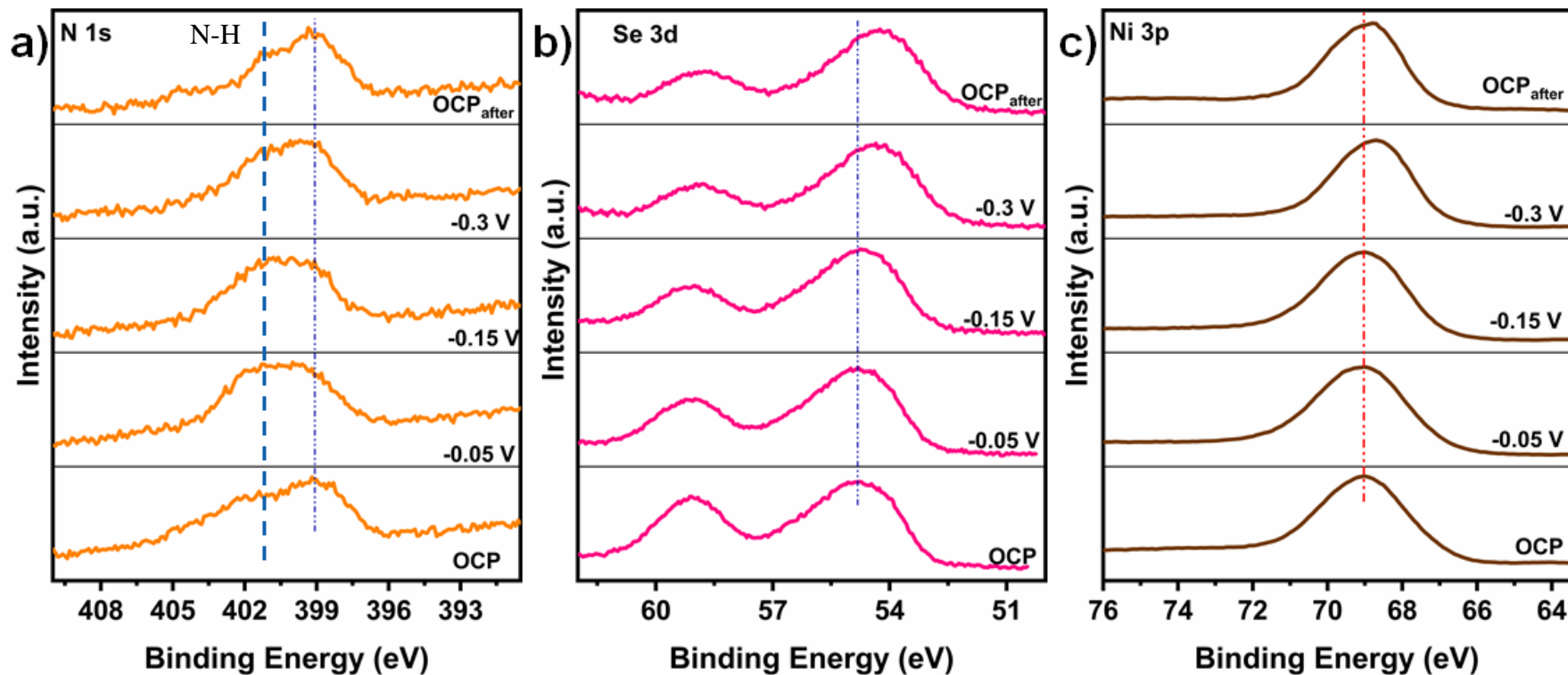


Water adsorption characterizations using NAPXPS

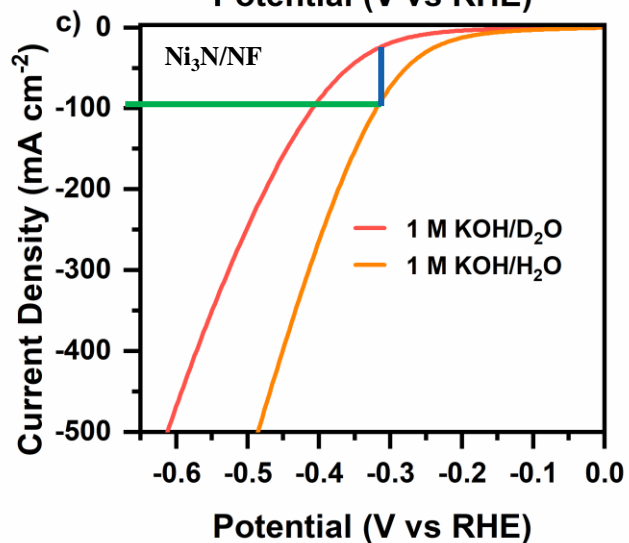
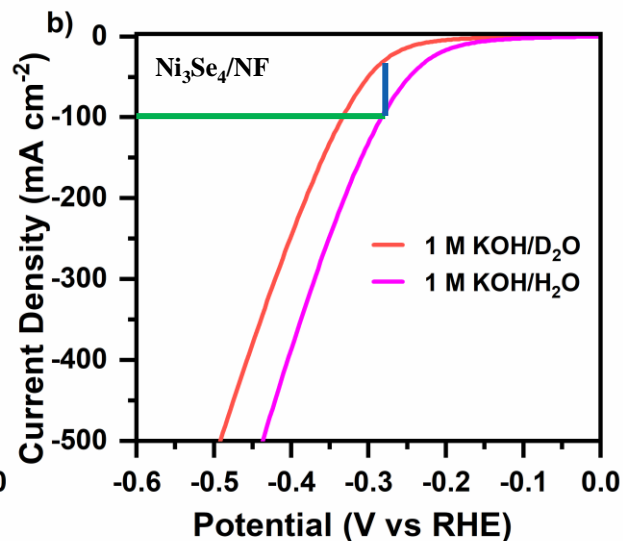
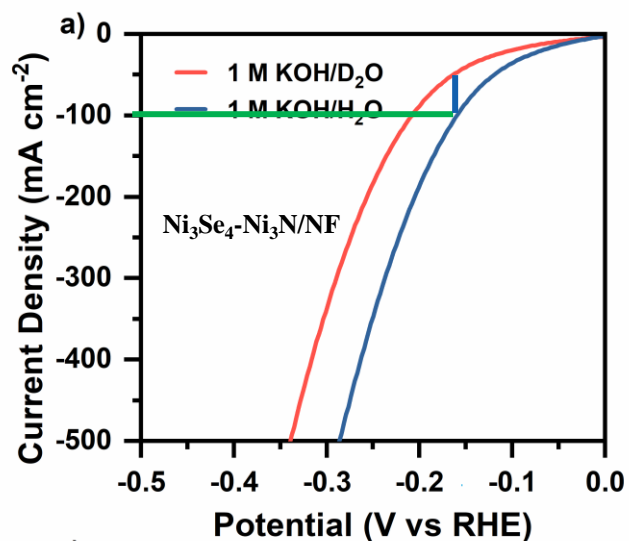
$\text{Ni}_3\text{Se}_4\text{-Ni}_3\text{N}$



In-situ APXPS characterization under different applied potentials

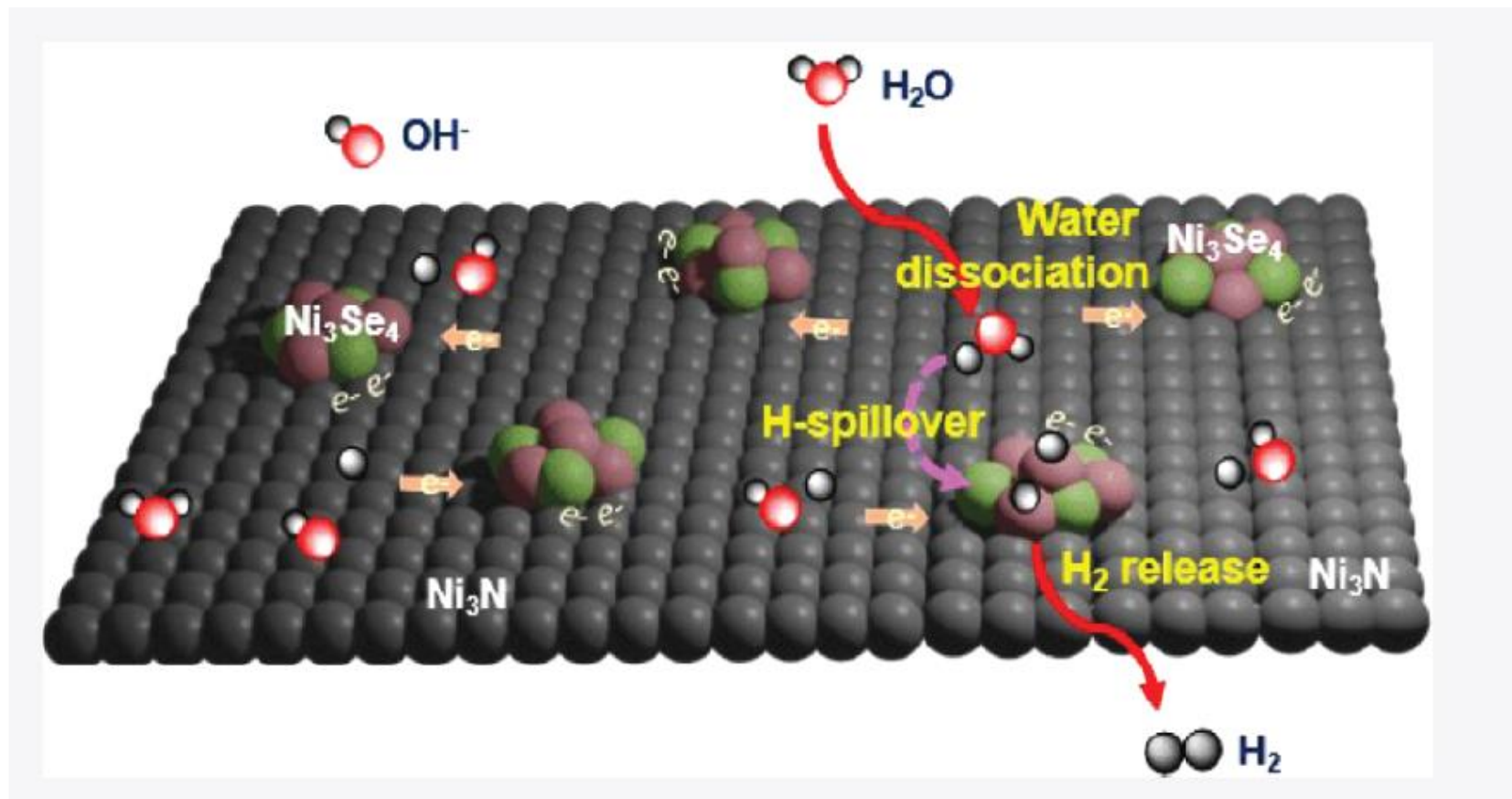


Kinetic Isotope Effects



$\text{J}_{\text{H}_2\text{O}}$	$\text{Ni}_3\text{S}_4\text{-Ni}_3\text{N/NF}$			$\text{Ni}_3\text{Se}_4/\text{NF}$			$\text{Ni}_3\text{N/NF}$		
	η (mV)	$\text{J}_{\text{D}_2\text{O}}$	KIE	η (mV)	$\text{J}_{\text{D}_2\text{O}}$	KIE	η (mV)	$\text{J}_{\text{D}_2\text{O}}$	KIE
50	120	26.37	1.896	220	14.30	3.495	270	14.27	3.496
100	160	46.91	2.132	258	34.68	2.890	319	25.49	3.922

The schematic diagram for the proposed HER mechanism on Ni_3Se_4 - $\text{Ni}_3\text{N}/\text{NF}$ electrocatalysts.



J. Am. Chem. Soc. **2025**, *147*, 16047–16059

Selected Scientific Results at TLS BL 24A

ChemComm

COMMUNICATION

Check for updates

Cite this: Chem. Commun., 2019, 55, 6946

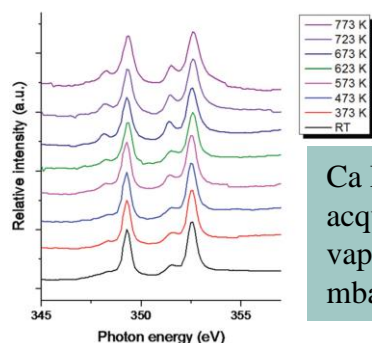
Received 21st January 2019, Accepted 15th May 2019

DOI: 10.1039/c9cc00518h

Anhydrous amorphous calcium carbonate (ACC) is structurally different from the transient phase of biogenic ACC†

Chieh Tsao,^a Pao-Tao Yu,^a Chin-Hsuan Lo,^a Chung-Kai Chang,^b Chia-Hsin Wang,^{a,b} Yaw-Wen Yang^{a,b,c} and Jerry Chun-Ing Chang^{a,b}

2019, 55, 6946.



Ca L edge of ACC acquired at a water vapor pressure of 0.4 mbar.

Journal of Materials Chemistry A



PAPER

View Article Online

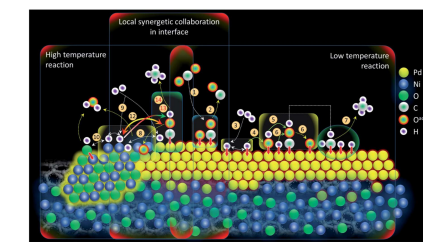
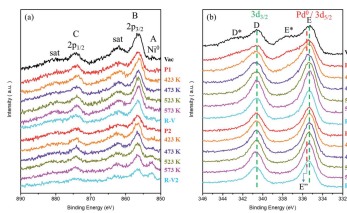
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Cite this: J. Mater. Chem. A, 2020, 8, 12744

Local synergetic collaboration between Pd and local tetrahedral symmetric Ni oxide enables ultra-high-performance CO₂ thermal methanation†

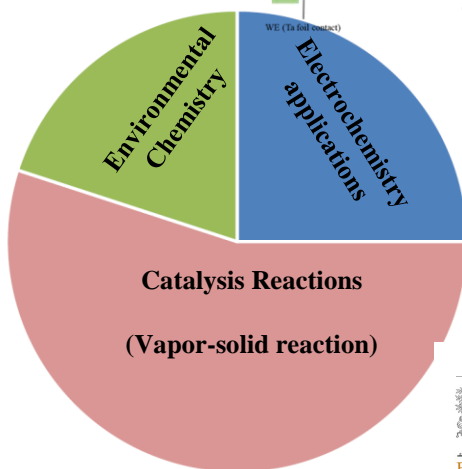
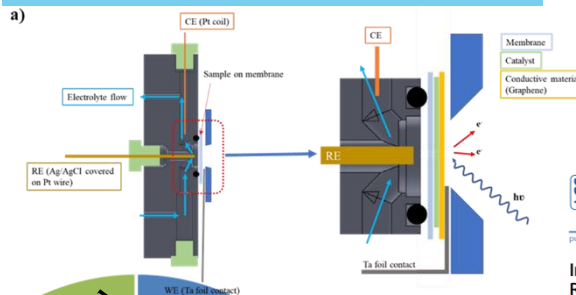
Che Yan,^a Chia-Hsin Wang,^{a,b} Moore Lin,^c Dinesh Bhalothia,^a Shou-Shiun Yang,^a Gang-Jei Fan,^c Jia-Lin Wang,^c Ting-Shan Chan,^b Yao-Lin Wang,^d Xin Tu,^d Sheng Dai,^a Kuan-Wen Yang,^e Ji-Hau He^a and Tsan-Yao Chen^{a,ef}

2020, 8, 12744.



Scheme 1 Schematic representation of the reaction coordinates in the NiO-Pd-T.

Constant flow electrochemical cell system



nature communications



Article

<https://doi.org/10.1038/s41467-022-33950-x>

Interface engineering breaks both stability and activity limits of RuO₂ for sustainable water oxidation

2022, 13, 5488.

Received: 27 February 2022

Accepted: 6 September 2022

Published online: 16 September 2022

Kun Du^{1,2}, Lifu Zhang^{1,2}, Jieqiong Shan², Jiaxin Guo¹, Jing Mao¹, Chueh-Cheng Yang^{1,2}, Chia-Hsin Wang^{1,2,3,4}, Zhenpeng Hu^{1,2,3,4} and Tao Ling^{1,2,3,4}

Catalysis

2023, 13, 13434.

pubs.acs.org/catalysis

Research Article

Investigation of Zn-Substituted FeCo₂O₄ for the Oxygen Evolution Reaction and Reaction Mechanism Monitoring through *In Situ* Near-Ambient-Pressure X-ray Photoelectron Spectroscopy

Pongsatorn Patta, Ya-Yu Chen, Manjula Natesan, Chien-Lin Sung, Chueh-Cheng Yang, Chia-Hsin Wang,^a Tsuyohiko Fujigawa,^a and Yu-Hsu Chang^a

Decreasing the O₂-to-H₂O₂ Kinetic Energy Barrier on Dilute Binary Alloy Surfaces with Controlled Configurations of Isolated Active Atoms *Adv. Funct. Mater.*, 2024, 23, 14281.

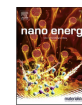
Shang-Cheng Lin, Chun-Wei Chang, Meng-Hsuan Tsai, Chih-Hao Chen, Jui-Tai Lin, Chia-Ying Wu, I-Ting Kao, Wen-Yang Jao, Chia-Hsin Wang, Wen-Yueh Yu, Chi-Chang Hu, Kun-Han Lin,^a and Tung-Han Yang^a

Nano Energy 93 (2021) 105830

Contents lists available at ScienceDirect

Nano Energy

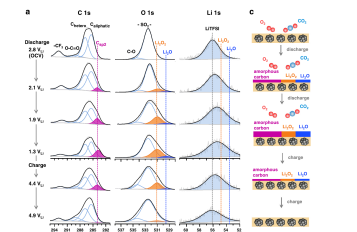
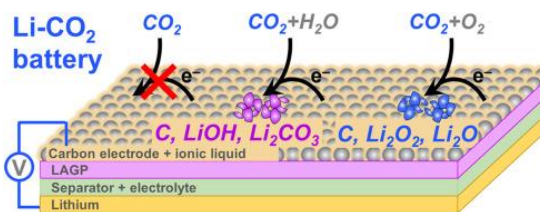
journal homepage: <http://www.elsevier.com/locate/nanoen>



Electrochemical reduction of CO₂ in ionic liquid: Mechanistic study of Li-CO₂ batteries via *in situ* ambient pressure X-ray photoelectron spectroscopy

2021, 83, 105830.

Yu Wang^a, Wanwan Wang^a, Jing Xie^a, Chia-Hsin Wang^b, Yaw-Wen Yang^b, Yi-Chun Lu^{b,c}



Selected Publications by using APXPS at TLS BL 24A

New ambient pressure X-ray photoelectron spectroscopy endstation at Taiwan light source

Cite as: AIP Conference Proceedings 2054, 040012 (2019); <https://doi.org/10.1063/1.5084613>
Published Online: 16 January 2019

Chia-Hsin Wang, Sun-Tang Chang, Sheng-Yuan Chen, and Yaw-Wen Yang

ChemComm 台大化學陳振中教授

COMMUNICATION

Check for updates
Anhydrous amorphous calcium carbonate (ACC) is structurally different from the transient phase of bioigenic ACC[†]

Cite this: Chem. Commun. 2019, 56, 6946
Received 21st January 2019, Accepted 15th May 2019
DOI: 10.1039/c9cc00518h

Nano Energy 67 (2020) 104163

Elsevier
NANO ENERGY
journal homepage: <http://www.elsevier.com/locate/nanoe>

Full paper 2020, 67, 104163.

Identification of dual-active sites in cobalt phthalocyanine for electrochemical carbon dioxide reduction

Yujian Xia^a, Stepan Kashtanov^b, Pengfei Yu^a, Lo-Yueh Chang^{a,*}, Kun Peng^a, Jun Zhong^a, Jinghua Guo^a, Xuhui Sun^a

Journal of Materials Chemistry A

PAPER

Cited: 26 View Article Online

Check for updates
Local synergetic collaboration between Pd and local tetrahedral symmetric Ni oxide enables ultra-high performance CO₂ thermal methanation[†]

Cite this: J. Mater. Chem. A, 2020, 8, 12744
Check for updates

ARTICLE
<https://doi.org/10.1039/c9ta07956a> OPEN

Rational strain engineering of single-atom ruthenium on nanoporous MoS₂ for highly efficient hydrogen evolution
Nature Comm. 2021, 12, 1687.

Kang Jiang¹, Min Luo², Zhixiao Liu¹, Ming Peng¹, Dechao Chen¹, Ying-Rui Lu³, Ting-Shan Chan², Frank M. F. de Groot⁴ & Yongwen Tan^{1,10*}

Elsevier
NANO ENERGY
journal homepage: <http://www.elsevier.com/locate/nanoe>

Electrochemical reduction of CO₂ in ionic liquid: Mechanistic study of Li-CO₂ batteries via *in situ* ambient pressure X-ray photoelectron spectroscopy
2021, 83, 105830.

Yu Wang^a, Wanwan Wang^a, Jing Xie^a, Chia-Hsin Wang^b, Yaw-Wen Yang^b, Yi-Chun Liu^{b,*}

nature materials 2022, 21, 681.

ARTICLES

<https://doi.org/10.1038/s41563-022-01052-y>

Check for updates

A single-atom library for guided monometallic and concentration-complex multimetallic designs

Lili Han^{1,†}, Hao Cheng^{1,†}, Wei Liu^{2,†}, Haoqiang Li², Pengfei Ou³, Ruoguan Lin⁴, Hsiao-Tsu Wang⁵, Chih-Wen Pao⁶, Ashley R. Head⁶, Chia-Hsin Wang⁶, Xiao Tong⁴, Cheng-Jun Sun⁷, Way-Faung Pong⁶, Jun Luo^{2,8}, Jin-Cheng Zheng^{9,10} and Huolin L. Xin^{1,‡}

XAS

Hydrogen Spillover and Storage on Graphene with Single-Site Ti Catalysts

Jih-Wei Chen¹, Shang-Hsien Hsieh¹, Sheng-Shong Wong¹, Ya-Chi Chiu¹, Hung-Wei Shiu¹, Chia-Hsin Wang¹, Yaw-Wen Yang¹, Yaw-Jane Hsu¹, Domenica Convertino¹, Camilla Coletti¹, Stefan Heun¹, Chia-Hao Chen¹ and Chung-Lin Wu¹

ACS Energy Letters 2022, 7, 2297.

RESEARCH ARTICLE

Complementary Metal–Oxide–Semiconductor Compatible 2D Layered Film-Based Gas Sensors by Floating-Gate Coupling Effect

Adv. Funct. Mater. 2022, 32, 2108878

Po-Hung Tan, Che-Hao Hsu, Ying-Chun Shen, Chien-Ping Wang, Kun-Lin Liou, Jiaw-Ren Shih, Chong Jung Lin, Ling Lee, Kuangye Wang, Hong-Min Wu, Tsung-Yu Chiang, Yue-Der Chih, Jonathan Chang, Ya-Chin King,* and Yu-Lun Chueh*

nature communications

2022, 13, 5488.

Interface engineering breaks both stability and activity limits of RuO₂ for sustainable water oxidation

Received: 27 February 2022
Accepted: 6 September 2022
Published online: 16 September 2022

Kun Du^{1,†}, Lifu Zhang^{2,†}, Jieqiong Shan³, Jiaxin Guo⁴, Jing Mao¹, Chuah-Cheng Yang^{1,5}, Chia-Hsin Wang^{6,4,6,†}, Zhengpeng Hu^{2,12} & Tao Ling^{1,13}

Revealing the Surface Species Evolution on Low-loading Platinum in an Electrochemical Redox Reaction by Operando Ambient-Pressure X-ray Photoelectron Spectroscopy

Chueh-Cheng Yang,^[a] Meng-Hsuan Tsai,^[b] Zong-Ren Yang,^[b] Yuan-Chieh Tseng,^[a] and Chia-Hsin Wang^[b]
ChemCatChem, 2023, 15, e202300359

Catalysis

2023, 13, 13434.

Investigation of Zn-Substituted FeCo₂O₄ for the Oxygen Evolution Reaction and Reaction Mechanism Monitoring through *In Situ* Near-Ambient-Pressure X-ray Photoelectron Spectroscopy

Pongsatorn Patta, Ya-Yu Chen, Manjula Natesan, Chien-Lin Sung, Chueh-Cheng Yang, Chia-Hsin Wang,* Tsuyohiko Fujigawa,* and Yu-Hsu Chang*

Article

<https://doi.org/10.1038/s41467-024-44840-z>

Investigating the role of undercoordinated Pt sites at the surface of layered PtTe₂ for methanol decomposition

Nature Comm. 2024, 15, 653.

Received: 23 May 2023

Accepted: 8 January 2024

Published online: 22 January 2024

Jing-Wen Hsueh¹, Lai-Hsiang Kuo², Po-Han Chen², Wan-Hsin Chen³, Chi-Yao Chuang⁴, Chia-Nung Kuo^{4,5}, Chia-Shan Lue^{4,6}, Yu-Ling Lai⁷, Bo-Hong Liu⁷, Chia-Hsin Wang⁸, Yao-Jane Hu⁹, Chun-Liang Lin^{9,10}, Jyh-Pin Chou¹¹ & Meng-Fan Luo¹²

RESEARCH ARTICLE

ADVANCED FUNCTIONAL MATERIALS
www.afm-journal.de

Decreasing the O₂-to-H₂O₂ Kinetic Energy Barrier on Dilute Binary Alloy Surfaces with Controlled Configurations of Isolated Active Atoms

Adv. Funct. Mater. 2024, 23, 14281.

Shang-Cheng Lin, Chun-Wei Chang, Meng-Hsuan Tsai, Chih-Hao Chen, Jui-Tai Lin, Chia-Ying Wu, I-Ting Kao, Wen-Yang Kao, Chia-Hsin Wang, Wen-Yueh Yu, Chi-Chang Hu, Kun-Han Lin,* and Tung-Han Yang*

Ammonia synthesis over cesium-promoted mesoporous-carbon-supported ruthenium catalysts: Impact of graphitization degree of the carbon support

清大化學楊家銘教授

Shih-Yuan Chen^{a,†}, Li-Yu Wang^{b,†}, Kai-Chun Chen^{b,†}, Cheng-Hsi Yeh^{c,†}, Wei-Chih Hsiao^{b,†}, Hsin-Yu Chen^a, Masayasu Nishi^a, Martin Keller^a, Chih-Li Chang^a, Chien-Neng Liao^a, Takehisa Mochizuki^a, Hsin-Yi Tiffany Chen^{c,†}, Ho-Hsiu Chou^{d,†}, Chia-Min Yang^{b,†}

Appl. Catal. B: Environ. 2024, 346, 123725.

Research papers

In situ ambient pressure x-ray photoelectron spectroscopy study on O₂/H₂O-assisted Na-CO₂ batteries

J. Energy. Storage 2024, 100, 113467.

Kevin Iputera^{a,†}, Chia-Hui Yi^{a,†}, Jheng-Yi Huang^a, Masanobu Nakayama^b, Bo-Hong Liu^c, Chia-Hsin Wang^c, Yaw-Wen Yang^c, Ru-Shi Liu^{d,†}

台大化學劉如熹教授

Enhanced CO₂ photoreduction to CH₄ via *COOH and *CHO intermediates stabilization by synergistic effect of implanted P and S vacancy in thin-film SnS₂

Nano Energy 2024, 128, 109863.

Tadios Tesfaye Mamo^{a,b,c,d}, Mohammad Qorbani^{a,c,e,f}, Adane Gebresilasse Hailemariam^{b,c,h,i,j}, Raghunath Putikam^a, Che-Men Chu^a, Ting-Rong Ko^{a,f}, Amr Sabbah^{a,g,i}, Chih-Yang Huang^{a,h,i}, Septia Kholimatussadiyah^{b,c,g,h}, Tadesse Billo^a, Mahmoud Kamal Hussien^{a,g}, Shuo-Yun Chang^{a,f}, Ming-Chang Lin^b, Wei-Yen Woon^b, Heng-Liang Wu^{a,b,c,g,h,i}, Ken-Tsung Wong^{a,b}, Li-Chyong Chen^{a,b,i}, Kuei-Hsien Chen^{b,i,j,k,l,m}

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Article

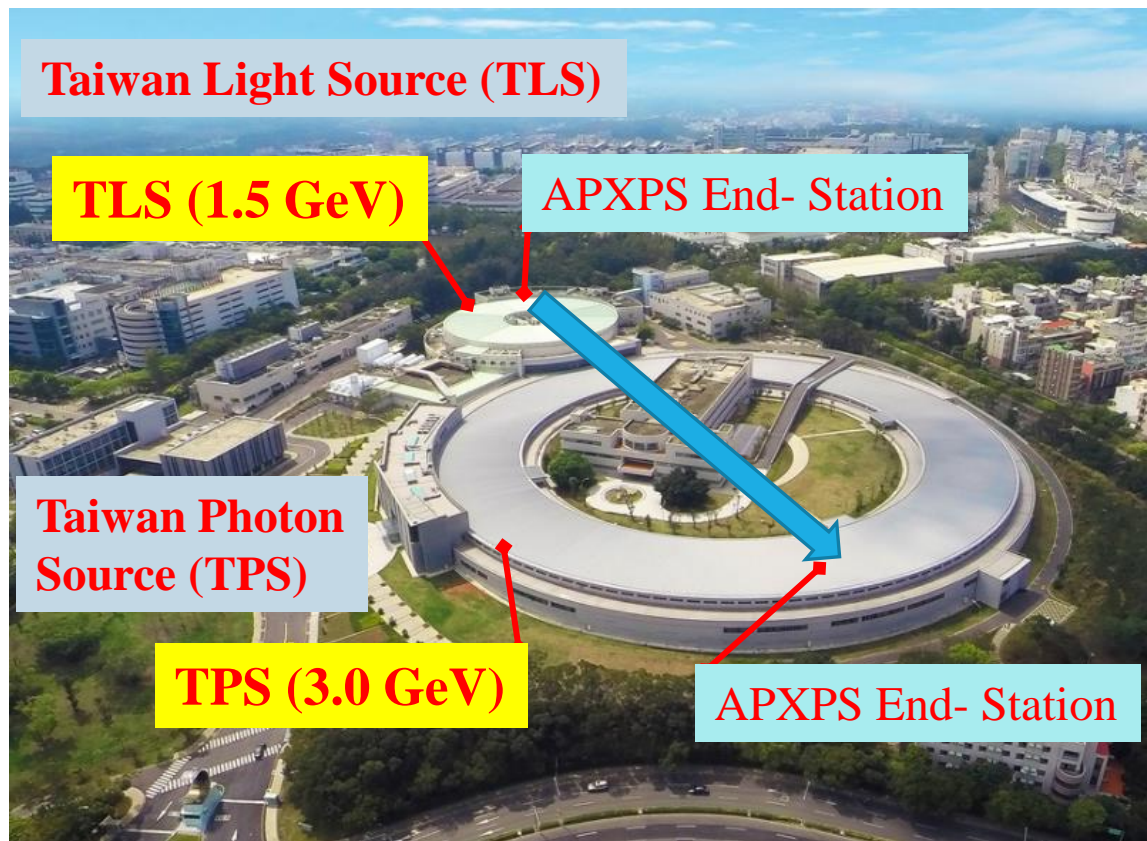
Heterogeneous Interfaces of Ni₃Se₄ Nanoclusters Decorated on a Ni₃N Surface Enhance Efficient and Durable Hydrogen Evolution Reaction in Alkaline Electrolyte

Dessalew Dagnaw Alemayehu, Meng-Che Tsai,* Meng-Hsuan Tsai, Chueh-Cheng Yang, Chun-Chi Chang, Chia-Yu Chang, Endalkachew Asefa Moges, Kesseven Lakshmanan, Yosef Nikodimos, Wei-Nien Su,* Chia-Hsin Wang,* and Bing Joe Hwang*

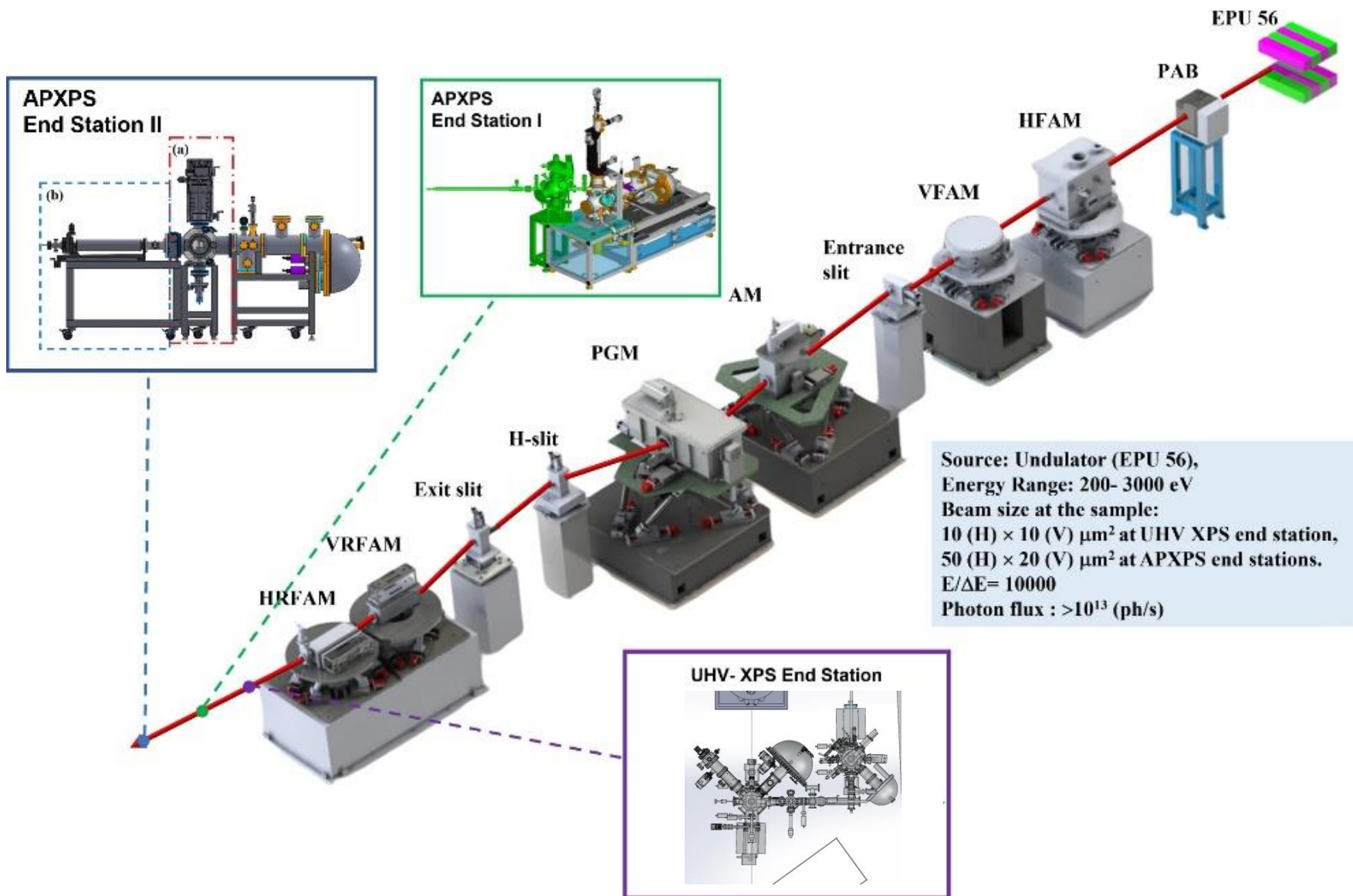
2025.06 updated

Future plan of APXPS end station at NSRRC

APXPS end- station at BL 24A of TLS will relocate to soft x-ray spectroscopy beamline at TPS 43A.

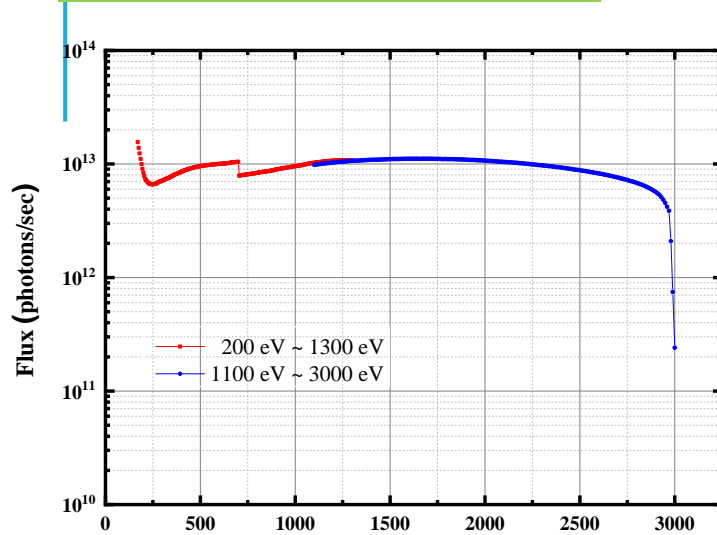


TPS 43A Beamline

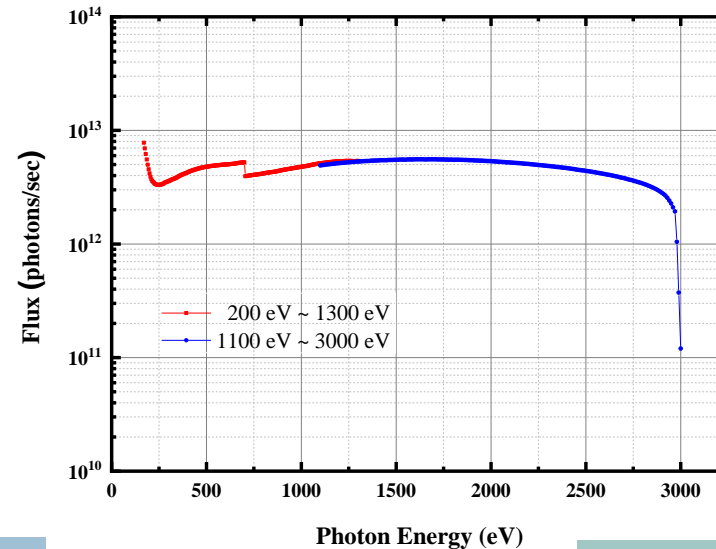


Variation of estimated photon flux with photon energy

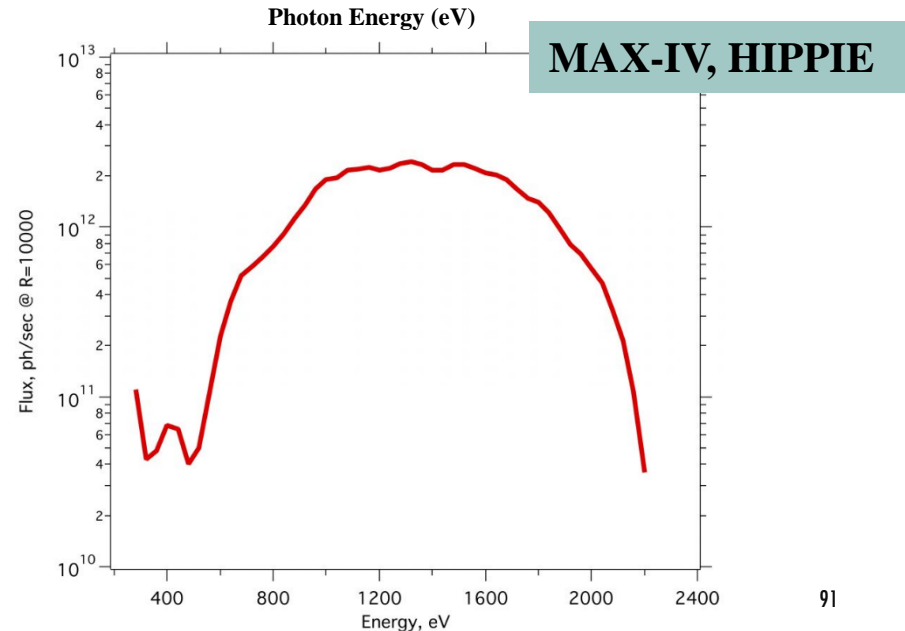
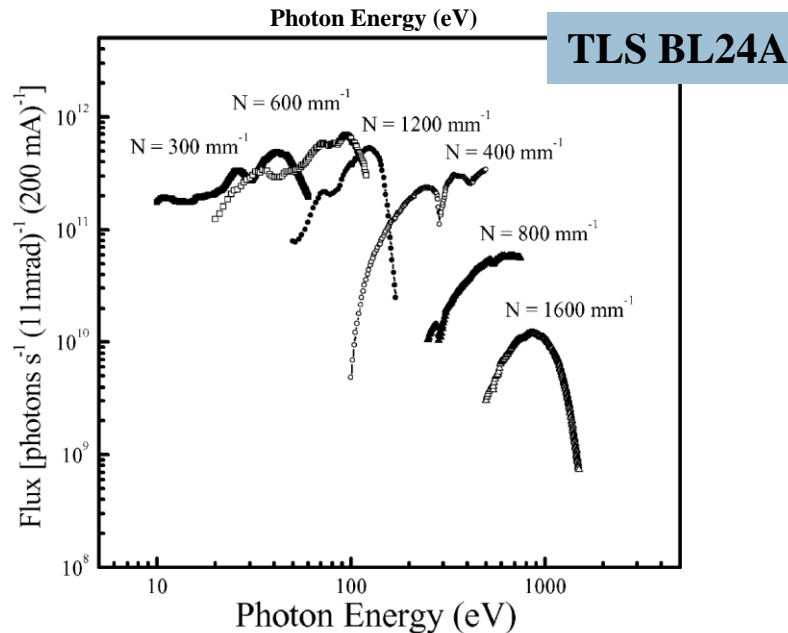
Resolving power :10,000



Resolving power :20,000



Data from
Dr. C.-T. Chen



APXPS End Station I for Gas-Solid Reaction

Replaced by a
nozzle size of
100 μm



Electron Analyzer

**SPECS PHOIBOS 150 Analyzer
line detector**

Photon Energy

15- 1600 eV, (200- 3000 eV)*

Pressure

UHV to 10 mbar, (UHV to 100 mbar) *

Operating temperature range.

RT to 1073 K

Gases

N₂, O₂, H₂, CO₂, CO, Ar, H₂O(g), CH₃OH(g)

Surface science instruments

Ar⁺ sputter gun, H₂ cracker, LEED, Residual gas analyzer (RGA)

**Ambient pressure XAS based
on electron detection modes.**

AEY, TEY

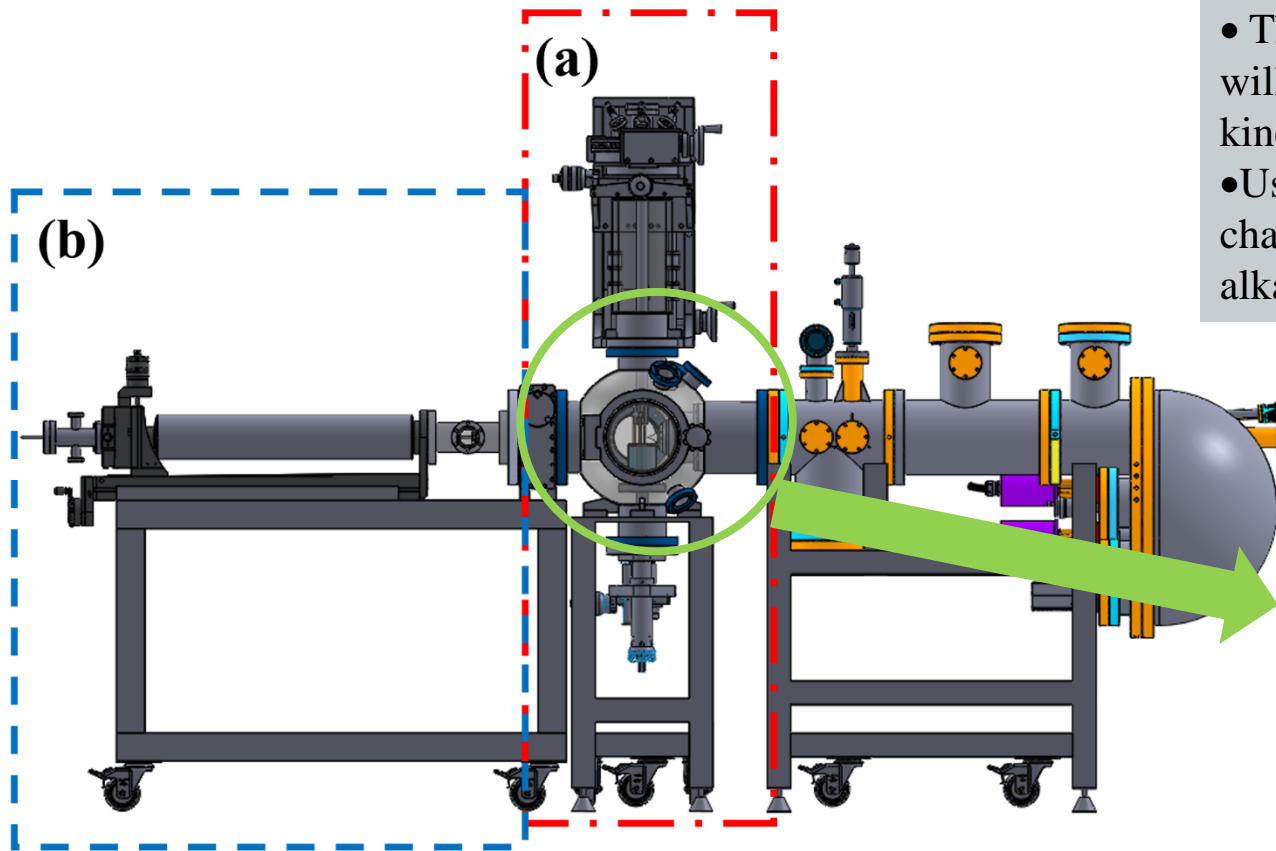
Applicable research area

Gas sensor mechanism, gas-solid heterogeneous catalysis such as carbon dioxide hydrogenation, photocatalytic reaction..., Alkali metal-air batteries charging and discharging reaction, ...etc.

*Red highlight the changes expected when the existing APXPS end station at TLS BL24A is relocated to TPS 43A.

Infrared reflection adsorption spectroscopy (IRRAS)
and gas chromatography will also be included.

APXPS End Station II for Liquid-Solid Reaction

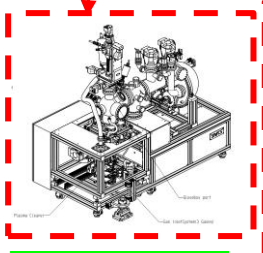
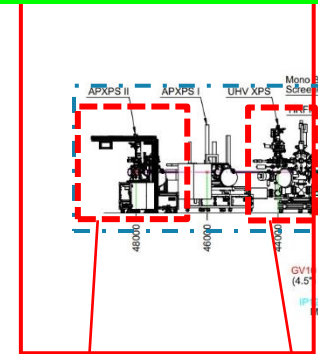


- The energy analyzer voltage will be up to 7 keV for high kinetic electron detection.
- Using stainless steel analysis chamber prevents acid and alkali solution corrosion.

- (a) A dip-and-pull setup that works well with thick electrolyte layers.
- (b) A liquid electrochemical cell with a polymer-based membrane for studying liquid-solid reactions with flow-thin electrolyte layers.

TPS 43A Ambient Pressure/UHV X-ray Photoelectron Spectroscopy

End stations Construction

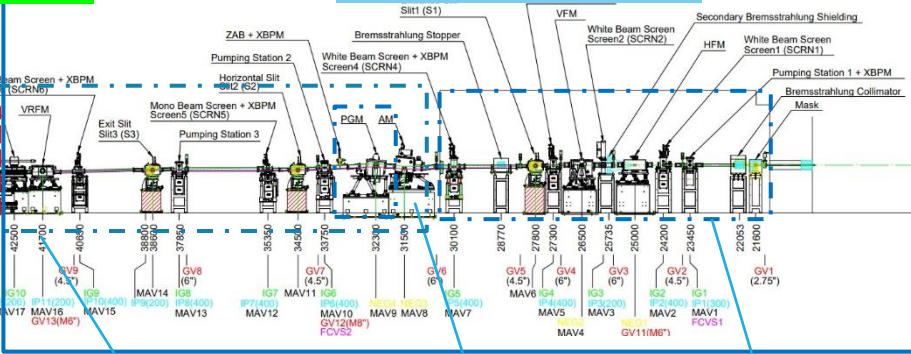


The liquid-solid APXPS system is expected to be delivered before Nov. 2025.

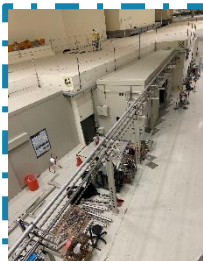


The UHV XPS analyzer is scheduled for delivery by the end of 2024.

Beamline Construction



The detailed Optical layout is nearing the final decision.



The beamline infrastructure and most of optic devices are currently under construction.



PGM chamber completed (2024.06.30)



Beamline Hutch completed (2023. 12. 31)

EPU 56 construction

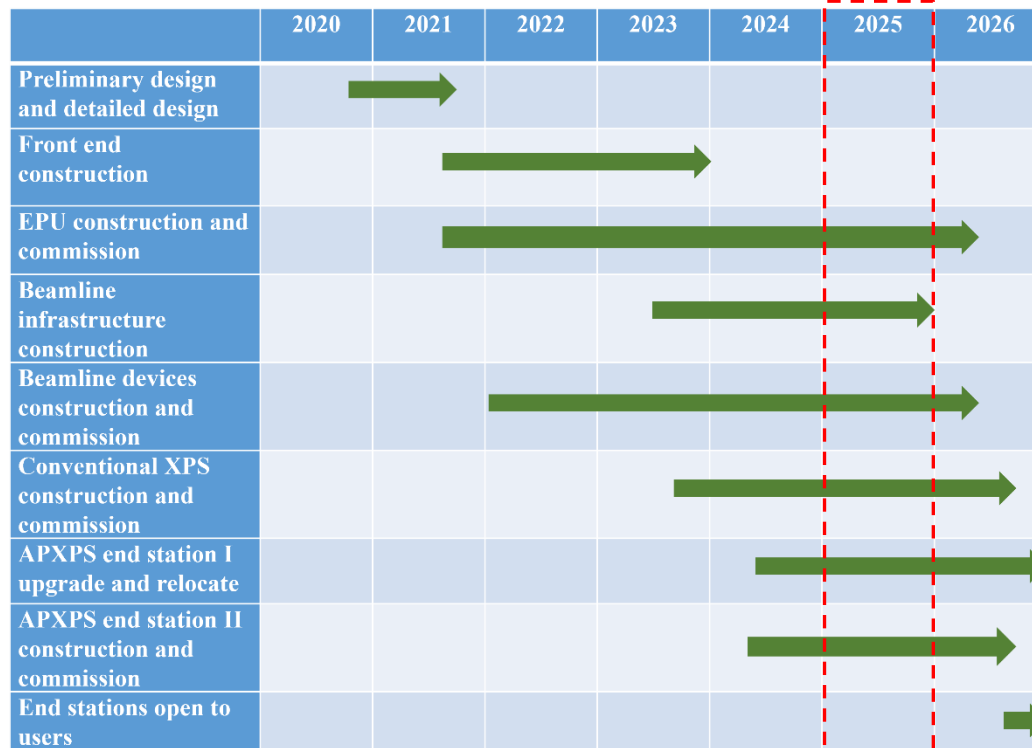


Front End, Completed. (2023.12.31)



Assembly Completed in 2024.06.30. Magnetic field measurement, and correction in laboratory are currently in process. Installation in the storage ring is expected by the end of 2025.

Construction Plan and Current Progress of TPS 43A

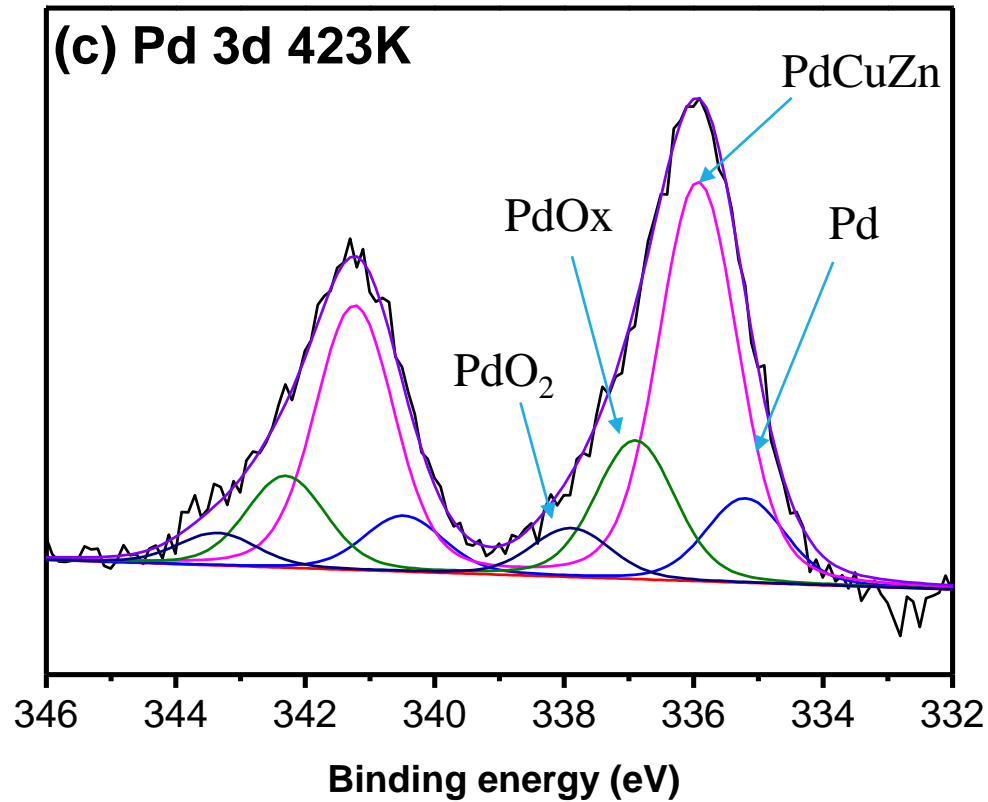


Beamline and End Stations Construction



This year, we plan to complete the beamline and end station constructions. We expect to start commissioning the beamline and end stations at the beginning of 2026 and open them to users in O3 or Q4 of the same year.

XPS Peak Fitting

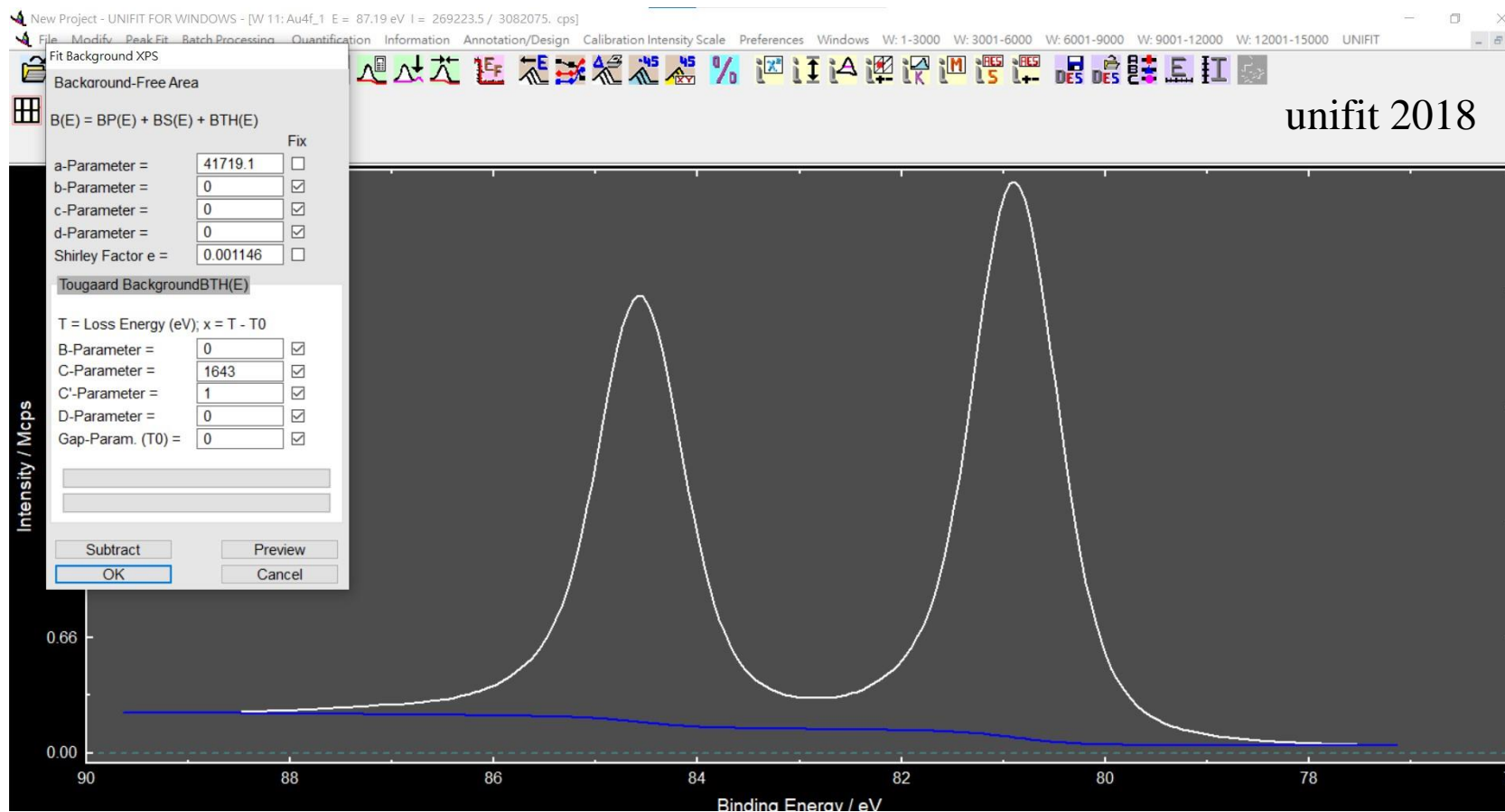


Software:

XPSPeak 4.1, CasaXPS, Unifit20XX

Background Modelling and Treatment

Type of background commonly used: constant, linear, polynomial, Shirley, Tougaard



Constant Background:

$$B_C(E) = c$$

Linear Background:

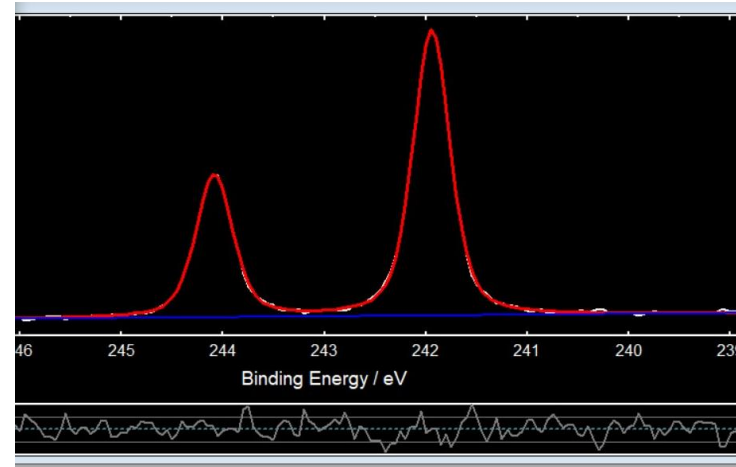
$$B_L(E) = a(E_2 - E) + c$$

Polynomial Background:

$$B_P(E) = a' + b'(i_2 - i) + c'(i_2 - i)^2 + d'(i_2 - i)^3.$$

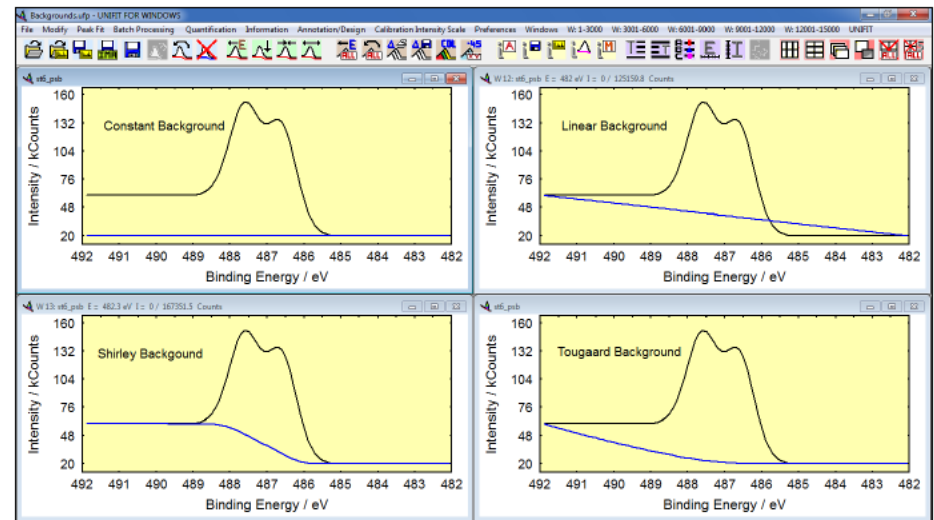
Linear Background:

The linear background may be applied for spectra with small intensity differences between the low and high-energy side of the peak, e.g. for peaks derived from surface species, which are hardly affected by inelastic losses.



Shirley Background:

In many cases the Shirley model turned out to be a successful approximation for the inelastic background of core level peaks of buried species, which suffered significantly from inelastic losses of the emitted photoelectrons.



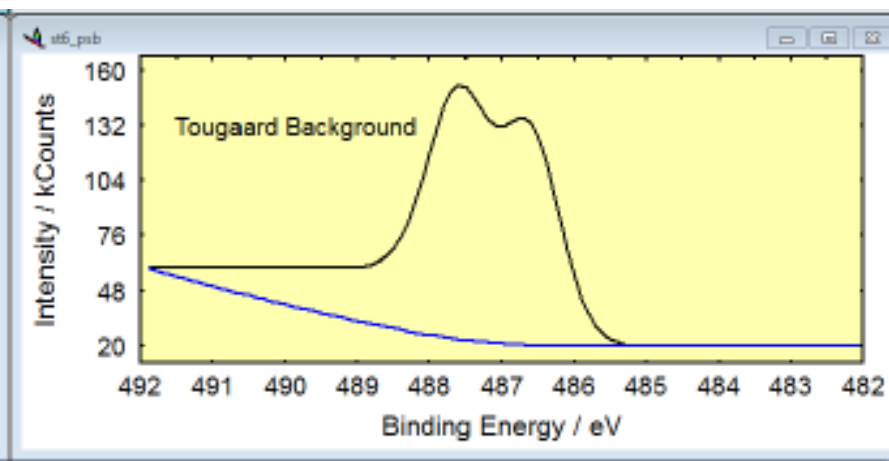
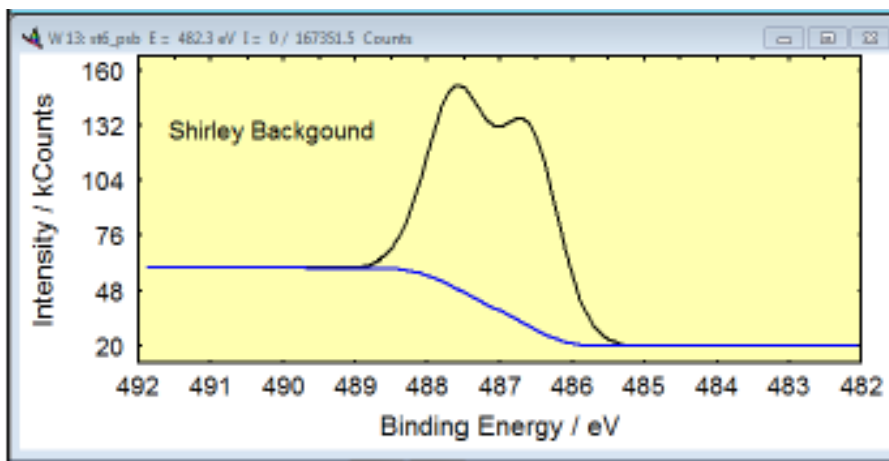
$$B_S(E) = \int_E^{\infty} F(E') dE' + c.$$

Tougaard Background:

The Tougaard background model has originally been developed as an alternative to the Shirley background for transition metals and is therefore especially suited for **asymmetrical signals like metal 4f lines**.

$$B_{TH}(E) = \lambda \int_E^{\infty} K(E'-E) M^*(E') dE'.$$

It integrates the experimental function while weighting it with a universal energy loss function $K(E)$ and the inelastic mean free path of the electrons (λ).



Modelling Peak shape

0-10.ufp - UNIFIT FOR WINDOWS

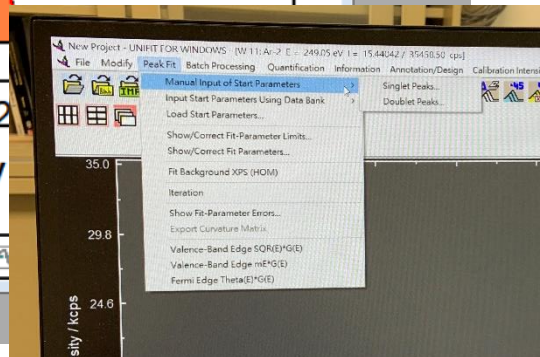
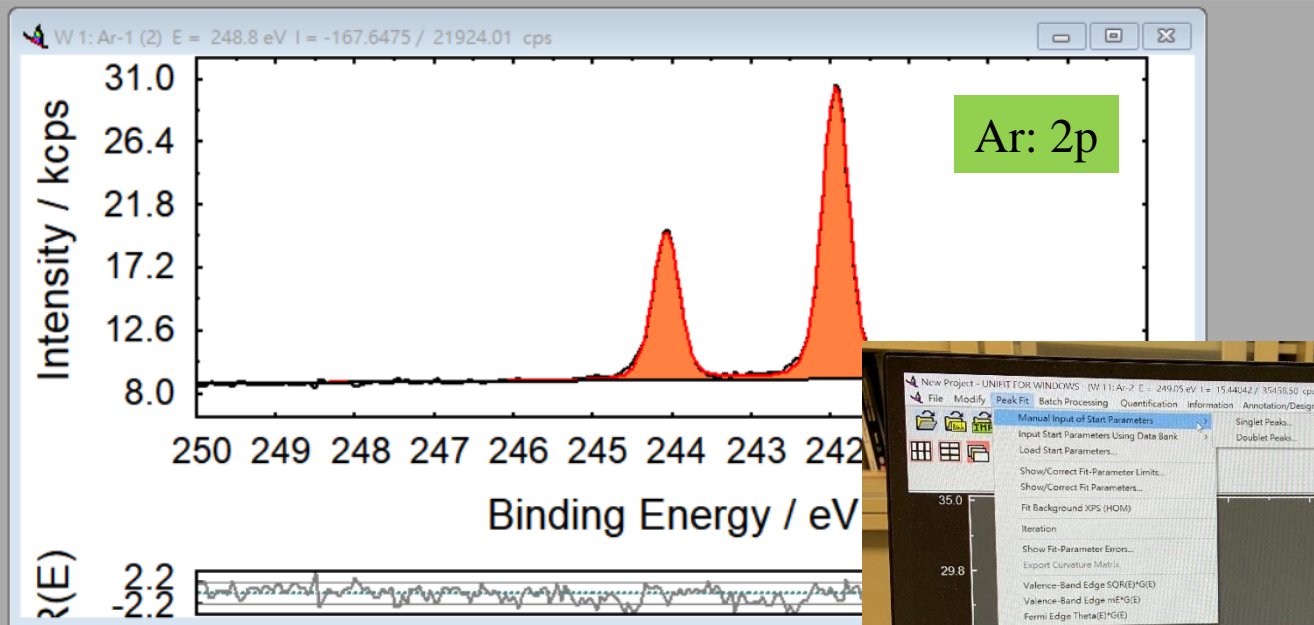
Peak Fit Batch Processing Quantification Information Annotation/Design Calibration Intensity Scale Preferences Windows UNIFIT



Fit Parameters Doublet/Convolution/Relative

Doublet name	Doublet 1	Fix
Peak height	Peak1 21428.4	<input type="checkbox"/>
	Peak2 0.5	<input checked="" type="checkbox"/>
GP-FWHM/eV	Peak1 0.33481	<input type="checkbox"/>
	Peak2 1	<input checked="" type="checkbox"/>
Position/eV	Peak1 241.9358	<input type="checkbox"/>
	Peak2 2.1433	<input type="checkbox"/>
LP-FWHM/eV	Peak1 0.118	<input checked="" type="checkbox"/>
	Peak2 1	<input checked="" type="checkbox"/>
Asymmetry	Peak1 0	<input type="checkbox"/>
	Peak2 0	<input type="checkbox"/>
abs. Area	Peak1 10297	
	Peak2 5176	
rel. Area	Peak1 0.6655	
	Peak2 0.3345	

Copy Export Save
Print Preview Data Bank
OK Absolute Cancel



Element	Threshold or transition	Chemical species	Photon energy (eV)	Line width (meV)	Exp. literature
Kr	3d _{3/2}	Kr	158	93(2)	88(4) [38]
	3d _{5/2}	Kr		93(2)	88(4) [38]
S	2p A ^a	S ₂ (CH ₃) ₂	180	113(10)	
	B ^a			85(10)	
	C ^a			105(10)	
B	1s	BF ₃	370	71(4)	72(2) [14]
Cl	2p (² Π _{1/2})	HCl	250	83(2)	84(9) [30]
	2p (² Σ _{1/2})			81(5)	71(13) [30]
	2p (² Π _{3/2})			100(5)	103(10) [30]
Ar	2p _{1/2}	Ar	400	116(2)	118(4) [38], 120 [39], 130(5) [40], 100(10) [41]
	2p _{3/2}	Ar		119(2)	118(4) [38], 120 [39], 130(5) [40], 110(10) [41]
Ar	2p _{3/2} → 4s	Ar		109(2)	111(3) [42], 114(2) [43], 116(3) [44]
C	1s	CH ₄	500	104(5)	95(2) [120], 83(10) [45], 120(10) [46], 107(10) [47]

Peak widths

Peak widths: The contributions that the intrinsic and instrumental effects make to the peak width are given, to a first approximation, by:

$$\text{FWHM}_{\text{tot}} = (\text{FWHM}_n^2 + \text{FWHM}_x^2 + \text{FWHM}_a^2 + \text{FWHM}_{\text{ch}}^2 + \dots)^{1/2} \quad (3.12)$$

where FWHM is the full-width at half-maximum of the observed peak (tot), core hole lifetime (n), X-ray source (x), analyzer (a), and charging contribution (ch).

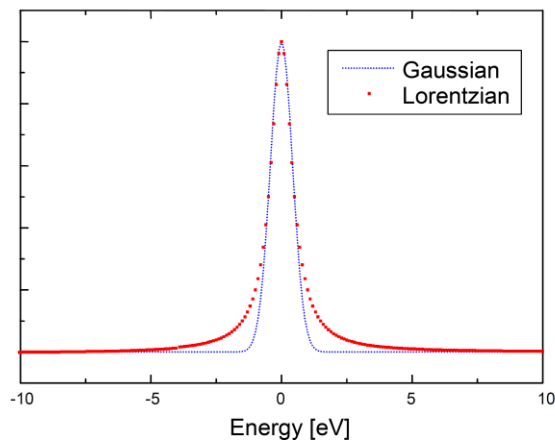


Fig. 3. Comparison of Gaussian and Lorentzian function (FWHM = 1 eV)

$$L(E) = \left\{ 1 + \left[\frac{(E - E_0)}{\beta} \right]^2 \right\}^{-2} \quad G(E) = \exp \left\{ -\ln 2 \frac{(E - E_0)^2}{\beta^2} \right\}$$

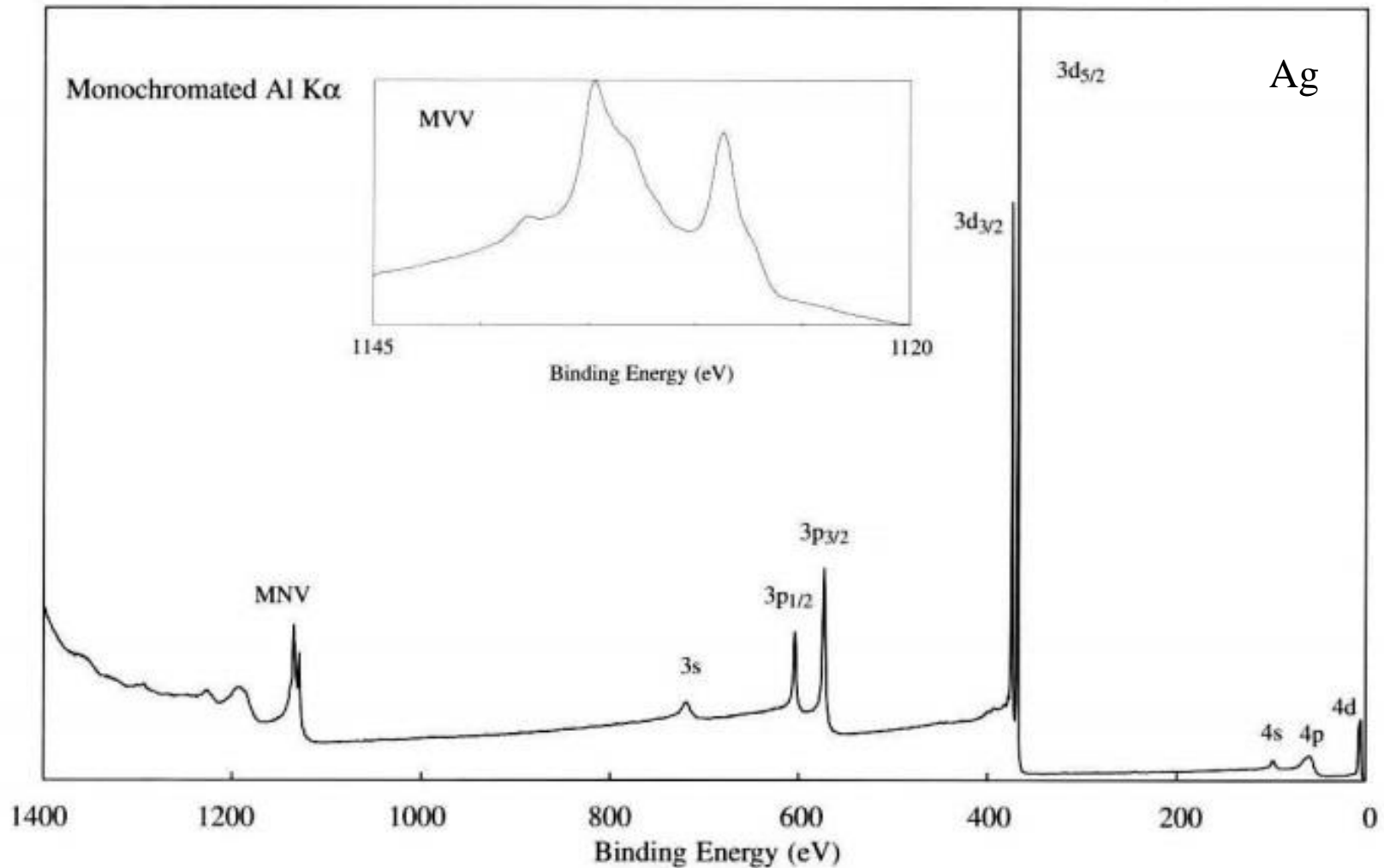
β , i.e. half of the full width at half maximum (FWHM), and E_0 , the peak position.

Lorentzian width (Γ): is related to lifetime of the core hole state by Heisenberg's Uncertainty Principle. $\Gamma = h/\tau$, h is the Planck constant

Gaussian width (G): is related to instrument factors, for example x-ray source resolution, analyzer resolution, thermal broadening

Lifetime of the core hole—the natural linewidth

τ For $s < p < d$



Natural linewidth of Au $4f_{7/2}$, Ag $3d_{5/2}$, Cu $2p_{3/2}$

TABLE V. Results of *simultaneous* least squares fits to data at all takeoff angles. All quoted error limits include estimates of reproducibility.

	Hole-state lifetime Γ (eV)	Singularity index α	Gaussian broadening Γ_G (eV)	Surface-bulk shift $\Delta_{s,b}$ (eV)
Au $4f_{7/2}$	0.339 ± 0.02	0.048 ± 0.006	0.276 ± 0.03^a	-0.389 ± 0.01
Ag $3d_{5/2}$	0.274 ± 0.01	0.066 ± 0.006	0.262 ± 0.03^a	-0.076 ± 0.03
Cu $2p_{3/2}$	0.595 ± 0.01	0.042 ± 0.006	$[0.230]^b$	-0.241 ± 0.02

^aAverage of angle-dependent values.

^bConstrained.

Product and Sum Function

A frequently used approach to describe XPS core level lines or XAS line has been the product or sum of Gaussian and Lorentzian functions of the same width

Product:

$$f(E) = h \cdot \left\{ 1 + M_V \cdot \left[\frac{E - E_0}{\beta + \alpha(E - E_0)} \right]^2 \right\}^{-1} \cdot \exp \left\{ - (1 - M_V) \cdot \ln 2 \cdot \left[\frac{E - E_0}{\beta + \alpha(E - E_0)} \right]^2 \right\}.$$

Sum:

$$f(E) = h \cdot M_V \cdot \left\{ 1 + \left[\frac{E - E_0}{\beta + \alpha(E - E_0)} \right]^2 \right\}^{-1} + h \cdot (1 - M_V) \cdot \exp \left\{ - \ln 2 \cdot \left[\frac{E - E_0}{\beta + \alpha(E - E_0)} \right]^2 \right\}.$$

XPSpeak Fit, CasaXPS

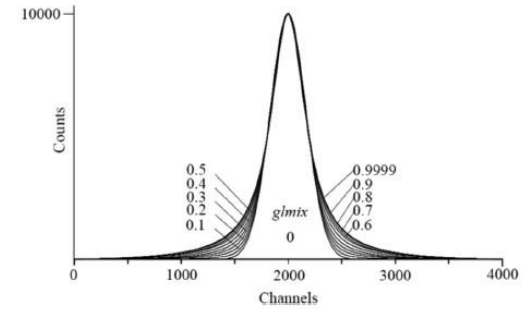


FIG. 2. Plot of Voigt functions that can be used to describe the shape of XPS peaks. The functions are calculated for a single peak with an FWHM of 400 channels and a peak maximum of 10 000 counts over a range of 4001 channels, 2000 channels to the right and left of the peak. When these parameters take a value of 0, the peak is a pure Gaussian. When they take a value of 1, the peak is a pure Lorentzian (Ref. 21).

Convolution Function (Voigt profile)

A more adequate description of the photoelectron- or X-ray absorption spectra line shape may be obtained by convoluting Gaussian and Lorentzian (or Doniach-Sunjic (DS) type) contributions rather than by simply product and sum function.

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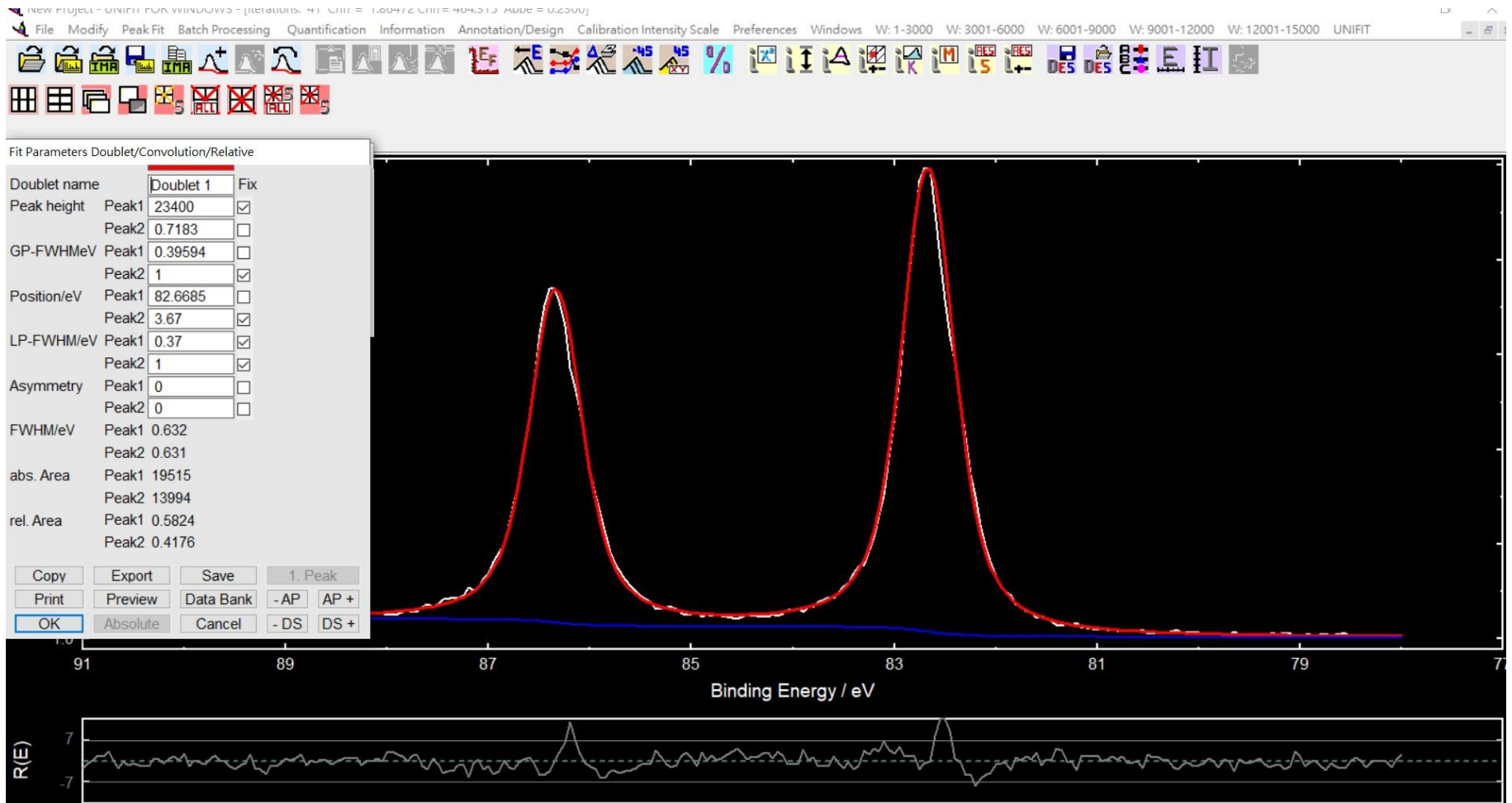
$$f(E) = f(L * G) = \int_{-\infty}^{\infty} L(E') G(E - E') dE'.$$

Unifit 20XX

$$F_V \approx 0.5346 F_L + \sqrt{0.2166 F_L^2 + F_G^2}$$

Determine the Instrument Resolution by using Au foil

Grating 800 line/mm, Photon Energy 340 eV,
entrance slit and existing slit = 20 μm , Pass Energy = 10 eV



Asymmetric function: Doniach-Sunjc form

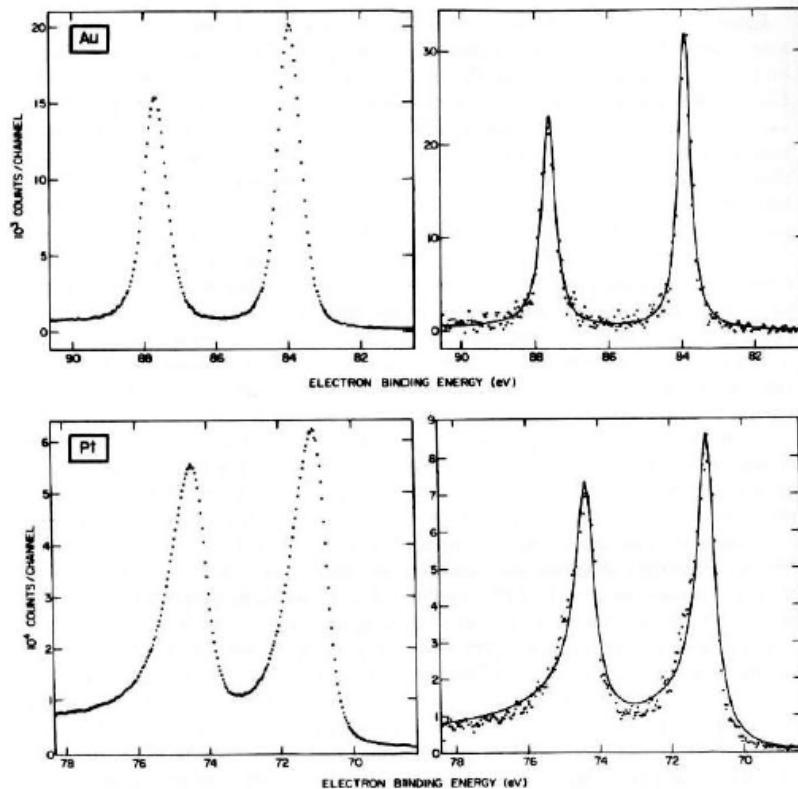


Fig. 37. 4f core spectra from polycrystalline Au and Pt (points) in comparison to a best fit of the asymmetric line shape predicted by Eq. (158) (curves). In the right panels, the data have been corrected by deconvolution of the instrumental line shape, but no correction for inelastic scattering effects has been made. The instrumental line shape was derived from the form of the cut-off near E_F (cf. Fig. 13). (From Hüfner and Wertheim, ref. 84.)

Creation of electron-hole pairs results in an askew background like those shown on the left.

$$I(E) = \frac{\cos\left[\frac{\pi\alpha}{2} + (1-\alpha)\tan^{-1}(E/\gamma)\right]}{(E^2 + \gamma^2)^{(1-\alpha)/2}}$$

where E = kinetic energy of the electron, γ = lifetime width of core hole, and α , asymmetry parameter,

$$\alpha = 2 \sum_l (2l+1) (\delta_l / \pi)^2$$

with δ_l = phase shift of the l th partial wave for electrons at the Fermi energy scattering from the core hole.

In the limit of $\alpha \rightarrow 0$, DS line shape becomes a Lorentzian form.

CRITERION FOR GOODNESS OF FIT

Chi-square

Nonlinear least-square fitting method is aimed at **a minimization of chi-square**. If the differences between the experimental spectrum $M(i)$ and the calculated spectrum $S(i, \mathbf{p})$ are *independent* and *distributed homogeneously (Abbe criterion)*, then fitting can be considered satisfactory. In that case only statistical measuring errors, i.e. following Poisson distribution, remain.

$$S(i, \vec{p}) = \sum_{j=1}^k SC_j(i) + B(i),$$

where $S(i, \mathbf{p})$ is the sum over k fitted components, SC_j at data point i , and $B(i)$ is the background at point i .

Chi-square, χ^2 is defined as

$$\chi^2(\vec{p}) = \sum_{i=1}^N \frac{(S(i, \vec{p}) - M(i))^2}{M(i)},$$

where $M(i)$, the measured value at i .

The **reduced Chi-square χ^{2*}** is the chi-square further divided by the degree of freedom,

$$\chi^{2*}(\vec{p}) = \frac{\chi^2}{N - F - 1}.$$

where here N is the number of data points, and F is the total number of fit parameters. The expected value of χ^{2*} is about 1.

GOODNESS OF FIT

Residual function:

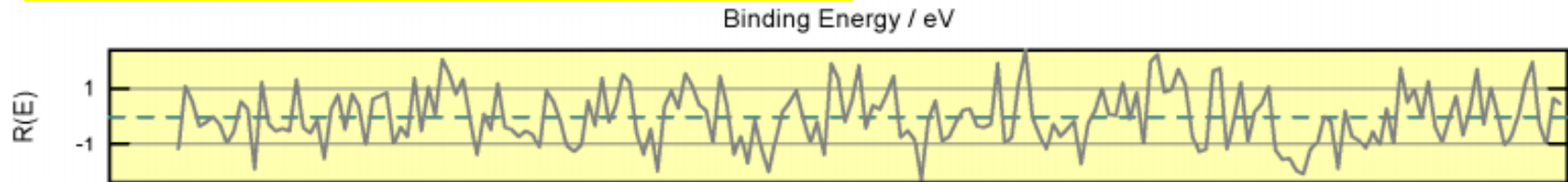
The residual R expresses the deviation between sum curve and experimental data.

A normalized residual is defined as,

$$R(i) = \frac{S(i, \vec{p}) - M(i)}{\sqrt{M(i)}}.$$

where $M(i)$ is the measured value in counts, and $S(i, \vec{p})$ is fitted values. The normalization with the square root of intensity was introduced in order to obtain the same weight of errors for the complete spectrum.

An ideal fitting should give rise to a featureless residual function across the data range with its range not exceeding one std. deviation.

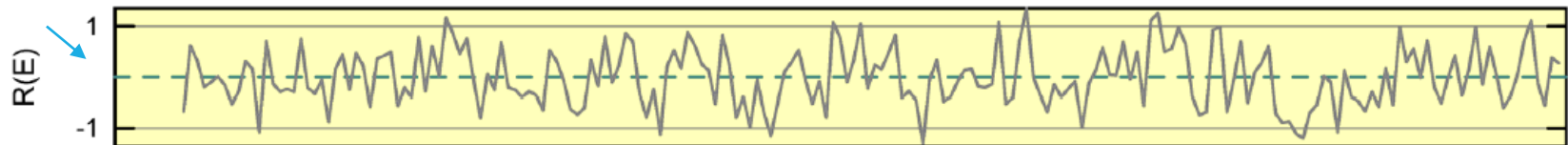
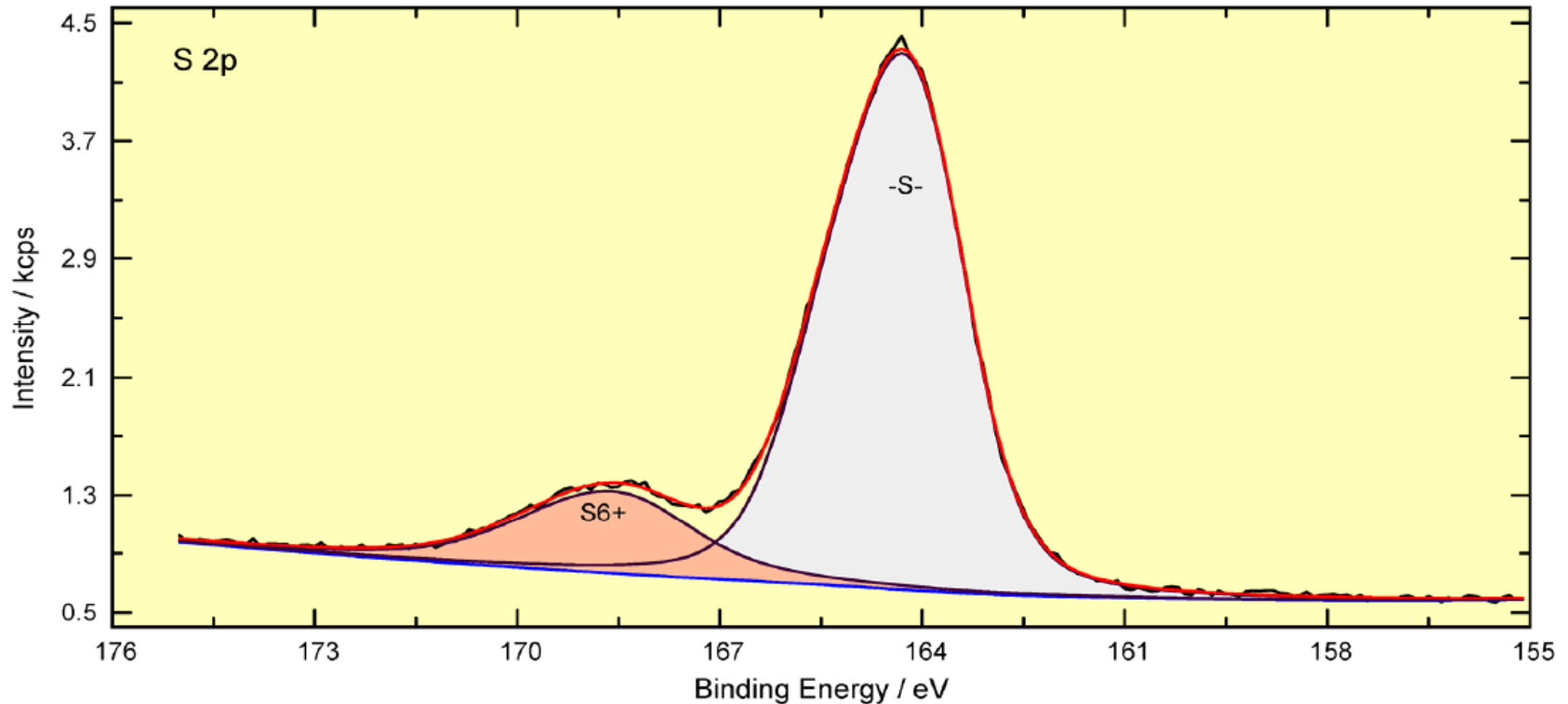


Abbe Criterion is a mean to estimate the extent of *systematic* errors,

$$Abbe = \frac{1}{2} \frac{\sum_{i=1}^N (R'(i+1) - R'(i))^2}{\sum_{i=1}^N (R'(i))^2}.$$

A value of 0 pinpoints systematically correlated deviations; a value of 1 indicates statistical deviations and a value of 2 is obtained in case of systematically anti-correlated deviations. The expected value of Abbe is 1.

A spectrum with a satisfactory fit



Fit Parameters Doublet/Convolution/Relative

Doublet	Fix	Peak height	Fix	Gauss	Fix	Energy	Fix	Lorentzian	Fix	Asym.	FWHM	abs. Area	rel. Area
1/1	0	3050.2	0	1.579	0	164.0822	0	0.50294	1	0	1.866	6647	0.552
1/2	1	0.5	1	1	1	1.2	1	1	1	0	1.866	3324	0.2761
2/1	0	0.14145	1	1	0	4.2411	0	2.93064	1	0	2.511	1383	0.1148
2/2	1	0.5	1	1	1	1.2	1	1	1	0	2.511	687	0.0571

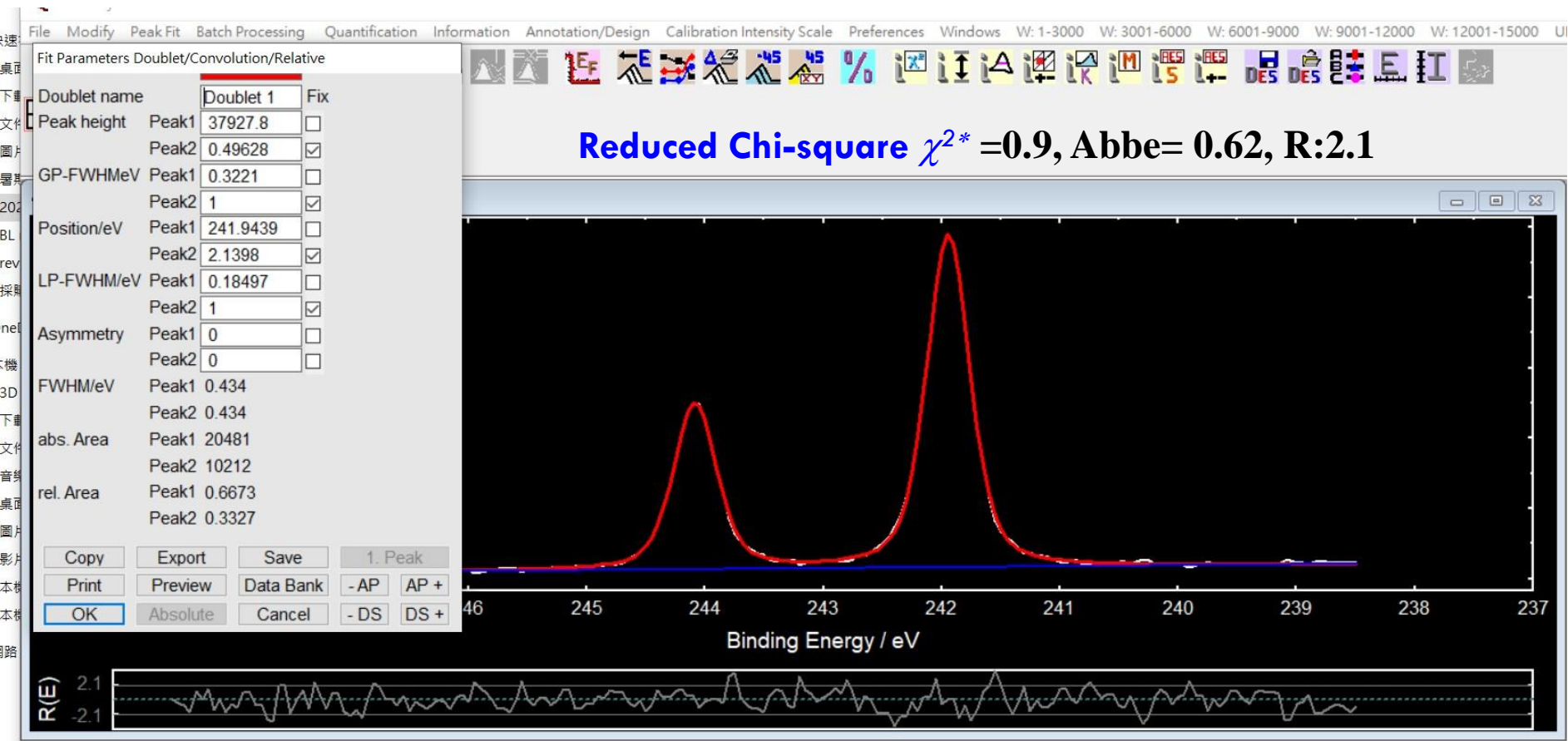
Fit Background: a= 587.1065 b=-0.4407 c= 0.011 d= 0 e= 0.0003 B= 0 C= 1643 C'= 1 D= 0 T0= 0

Chi²* = 1.113

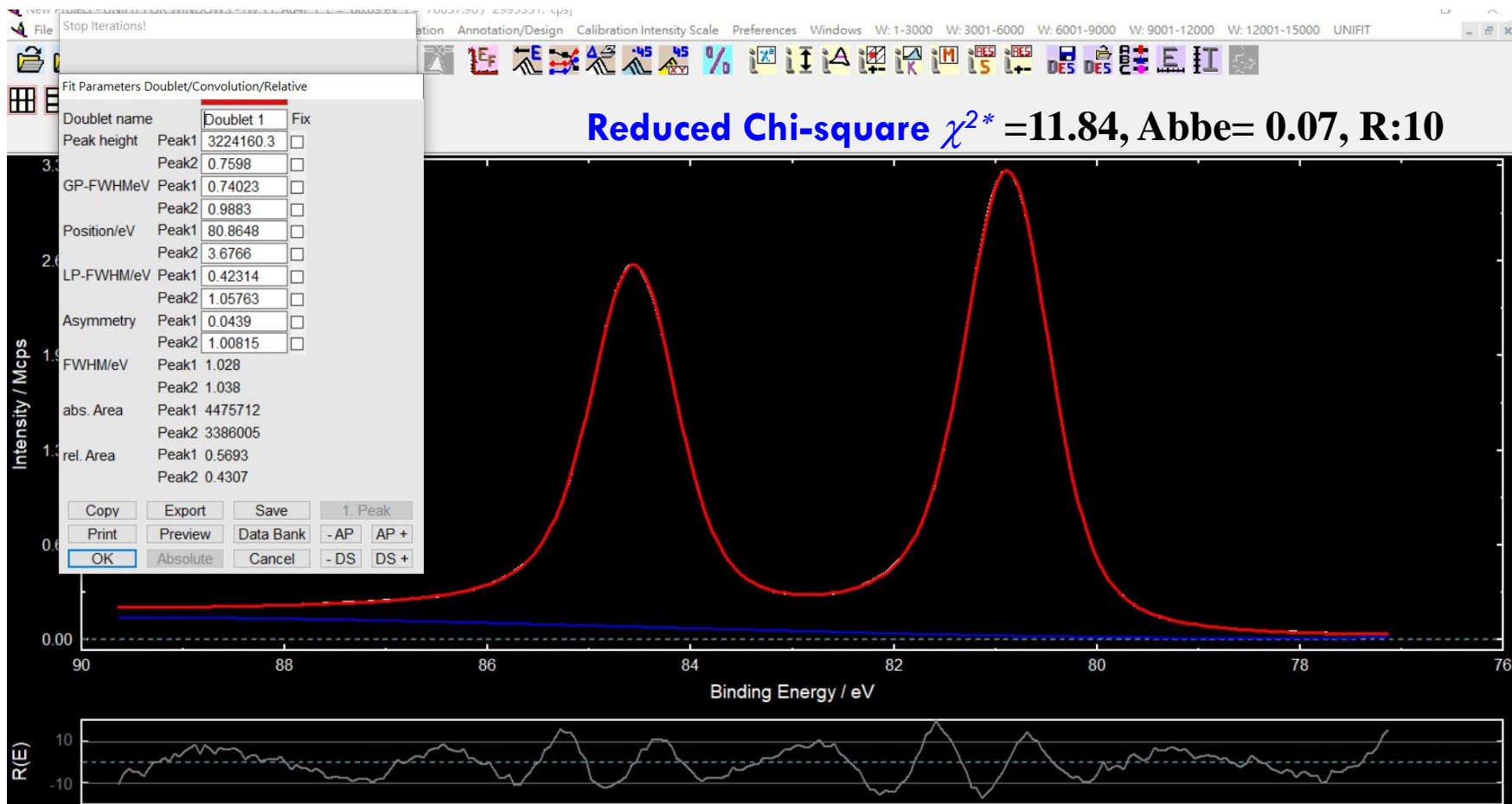
Chi² = 194.9

Abbe = 0.8

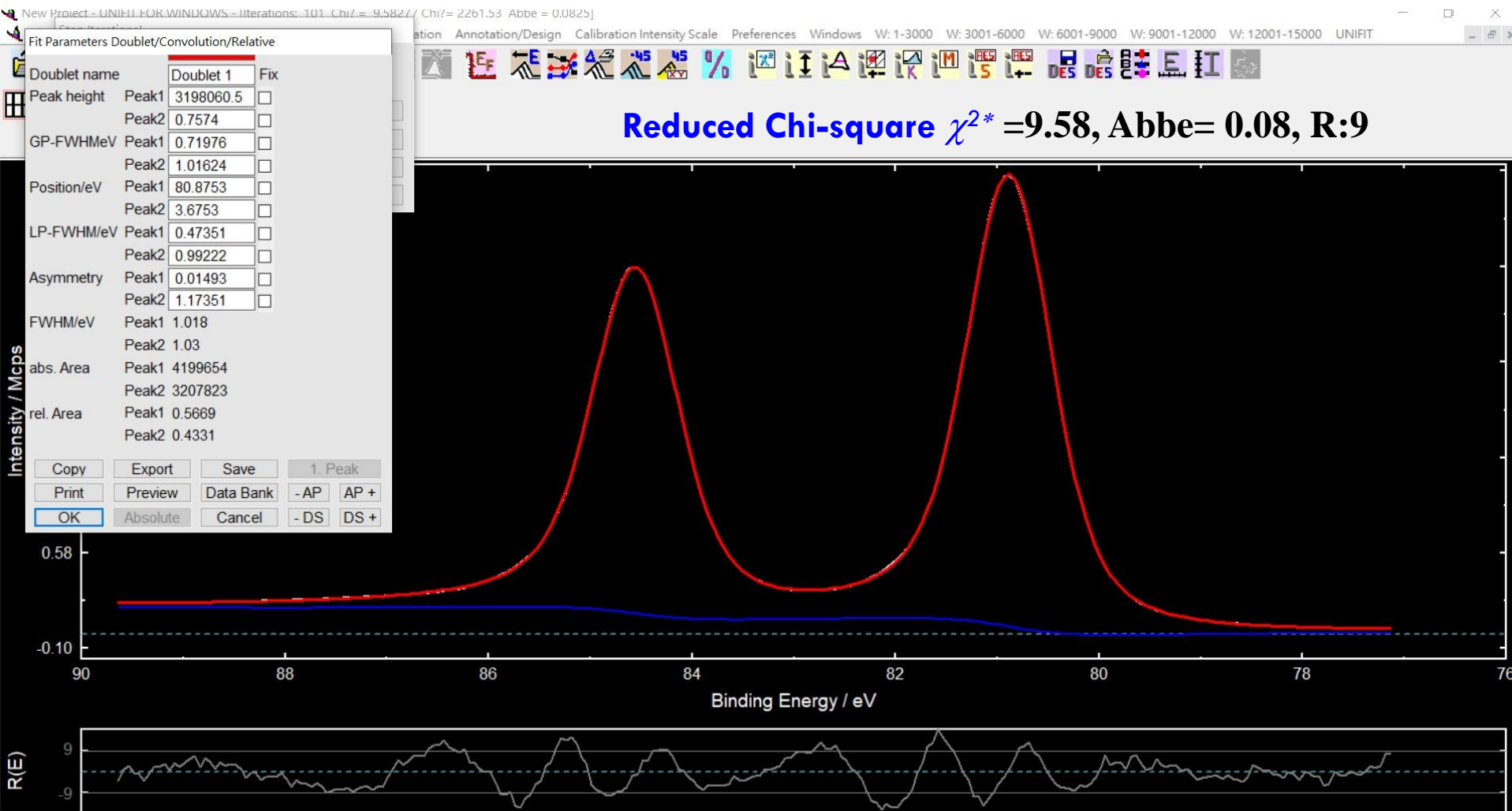
A Satisfactory fit



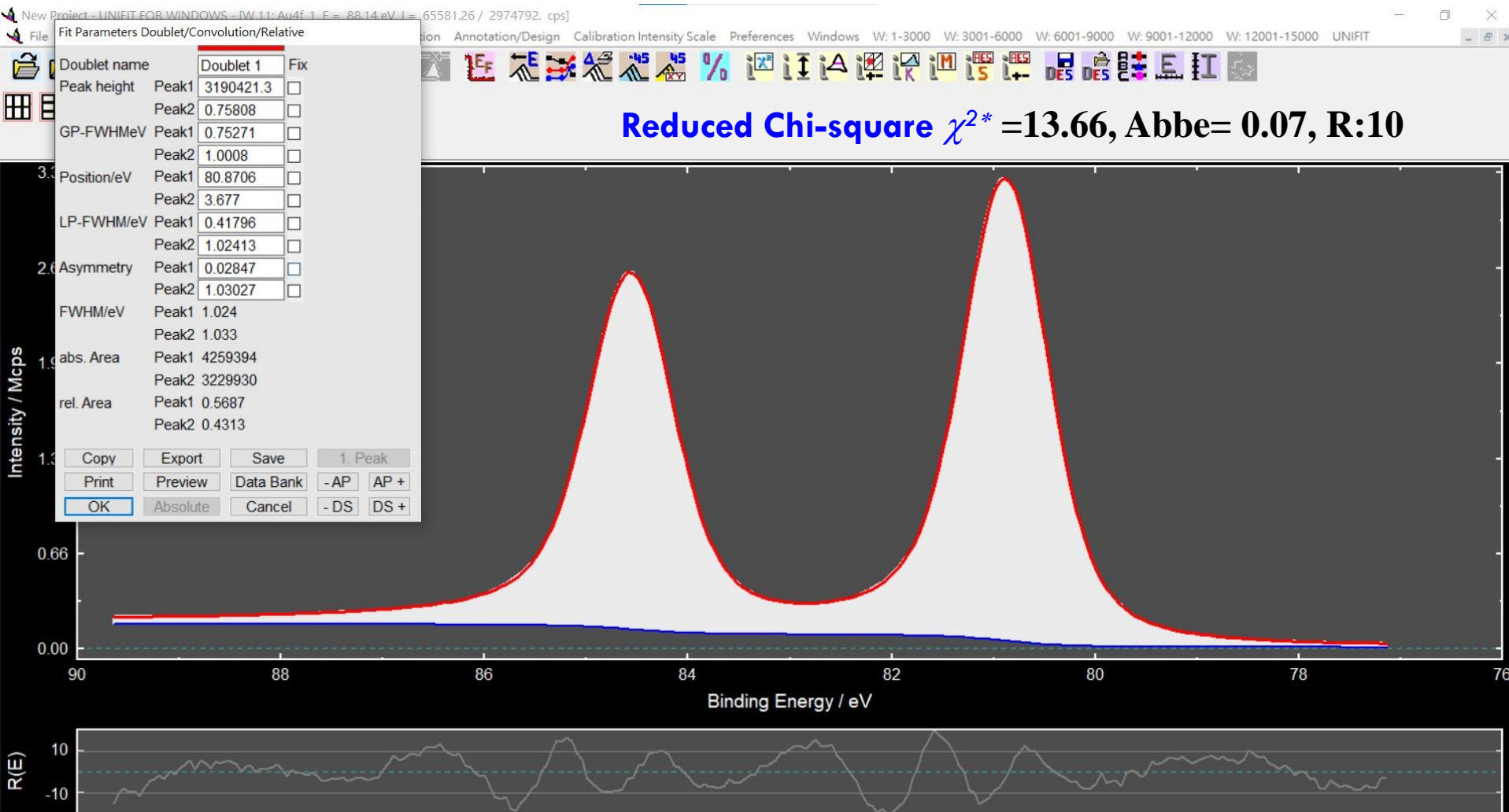
Linear Background- Au4f



Shirley Background- Au4f



Shirley Background+ Tougaard- Au4f



General guidelines of choosing the fitting parameters

- Start with a minimal number of fitted components based on the discernible number of peaks. Increase the number of fitted components when the fitting runs aground, justifying additional components.
- A fit with a smallest chi-square, achieved by invoking too many peaks, may not necessarily be a good fit. The existence of fitted components needs to *based on science, not mathematics*, and *make good scientific sense*.

The End ~ Thank You !!

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3175 (Lab.), 1241 (End Stations)

References:

1. augustus.scs.uiuc.edu/nuzzogroup
2. www.cem.msu.edu/~cem924sg
3. Handbook of X-ray Photoelectron Spectroscopy (Perkin-Elmer Co.)
- 4* John C. Vickerman, and Ian S, Gilmore, “Surface Analysis The Principal Techniques”
5. Manual Unifit 2022
6. Practical guide for curve fitting in X-ray photoelectron spectroscopy, *J. Vac. Sci. Technol. A* **38**, 061203 (2020).